

**VIRGIN ISLANDS OFFICE OF DISASTER RECOVERY**  
**AND**  
**FR MAINTENANCE & CONSTRUCTION, LLC**

**RECONSTRUCTION/REHABILITATION CONSTRUCTION SERVICES**

THIS CONTRACT FOR CONSTRUCTION/REHABILITATION CONSTRUCTION SERVICES ("Contract") is made this 19th day of November, 2024 by and between the VIRGIN ISLANDS OFFICE OF DISASTER RECOVERY ("ODR"), a subsidiary division of the VIRGIN ISLANDS PUBLIC FINANCE AUTHORITY, an independent instrumentality of the Government of the United States Virgin Islands, ("Authority"), and FR Maintenance & Construction, LLC located at 49 A Estate Cane Carlton, Frederiksted, St. Croix VI 00840 ("Contractor") (collectively, "the Parties"):

**WITNESSETH**

WHEREAS, two Category 5 hurricanes, Irma and Maria, made landfall in the United States Virgin Islands ("Virgin Islands" or "Territory") in September 2017 causing widespread destruction and damage to hundreds of residences within the Territory;

WHEREAS, the Territory is the recipient of Community Development Block Grant– Disaster Recovery ("CDBG-DR") funding from the United States Department of Housing and Urban Development ("HUD") to assist low-to-moderate income homeowners to rehabilitate or reconstruct homes damaged by the Hurricanes via the EnVIsion Tomorrow Program;

WHEREAS, in November 2023, the ODR entered into a Subrecipient Agreement with the Virgin Islands Housing Finance Authority ("VIHFA") to provide Program and Project Management Services to include the Homeowner Reconstruction and Rehabilitation Program and the Rental Reconstruction and Rehabilitation Program (EnVIsion Tomorrow Program) funded by the Community Development Block Grant – Disaster Recovery;

WHEREAS, ODR serves as the center of coordination and organization across the Territory's governmental departments, agencies, and private/non-profit partners to maximize efficiencies and to administer broad oversight of the recovery effort to ensure full compliance with regulations relative to the management and monitoring of project funds, expenditures, and timelines;

WHEREAS, ODR is in need of the services of a Contractor to provide General Construction Contractor Services to perform repairs to certain property located at [REDACTED] Christiansted 00820 referred to as VI-HRR-01138, ("Property" or "the Premises");

WHEREAS, the Property was damaged by Hurricanes Irma and/or Maria and this Contract and required repairs are needed to bring the Property back to pre-hurricane status (the "Work");

WHEREAS, the Work is more particularly described in the Scope of Work, Paragraph 2 of this Contract;



WHEREAS, the Contractor represents that it is willing and capable of providing such services.

NOW THEREFORE, in consideration of the mutual covenants herein contained, and intending to be legally bound by this Contract, the parties hereto do covenant and agree as follows:

1. That the Preamble hereto constitutes an integral part of this Contract.
2. SERVICES/WORK TO BE PERFORMED

The Contractor will perform the Work in accordance with the following documents which are attached hereto and made a part of this Contract as follows;

- a. HUD General Provisions and 2 CFR Part 200 Appendix II, Contract Provisions for Non-Federal Contracts are attached hereto and incorporated herein as Collective Appendix A;
- b. ODR IFB 003-2024-STX/STT/STJ – EnVision HRRP General Construction Services attached hereto and incorporated herein as Appendix B;
- c. Addendum No. 1 to ODR IFB 003-2024-STX/STT/STJ attached hereto and incorporated herein as Appendix C;
- d. Addendum No. 2 to ODR IFB 003-2024-STX/STT/STJ attached hereto and incorporated herein as Appendix D;
- e. Contractor Bid Submittal Sheet for VI-HRR-01138 dated September 11, 2024, attached hereto and incorporated herein as Appendix E;
- f. Green Building Retrofit Checklist, attached hereto and incorporated herein as Appendix F;
- g. CDBG-DR Construction Standards, attached hereto and incorporated herein as Appendix G;
- h. Drawings for VI-HRR-01138, attached hereto and incorporated herein as Appendix H;
- i. Lumber/Warehouse Detailed Inventory List, STX, attached hereto and incorporated herein as Appendix I; and
- j. Mitigation Measures Report for VI-HRR-01138 attached hereto and incorporates as Appendix J.

The Contractor agrees to furnish all labor, materials, equipment, and services necessary to perform and complete the work. Provided however that ODR shall provide the materials as outlined in the Lumber/Warehouse Detailed Inventory List attached hereto as Appendix I. Further provided that in the event that the materials to be provided by ODR as listed in Appendix I are not available, Contractor shall be required to source such materials locally at the most reasonable available costs. If Contractor has not

previously provided for these costs in its bid submittal, Contractor shall submit a change order request for an adjustment in its price prior to incurring such costs.

ODR and the Contractor may agree to changes in or additions to the Scope of Work. However, no changes shall be valid unless in writing and signed by both Parties in the form of an executed Change Order before any work has commenced.

### 3. TERM

This Contract shall become effective upon full and final execution by the Parties. The Contractor agrees that time is of the essence and will commence work no less than Ten (10) days after the issuance of the Notice to Proceed and be completed within the time stated in the Notice to Proceed.

All Work shall be completed no later than the date set forth in the Notice to Proceed, which date shall be the contract termination date. ODR shall extend the completion date if there are delays caused by acts of nature, unavoidable circumstances, or the negligence of the ODR or its agents or employees other than the Contractor. For the purposes of the forgoing sentence, unavoidable delay shall include delays related to or caused by unavailability of materials through no fault of the Contractor. The Contractor shall advise the ODR upon the occurrence of delays, but no later than five (5) workdays after such occurrence. The extension shall equal the length of the delay by any of the above factors. However, there shall be no extensions to the completion date without the prior written consent of the Authority/ODR. The Authority/ODR reserves the right to modify and/or terminate the Contract per the terms outlined in the Contract if the Contractor fails to perform in a manner consistent with the terms of the Contract.

### 4. COMPENSATION

The ODR, in consideration of the satisfactory performance of the Work described in Paragraph 2 above, agrees to pay the Contractor, in accordance with the schedule of values as contained in the Contractor Bid Submittal Sheet (Appendix D), the sum of *Three Hundred Sixteen Thousand Thirty-Five Dollars and Three Cents (\$316,035.03)*.

The payment shall be made as follows:

- a. Pre-Construction Cost: 10% of the total Contract Amount, which is: *Thirty-One Thousand Six Hundred Three Dollars and Fifty Cents (\$31,603.50)* to secure the necessary equipment, labor and materials to commence the Work. The Pre-Construction Costs will be paid upon issuance of the Notice to Proceed. Contractor is required to submit receipts and other supporting documentation, as required, to demonstrate costs incurred to support the Pre-Construction Costs, failing which the ODR may withhold such amounts from subsequent payments until Contractor has provided the necessary receipts or documentation. Contractor shall be notified of any withholding per the notice requirement as outlined in Paragraph 45 of this Contract.
- b. Thereafter Contractor may submit a draw for Work performed in increments, as often

as weekly.

- c. 10% is withheld from each draw for retainage, excluding Pre-Construction Costs. Gross Receipt Taxes, as applicable, may also be withheld.
- d. Any Retainage due the Contractor for work performed and the final payment will be disbursed on the Final Disbursement Date subject to a Final Inspection and acceptance of the work as outlined in Paragraph 42.

5. LIQUIDATED DAMAGES

It is hereby agreed by the Parties that in the event the Contractor has not completed the scope of work under the terms set forth in this Contract, liquidated damages of Five Hundred Dollars (\$500.00) for each workday or portion thereof shall be due to the ODR. The liquidated damages shall be deducted from any contract monies due but not yet paid, to the extent available. Contractor shall be notified of the application of Liquidated Damages and the amount of such deductions in accordance with the Notice requirement of this contract as outlined in Paragraph 45 of the Contract. Should the Liquidated Damages exceed any amounts retained by the ODR for payment under the Contract, the Contractor shall pay the balance or amount of any liquidated damages due upon thirty (30) day's written notice from the ODR.

6. PERFORMANCE BOND:

N/A

7. COMPLETION & EXTENSION OF CONTRACT WORK

The Contract shall be deemed completed when the services are performed on or before the date as set forth in the Notice to Proceed the Notice to Proceed; and the ODR has accepted all work. If the satisfactory execution of the Contract shall require work or materials in substantially greater amounts or quantities than those set forth in the plans or scope of work, then the Contractor shall submit a request for a contract change order accompanied by supporting documentation which substantiate the additional work, and any associated increase in cost and time, if applicable. All Change Orders shall be in writing and shall be submitted to ODR for approval. The Change Order shall be fully executed prior to undertaking the additional work. No allowance shall be made for unauthorized delays or suspension of the work.

8. PAYMENT PROCESS

Invoices shall be submitted on a timely basis and may be submitted as often as monthly. Invoices shall be organized so that services associated with each construction phase are clearly identified in separate detailed listings of charges. Payment of invoices must be approved by the Authority or its designee after inspection. The ODR will make payments within thirty (30) days after invoice approval and acceptance of work after the inspections noted in Paragraphs 41 and 42.

Should the Contractor, after receipt of payment of invoices by the ODR not timely pay all persons who have fulfilled their obligations to perform labor and/or furnish materials in the prosecution of the work provided for herein, including by way of example workmen, laborers, furnishers of materials, machinery, equipment and fixtures, then Contractor agrees to indemnify and hold the ODR and the Authority harmless against any claims made against the Authority and ODR for such payment(s). Timely payments from the Contractor to its subcontractors shall mean that the Contractor shall remit payment to its subcontractors within ten (10) business days of receiving payment from the ODR.

Pursuant to Paragraph 20, Contractor shall obtain an executed lien waiver upon making final payments to all persons who have fulfilled their obligation to perform labor and/or furnish materials in the prosecution of the work provided herein. Such forms shall be submitted to the ODR before Final Disbursement.

9. WORKMANSHIP/WARRANTY

The Contractor will complete all work in a substantial and workmanlike manner according to standards and practices in the Contractor's trade for the construction work to be performed. The Work shall conform to all applicable codes and regulations which apply to the Work to be performed whether or not covered by the specifications and drawings for the Work. The Contractor warrants that the final product of Contractor's Work shall be fit for the purposes for which it is intended. Contractor will warrant against defects in materials and labor for a period of One (1) Year from the date of completion and upon acceptance of the Work.

10. DEFECTIVE WORK

The inspection of Work shall not relieve the Contractor of any of its obligations to fulfill the terms and conditions of the Contract as herein prescribed. Defective work shall be made good, and unsuitable materials may be rejected, notwithstanding that such Work and materials have been previously overlooked by the ODR's Project Manager and accepted or paid for. If the Work or any part thereof shall be found defective at any time before the final acceptance of the whole Work, or the final payment therefor, the Contractor shall forthwith make good such defect in a manner satisfactory to the ODR's Project Manager and shall replace at its own expense damaged or unsuitable materials with the new material of satisfactory quality. Any Work found to be defective after the Work performed under the Contract have been accepted will be address per the warranty provision in Paragraph 9 of this Contract.

11. ADDITIONAL WORK

The Parties understand that funds for payment of the consideration under this Contract are solely for the Work described in Paragraph 2 of the Contract; and shall not be used for other purposes or improvements on the Property that are not part of the Work. Any additional Work agreed upon by the Homeowner and Contractor shall not be initiated until after all Work identified in the Scope of Work has been completed and passed final inspection.

12. MOLD ASSESSMENT AND REMEDIATION

Mold assessment will be performed by Contractor. A visual inspection is the most important initial step in identifying a possible mold problem and in determining remedial strategies. Visual inspections should also include observations of hidden areas where damage may be present, such as crawl spaces, attics, and behind wallboards to the extent feasible without destructive testing or removal of apparently undamaged building materials. The extent of any water damage and mold growth should be visually assessed and the affected building materials identified.

Areas where mold was, or is, identified as part of the initial site inspection (ISI), the Walk Through or during construction will be required to be remediated by the Contractor. If a visual inspection by the Contractor reveals the presence of mold, the Contractor should take photographs of the presence of mold and submit them to the ODR's Project Manager.

### 13. REMEDICATION

Currently there are no governmental standards pertaining to acceptable levels of indoor airborne mold spores and structures. Mold is present everywhere in the environment. The Contractor shall eliminate moisture sources prior to remediation. The Contractor shall clean or replace the materials identified with mold contamination. Post remediation dehumidification may be necessary to dry the remaining structural framing materials prior to any rehabilitation. Any additional cost associated with this work shall be addressed as a change order to be agreed to by the Authority.

### 14. ACCEPTANCE OF PLANS AND CONSENT TO PERFORM THE PROJECT

Contractor hereby acknowledges that it has been presented the Scope of Work ("SOW") for the Contract. Contractor has fully reviewed the SOW, which specifies the construction and other activities, including any environmental mitigation as outlined in the Mitigation Measures Report, attached hereto as "Appendix J", to be conducted by Contractor on the Property. Contractor acknowledges and agrees that it shall not add tasks to the SOW unless authorized by the ODR.

### 15. CONTRACTOR'S REPRESENTATIONS

The Contractor warrants that it is fully informed regarding all the conditions affecting the work to be done and labor and materials to be furnished for the completion of the Contract. Contractor further represents that it is fully equipped, competent, and capable of performing the Work and is available to perform such Work.

The Contractor warrants that it is eligible to receive contract awards using federally appropriated funds and that it is not suspended or debarred from entering into contracts with any federal agency. The Contractor shall include this provision in each of its subcontracts hereunder, a copy of which shall be provided to the ODR upon execution. In the event the Contractor or sub-contractor misrepresents its eligibility to receive contract awards using federal funds, the Contractor agrees that it and/or its sub-contractor shall not be entitled to any payment for any work performed under this Contract. Further, Contractor agrees that it and/or its sub-contractor shall promptly reimburse the ODR for any progress

payments heretofore made.

16. REPRESENTATIONS, WARRANTIES, AND COVENANTS BY CONTRACTOR

Contractor represents, warrants, and covenants as follows:

- a. Contractor is duly organized and existing and authorized, qualified and licensed to do business in the United States Virgin Islands.
- b. Contractor will, during the construction period of the project, remain a General Contractor, engaged in the business of construction, will remain in good standing and qualified to do business under the laws of the Territory, including maintenance at all times of a valid V.I. business license, and will not cease doing business, dissolve or otherwise dispose of all or substantially all of its assets and will not voluntarily consolidate with or merge into any other entity or permit one or more other entities to consolidate with or merge into it. Contractor has the power to execute, deliver and perform, and enter into the transactions contemplated by this Agreement, and has duly authorized the execution, delivery, and performance of this Agreement.
- c. The execution and delivery of this Agreement, the consummation of the transaction contemplated hereby and the fulfillment or compliance with the terms and conditions of this Agreement do not and will not conflict with or result in a breach of any of the terms, conditions, or provisions of any legal restrictions or any agreement or instrument to which the Contractor is now a party or by which it is bound or constitute a default under any of the foregoing.
- d. No information, statement, or report furnished in writing by the Contractor in connection with the negotiation of, or performance under, this Agreement and the consummation of the transactions contemplated hereby contains any material misstatement of fact or omits to state a material fact necessary to make the statements contained therein, in the light of the circumstances under which they were made, not misleading.
- e. That it has obtained all the applicable licenses or permits, temporary or otherwise, as required by Title 27 of the Virgin Islands Code; and familiarized itself with the applicable provisions of Title 27 of the Virgin Islands Code pertaining to professions and occupations.

***Warning: Any person who knowingly makes a false claim or statement to HUD may be subject to civil or criminal penalties under 18 U.S.C. 287, 1001 and 31 U.S.C. 3729.***

17. LIABILITY OF OTHERS

Nothing in this Contract shall be construed to impose any liability upon the Authority to persons, firms, associations, or corporations engaged by Contractor as servants, agents, or independent contractors, or in any other capacity whatsoever, or make the ODR or the Authority liable to any such persons, firms, associations, or corporations for the acts, omissions, liabilities, obligations and taxes of Contractor of whatsoever nature, including but not limited to unemployment insurance and social security taxes for Contractor its servants, agents, or independent contractors.

18. CONDITION OF PREMISES

The Contractor agrees to keep the Premises orderly, and to remove daily all debris as needed in performing the scope of Work in order to maintain safe working conditions and leave the area in a neat and clean condition. The Contractor agrees to maintain the work area free from major obstructions/hazards to the greatest extent possible and to ensure safe access at all times.

19. CONTRACTOR, MATERIALMEN OR MECHANICS' LIENS

The ODR and the Authority will not suffer or permit any mechanics or materialmen's liens to be filed or otherwise asserted against the Property or against any funds due to Contractor and will promptly seek discharge of any such lien filed. Contractor, subcontractors, suppliers, vendors, trades and any other persons or entities performing work on the Property are strictly prohibited from placing liens on said Property. Contractor shall inform all persons or entities of such strict prohibition. Contractor is responsible for the removal, and any associated expense involved therewith, of any lien placed on the subject Property by the Contractor, or any subcontractor, supplier, vendor, trade or other person or entity performing work for the Contractor, irrespective of the fault or cause of such attachment.

Additionally, pursuant to V.I. Code Title 28, Chapter 12, Section 254 "a construction lien does not exist for work, services, materials, or equipment, in connection with the improvement of a residential dwelling under an emergency home repair program property or other home-repair or construction program administered by the Government of the Virgin Islands or any instrumentality of the Government of the Virgin Islands".

20. LIEN WAIVERS

The Contractor agrees to protect, defend and indemnify the Authority and ODR from any claims for unpaid work, labor or materials with respect to the Contractor's performance under this Contract and shall execute a Lien Waiver, from both the Contractor and all subcontractors, upon receipt of each payment. Final payment shall not be due until the Contractor has delivered to the ODR a complete release of all liens for Work completed arising out of Contractor's performance or a receipt in full covering all labor and materials for which a lien could be filed or a bond satisfactory to the ODR by indemnifying the Authority, ODR and the Homeowner against any and all liens.

21. INDEPENDENT CONTRACTOR

The Contractor shall perform this Contract as an independent Contractor, and nothing herein contained shall be construed to be inconsistent with this relationship or status. The Contractor shall be responsible for the supervision of Contractor's employees, subcontractors, and authorized representatives. All workers must be competent and skilled in their work.

22. FORCE MAJEURE

Neither Party shall be liable for any delay or failure in performance beyond its control resulting from Acts of God or force majeure (extraordinary weather conditions or other natural catastrophes, war, terrorist attacks, sabotage, computer viruses, riots, strikes, lockouts or other industrial disturbances, acts of governmental agencies or authorities, discovery of hazardous materials or differing and unforeseeable site conditions, or other events beyond the reasonable control of the claiming Party). The Parties shall use reasonable efforts to eliminate or minimize the effect of such events on their respective duties under the Contract. Contractor shall be entitled to an equitable adjustment in Work Order schedules and unit prices in the foregoing circumstance.

23. INDEMNITY AND LIMITATION OF LIABILITY

Contractor shall be fully liable for the actions of its agents, employees, partners or sub-contractors and shall fully indemnify and hold harmless the Authority, its Office of Disaster Recovery, its Board of Directors, agents and employees, from suits, actions, damages and costs of every name and description relating to personal injury and damage to real or personal tangible property (other than the Work itself) but only to the extent caused by the negligent acts or omissions of the Contractor, its agents, employees, partners or sub-contractors, without limitation; provided, however, that the Contractor shall not indemnify for that portion of any claim, loss or damage arising hereunder due to the negligent act or failure to act of the Authority or ODR.

Contractor shall agree to defend, indemnify and hold the Authority and ODR harmless from and against any and all loss, damage, liability, claims, demands, detriments, cost, charges, and expense (including attorneys' fees) and causes of action of whatsoever character which the Authority or ODR may incur, sustain, or be subjected to, arising out of or in any way connected to the services to be performed by Contractor under this Contract and arising from any cause, except the sole negligence of the Authority or ODR. Contractor shall, on or before contract execution, provide the ODR with a copy of Contractor's insurance certificate evidencing coverage for liability and personal injury.

Contractor will indemnify, defend and hold the Authority, its Office of Disaster Recovery, its Board of Directors, agents and employees, harmless, without limitation, from and against any and all damages, expenses (including reasonable attorneys' fees), claims, judgments, liabilities and costs for infringement of a United States Patent with respect to the Products furnished, or of any copyright, trademark, trade secret or intellectual property right, provided that the Authority and ODR shall give the Contractor:

- a. prompt written notice of any action, claim or threat of infringement suit, or other suit;

- b. the opportunity to take over, settle or defend such action, claim or suit at Contractor's sole expense; and
- c. assistance in the defense of any such action at the expense of Contractor.

Where a dispute or claim arises relative to a real or anticipated infringement, the Authority, ODR, or its Board of Directors, agents and employees may require Contractor, at its sole expense, to submit such information and documentation, including formal patent attorney opinions, as the ODR or the Authority shall require. Unless otherwise specifically enumerated herein or in the any changes to the Contract as mutually agreed between the Parties, neither Party shall be liable to the other for special, indirect or consequential damages, including lost data or records (unless the Contractor is required to back-up the data or records as part of the work plan), even if the Party has been advised of the possibility of such damages. Neither Party shall be liable for lost profits, lost revenue or lost institutional operating savings.

The Authority and ODR may, in addition to other remedies available at law or equity and upon notice to the Contractor per Paragraph 45 of this Contract, retain such monies from amounts due Contractor, as may be necessary to satisfy any claim for damages, penalties, or costs asserted by or against them.

#### 24. WORKMAN'S COMPENSATION

The Contractor shall provide Workman's Compensation Insurance coverage for all employees involved in the performance of this Contract.

#### 25. INSURANCE AND BONDING

The Contractor shall maintain liability insurance in an amount no less than One Million Dollars (\$1,000,000) for protection against claims for damages because of bodily injury or death, claims for damages, to property which may arise out of or result from the Contractor's operation under the contract whether such operations be by the Contractor or by any Subcontractor or anyone directly or indirectly employed by any of them. Contractor shall place the Authority as an additional named insured on the policy.

#### 26. VERIFICATION OF COVERAGE

Contractor shall furnish the ODR with certificates of insurance reflecting proof of required coverage. The certificates for each insurance are to be signed by a person authorized by that insurer to bind coverage on its behalf and the certificates are to be submitted on or before Contract execution and approved by the Authority before work commences.

Upon failure of the Contractor to furnish, deliver and maintain such insurance as above provided, this contract, at the election of ODR may be suspended, discontinued or terminated. Failure of the Contractor to purchase and/or maintain any required insurance shall not relieve the Contractor from any liability or indemnification under the Contract. Contractor shall include all subcontractors as insureds under

its policies or shall be responsible for verifying and maintaining the certificates provided by each Subcontractor. Subcontractors shall be subject to all of the requirements of the Authority herein.

27. SUB-CONTRACTOR

Contractor may enter into subcontracts with third parties ("Sub-Contractors") for the performance of any part of specialized Contractor's duties and obligations (i.e. electrical, plumbing, etc.). In no event shall the existence of a subcontract operate to release or reduce the liability of Contractor to the ODR and Authority for any breach in the performance of Contractor's duties. Sub-Contractor's Contracts must meet all contracting, indemnity, insurance and regulatory compliance requirements. The Parties hereby agree that any non-compete Contract or similar Contract with any Sub-Contractor(s) seeking to restrain the ability of the Sub-Contractor to perform any services for the Authority shall be deemed unenforceable, null and void, to the extent of such non-compete provision, but without invalidating the remaining provisions of the contract with the Sub-Contractor.

28. ASSIGNMENT OF THE CONTRACT

The Contractor shall not subcontract or assign this Contract without the prior written consent of the Authority.

29. WAIVERS AND AMENDMENTS

No waiver, modification or amendment of any term, condition or provision of this Contract shall be valid or of any force or effect unless made in writing, signed by the parties hereto or their duly authorized representatives, and specifying with particularity, the nature and extent of such waiver, modification or amendment. Any such waiver, modification or amendment in any instance or instances shall in no event be construed to be a general waiver, modification or amendment of any of the terms, condition or provisions of this Contract, but the same shall be strictly limited and restricted to the extent and occasion specified in such signed writing or writings.

30. RIGHT TO WITHHOLD

If work under this Contract is not performed in accordance with the terms hereof, the ODR will have the right to withhold, out of any payment due to Contractor, such sums as the ODR may deem ample to protect it against loss or to assure payment of claims arising therefrom, and at its option, the Authority may apply such sums in such manner as the Authority may deem proper to secure itself or to satisfy such claims. The ODR will immediately notify the Contractor in writing, as per the notice requirements in Paragraph 45 of this Contract, in the event that it elects to exercise its right to withhold.

31. TERMINATION

Either Party will have the right to terminate this Contract with or without cause on thirty (30) days written notice to the other party specifying the date of termination.

- a. **TERMINATION FOR CAUSE:** Except as hereafter provided, the Authority/ODR shall have the immediate and automatic right to terminate this Agreement upon the occurrence by Contractor of a material breach of any term, condition, representation, warranty or covenant of this Agreement, or the Proposal. A material breach shall include, but not be limited to, the following, if applicable:
- 1) submission to the ODR of reports which are incorrect or incomplete in any material respect;
  - 2) debarment, or the threat thereof, by any federal or local government agency or department;
  - 3) failure to pay creditors and subcontractors which may cause the placement of liens on the Homeowner's s Property;
  - 4) failure to maintain any bonds, licenses and insurance if required under this Agreement;
  - 5) offering of bribes, threatening or abuse of program participants or failure to comply with applicable federal or local requirements;
  - 6) abandonment of the performic of the Work by Contractor for a period of seven (7) days;
  - 7) failure to diligently obtain skill specific permits and approvals to perform the Work;
  - 8) if the Contractor is adjudged bankrupt, or if it makes a general assignment for the benefit of creditors, or if a trustee or receiver is appointed on account of its insolvency;
  - 9) if the Contractor persistently or repeatedly refuses or fails, except in cases for which an extension of time is provided, to supply enough properly skilled workmen or proper materials;
  - 10) failure to correct Work which is not in accordance with the final construction drawings and specifications; or
  - 11) persistent disregard of the laws, rules, regulations or orders of any public authority having jurisdiction;
  - 12) failure to utilize industry standards in a method or installation;
  - 13) failure to comply with the approved Scope of Work by adding items without approval or by failing to provide materials and/or workmanship in accordance with the Contract; and
  - 14) failure to adhere to standards and practices of phases within the Project as outlined in the Scope of Work.

- b. **PARTIAL TERMINATION:** The performance of Work under this Contract may be terminated by the Authority in part, whenever the Authority shall deem such termination advisable. This partial termination shall be affected by delivering to the Contractor a Notice of Partial Termination specifying the extent to which the term and/or duties of this Contract are terminated and the date upon which such termination becomes effective. The Contractor shall be entitled to receive payment for services provided to the date of termination, including payment for the period of thirty (30) days' notice.

32. NON-DISCRIMINATION

No person shall be excluded from participating in, be denied the proceeds of, or be subject to discrimination in the performance of this Contract on account of race, creed, color, religion, sexual orientation, or national origin.

33. FALSE CLAIMS

- a. The Contractor warrants that it shall not, with respect to this Contract, make or present any claim upon or against the ODR or the Authority. The Contractor acknowledges that making such a false, fictitious, or fraudulent claim is an offense under Virgin Islands law.
- b. The Contractor acknowledges that this Contract is funded, in whole or in part, by federal funds. The Contractor warrants that it shall not, with respect to this Contract, make or present any claim knowing such claim to be false, fictitious or fraudulent. The Contractor acknowledges that making such false, fictitious, or fraudulent claim is a federal offense.

34. SECTION 3

This Contract is subject to the requirements of **Section 3** of the Housing and Urban Development Act of 1968 as amended, 12 U.S.C., 17010. **Section 3** requires that to the greatest extent possible feasible opportunities for training and employment be given to lower income residents of the project area and contracts for work in connection with the project be awarded to business concerns which are located in or owned in substantial part by persons residing in the area of the project.

35. EXECUTIVE ORDER 11246 NON-DISCRIMINATION

This Contract is subject to the requirements of **Executive Order 11246**; hence the Contractor shall not discriminate against any employee or applicant because of race, color, religion, sex, or national origin. Such actions will include but shall not be limited to the following: employment, upgrading, demotion, or transfer; recruitment advertising; layoff, or termination; rates of pay or other forms of compensation. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment,

notices setting forth the provisions of this non-discrimination clause.

36. AFFIRMATIVE ACTION PLAN

In order to comply with **Section 3** and **Executive Order 11246**, the U.S. Department of Housing and Urban Development requires that all contractors develop and implement an Affirmative Action Plan. This plan is a series of forms and statements, which shows specific steps taken by the Contractor to promote Equal Opportunity and the utilization of area residents and businesses in the implementation of this Contract. This plan must be submitted to the Authority at the following address: Office of Disaster Recovery, 402 Strand Street, Frederiksted, St Croix, St. Thomas 00840.

37. FEDERAL LABOR STANDARDS PROVISIONS

All laborers and mechanics employed upon the work covered by this Contract shall be paid at rates not less than those prevailing on similar construction in the locality as determined by the Secretary of Labor in accordance with the **Davis Bacon Act**, as amended (40 U. S. 276a-276a-5) and shall receive overtime compensation in accordance with and subject to the provisions of the Contract Work Hours and Safety Standards Act 940 U. S. C. 327332). The Contractors and all its sub-contractors shall comply with regulations issued pursuant to the labor standards provisions. For the duration of this Contract, the Contractor and sub-contractors shall submit copies of weekly payroll forms and cancelled checks to the ODR.

38. SECTION 106 COMPLIANCE

The Contractor shall ensure that areas of archaeological sensitivity will not be disturbed during construction. No heavy equipment shall be used in any area which has been determined to be an area of archaeological sensitivity. The Contractor agrees that, if there is any question relative to the archaeological value or historic designation of the site in general or any specific features on the site, it shall seek guidance from the Division of Historic Preservation of the Department of Planning and Natural Resources before undertaking any Work.

39. CONFLICT OF INTEREST

Contractor covenants that it has no interest and will not acquire any interest, direct or indirect, which would conflict in any manner or degree with the performance of services required to perform under this Contract.

40. WARRANTY OF NON-SOLICITATION

The Contractor expressly warrants that it has not employed any person to solicit or obtain this Contract on its behalf, or cause or procure the same to be obtained upon compensation in any way, contingent, in whole or in part, upon such procurement, and that it has not paid, or promised or agreed to pay to any person, in consideration of such procurement, or in compensation for services in connection therewith, any brokerage, commission, or percentage upon the amount receivable by Contractor hereunder;

and that it has not, in estimating the Contract price demand included any sum by reason of such brokerage, commission or percentage, and that all monies payable to it hereunder are free from obligation to any other person for services rendered, supposed to have been rendered, in the procurement of this Contract. Breach of this warranty shall give the Authority/ODR the right to terminate this Contract, or in its discretion, to deduct from the Contract price or consideration the amount of such commission, percentage, brokerage or contingent fees.

41. PRELIMINARY INSPECTION(S)

All work will be subject to periodic inspections by the ODR or its designee. Prior to request for final inspection, the Contractor shall notify the ODR's Project Manager of the anticipated date of completion so that any major defects or deficiencies may be pointed out to the Contractor for correction prior to the final inspection.

42. FINAL INSPECTION

The Scope of Work shall be considered complete upon acceptance by the Authority after a final inspection conducted by the Homeowner and the ODR. Once requested by Contractor, final inspection shall be initiated within seven (7) business days and the time period between request for final inspection and completion of final inspection shall not be counted against the agreed upon period of performance. If the ODR conducts an inspection and determine that the Scope of Work has been completed by signing off on the Final Inspection but the Homeowner does not issue an acceptance, the ODR shall administratively close the Scope of Work and issue a final payment.

43. RECORDS

The Contractor shall maintain for a period of seven (7) years, documented, precise records of time and/or money expended under this Contract.

44. AMENDMENTS

- a. No amendment(s), modifications, or changes shall be made to this Contract unless Contractor delivers such proposed amendment(s) to the ODR for approval prior to the execution of the Amendment to the Contract.
- b. Amendments must make specific reference to this Contract, must be in writing, and signed by a duly authorized representative of the parties of this Contract. Such amendments shall not invalidate this Contract, nor relieve or release Contractor from its obligations under the Agreement or the Contractor from its obligations under this Contract.
- c. Contractor agrees to cooperate with amending the Contract to conform with federal, state, or local governmental guidelines, policies, and available funding amounts, or for other reasons. If such amendments result in a change in the funding, the Scope of

FR Maintenance & Construction, LLC  
Property ID: VI-HRR-01138  
CIS No.: 2024-ODR-065

Work to be undertaken as part of this Contract, Schedule of Values, or the project schedules, such modifications will be incorporated only by written amendment approved and signed by both the Authority/ODR and Contractor.

45. NOTICE

Any notices required pursuant to this Contract shall be addressed to the respective Parties at the following addresses:

VIRGIN ISLANDS PUBLIC  
FINANCE AUTHORITY:

Kevin McCurdy  
Executive Director  
Virgin Islands Public Finance Authority  
5033 Kongens Gade  
St. Thomas, Virgin Islands 00802

Copy to:

Nathan Simmonds  
Director of Finance & Administration  
P.O. Box 430  
St. Thomas, V.I. 00804

VIRGIN ISLANDS OFFICE  
OF DISASTER RECOVERY:

Adrienne L. Williams-Octalien  
Director  
Virgin Islands Office of Disaster Recovery  
14A & 14C Strand Street  
Frederiksted, Virgin Islands 00840

FR Maintenance &  
Construction, LLC.



Francis Romain  
Owner  
FR Maintenance & Construction, LLC.  
49 A Estate Cane Carlton  
Frederiksted, VI 00840

Any notice required or permitted to be given under this Agreement shall be in writing, shall specifically refer to this Contract, and shall be addressed to the appropriate Party at the address specified above or such other address as may be specified by such Party in writing in accordance with this Paragraph 45, and shall be deemed to have been given for all purposes:

- a. when received and signed for, if hand-delivered, or
- b. five (5) Business Days after mailing, if mailed by first class certified mail, return receipt requested.

46. TAXES

Contractor is responsible for payment of all applicable federal and local Territorial taxes, including any taxes of any out-of-state employees who are currently assigned to this project and are working within the Territory.

47. GROSS RECEIPT TAXES

Title 33 V.I.C. Ch.3, §44, as amended, requires the Authority, when making a payment under this Contract, to deduct and withhold from such payments, gross receipts taxes as required by law at 33 VIC Section 43(a) for each payment for Work performed in the Virgin Islands. It is agreed between the Parties that for the purposes of complying with Title 33, Ch. 3, Section 44 of the Virgin Islands Code, the Authority shall withhold and forward to the Virgin Islands Bureau of Internal Revenue ("VIBIR") such amount as required by the law at 33 VIC Section 43(a) or any amendments thereto.

The Contractor agrees that the calculation and payment of gross receipts taxes shall be its sole responsibility. the Authority/ODR shall not be responsible in any way for any miscalculation, or additional assessments by the VIBIR resulting from Work performed under this Contract. In the unlikely event any overpayment or underpayment is made to the VIBIR, the Contractor shall resolve such matter with VIBIR and inform the Authority of the resolution thereof.

48. GOVERNING LAW AND VENUE

This Contract shall be governed by and construed in accordance with the laws of the Territory of the United States Virgin Islands and venue shall be in the United States Virgin Islands. Venue for any action between the Authority and Contractor which relates to this Contract shall be in the United States Virgin Islands.

49. SEVERABILITY

If any provision(s) of this Contract shall be held to be invalid, illegal, unenforceable or in conflict with the law of the United States Virgin Islands, it shall be regarded as stricken and the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired.

50. ENTIRE CONTRACT

This Contract constitutes the entire Contract between the Parties hereto, and all prior understandings or communications, written or oral, with respect to the work to be done under this contract, are merged herein.

51. COUNTERPARTS

This Contract may be signed in counterparts, each of which will be deemed an original.

52. FEDERAL CROSS CUTTING MEASURES

All contracts to be funded under the CDBG-DR program require the inclusion of federal cross-cutting requirements as part of the conditions of the contract. These federal cross-cutting requirements are attached hereto as Appendix A.

53. ORDER OF PRECEDENCE

In the event of a conflict in the terms and conditions of this Contract, the following order of precedence shall apply:


- 1) HUD General Provisions and 2 CFR Part 200 Appendix II, Contract Provisions for Non-Federal Contracts (Collective Appendix A).
- 2) CDBG-DR Construction Standards (Appendix G).
- 3) This Contract for Construction Services.
- 4) ODR IFB 003-2024-STX/STT/STJ- EnVision HRRP General Construction Services (Appendix B).
- 5) Addendum No. 1 to ODR IFB 003-2024-STX/STT/STJ (Appendix C).
- 6) Addendum No. 2 to ODR IFB 003-2024-STX/STT/STJ (Appendix D).
- 7) STX Drawings VI-HRR-01138 (Appendix H).
- 8) Mitigation Measures Report for VI-HRR-01138 attached hereto and incorporates as Appendix J.
- 9) Green Building Retrofit Checklist (Appendix F).
- 10) Contractor Bid Submittal Sheet for VI-HRR-01138 (Appendix E).
- 11) Lumber/Warehouse Detailed Inventory List, attached hereto and incorporated herein as Appendix I.

IN WITNESS WHEREOF, the Parties have hereunder set their hands.

WITNESSES:

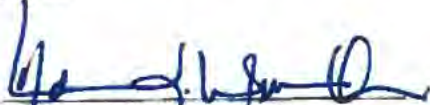
  
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VIRGIN ISLANDS PUBLIC FINANCE  
AUTHORITY

By:   
Nathan Simmonds  
Director of Finance and Administration


*Kristina Diaz*  
\_\_\_\_\_

VIRGIN ISLANDS OFFICE OF DISASTER  
RECOVERY

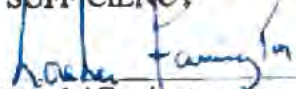
By:   
Adrienne L. Williams-Octalien  
Director

  
\_\_\_\_\_

FR Maintenance & Construction, LLC

By:   
Francis Romain  
Owner

APPROVED FOR LEGAL  
SUFFICIENCY

 11/7/2024  
Lorelei Farrington, Esq.  
Staff Counsel  
Virgin Islands Public Finance Authority

## ATTACHMENTS

1. HUD General Provisions and 2 CFR Part 200 Appendix II, Contract Provisions for Non-Federal Contracts are attached hereto and incorporated herein as Collective Appendix A;
2. ODR IFB 003-2024-STX/STT/STJ- EnVision HRRP General Construction Services attached hereto and incorporated herein as Appendix B;
3. Addendum No. 1 to ODR IFB 003-2024-STX/STT/STJ attached hereto and incorporated herein as Appendix C;
4. Addendum No. 2 to ODR IFB 003-2024-STX/STT/STJ attached hereto and incorporated herein as Appendix D;
5. Contractor Bid Submittal Sheet for VI-HRR-01138 dated September 11, 2024, attached hereto and incorporated herein as Appendix E;
6. Green Building Retrofit Checklist, attached hereto and incorporated herein as Appendix F;
7. CDBG-DR Construction Standards, attached hereto and incorporated herein as Appendix G;
8. Drawings for VI-HRR-01138, attached hereto and incorporated herein as Appendix H;
9. Lumber/Warehouse Detailed Inventory List, STX, attached hereto and incorporated herein as Appendix I.
10. Mitigation Measures Report for VI-HRR-01138 attached hereto and incorporates as Appendix J.

## APPENDIX A HUD FEDERAL CROSS CUTTING METHODS

The following terms and conditions apply to any contract for which any portion of the funding is derived from a grant made by the United States Department of Housing and Urban Development ("HUD"). In addition, Contractor/Subcontractor shall comply with the Federal Labor Standards Provisions set forth in Form HUD-4010, available at <http://www.hud.gov/offices/adm/hudclips/forms/files/4010.pdf>.

### 1. PROVISIONS REQUIRED BY LAW DEEMED INSERTED

Each and every provision of law and clause required by law to be inserted in this contract shall be deemed to be inserted herein and the contract shall be read and enforced as though it were included herein, and if through mistake or otherwise any such provision is not inserted, or is not correctly inserted, then upon the application of either party the contract shall forthwith be physically amended to make such insertion or correction.

### 2. STATUTORY AND REGULATORY COMPLIANCE

Contractor/Subcontractor shall comply with all laws and regulations applicable to the Community Development Block Grant-Disaster Recovery funds appropriated by the Disaster Relief Appropriations Act, 2017 (Pub. L. 115-56) and the Bipartisan Budget Act of 2018 ("BBA"), (Pub. L. 115-123), including but not limited to the applicable Office of Management and Budget Circulars, which may impact the administration of funds and/or set forth certain cost principles, including the allowability of certain expenses.

### 3. BREACH OF CONTRACT TERMS

The Authority reserves its right to all administrative, contractual, or legal remedies, including but not limited to suspension or termination of this contract, in instances where the Contractor or any of its subcontractors violate or breach any contract term. If the Contractor or any of its subcontractors violate or breach any contract term, they shall be subject to such sanctions and penalties as may be appropriate. The duties and obligations imposed by the contract documents and the rights and remedies available thereunder shall be in addition to and not a limitation of any duties, obligations, rights and remedies otherwise imposed or available by law.

### 4. REPORTING REQUIREMENTS

The Contractor/Subcontractor shall complete and submit all reports, in such form and according to such schedule, as may be required by the Authority. The Contractor/Subcontractor shall cooperate with all the Authority efforts to comply with HUD requirements and regulations pertaining to reporting, including but not limited to 24 C.F.R. §§ 85.40-41 (or 84.50-52, if applicable) and 570.507.

5. ACCESS TO RECORDS

The State, the U.S. Department of Housing and Urban Development, the Comptroller General of the United States, or any of their duly authorized representatives, shall have at any time and from time to time during normal business hours, access to any work product, books, documents, papers, and records of the Subcontractor which are related to this contract, for the purpose of inspection, audits, examinations, and making excerpts, copies and transcriptions.

6. MAINTENANCE/RETENTION OF RECORDS

All records connected with this contract will be maintained in a central location and will be maintained for a period of at least 3 years following the date of final payment and close-out of all pending matters related to this contract.

7. SMALL AND MINORITY FIRMS, WOMEN'S BUSINESS ENTERPRISES, AND LABOR SURPLUS AREA FIRMS

The Contractor/Subcontractor will take necessary affirmative steps to assure that minority firms, women's business enterprises, and labor surplus area firms are used in subcontracting when possible. Steps include:

- a. Placing qualified small and minority businesses and women's business enterprises on solicitation lists;
- b. Assuring that small and minority businesses, and women's business enterprises are solicited whenever they are potential sources;
- c. Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and minority business, and women's business enterprises;
- d. Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority business, and women's business enterprises; and
- e. Using the services and assistance of the Small Business Administration, and the Minority Business Development Agency of the Department of Commerce.

8. RIGHTS TO INVENTIONS MADE UNDER A CONTRACT OR AGREEMENT

Contracts or agreements for the performance of experimental, developmental, or research work shall provide for the rights of the Federal Government and the recipient in any resulting invention in accordance with 37 CFR part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by HUD.

9. ENERGY EFFICIENCY

The Contractor/Subcontractor shall comply with mandatory standards and policies relating to energy efficiency issued in compliance with the Energy Policy and Conservation Act (Public Law 94-163).

10. TITLE VI OF THE CIVIL RIGHTS ACT OF 1964

The Contractor/Subcontractor shall comply with the provisions of Title VI of the Civil Rights Act of 1964. No person shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.

11. SECTION 109 OF THE HOUSING AND COMMUNITY DEVELOPMENT ACT OF 1974

The Contractor/Subcontractor shall comply with the provisions of Section 109 of the Housing and Community Development Act of 1974. No person in the United States shall on the grounds of race, color, national origin, or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity funded in whole or in part with funds made available under this title. Section 109 further provides that discrimination on the basis of age under the Age Discrimination Act of 1975 or with respect to an otherwise qualified handicapped individual as provided in Section 504 of the Rehabilitation Act of 1973, as amended, is prohibited.

12. SECTION 504 OF THE REHABILITATION ACT OF 1973

The Contractor/Subcontractor shall comply with section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 94), as amended, and any applicable regulations.

The Contractor/Subcontractor agrees that no qualified individual with handicaps shall, solely on the basis of handicap, be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination under any program or activity that receives Federal financial assistance from HUD.

13. AGE DISCRIMINATION ACT OF 1975

The Contractor/Subcontractor shall comply with the Age Discrimination Act of 1975 (42 U.S.C. § 6101 *et seq.*), as amended, and any applicable regulations. No person in the United States shall, on the basis of age, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity receiving Federal financial assistance.

14. DEBARMENT, SUSPENSION, AND INELIGIBILITY

The Contractor/Subcontractor represents and warrants that it and its subcontractors are not debarred or suspended or otherwise excluded from or ineligible for participation in Federal assistance programs subject to 2 C.F.R. part 2424.

15. CONFLICTS OF INTEREST

The Contractor/Subcontractor shall notify the Authority as soon as possible if this contract or any aspect related to the anticipated work under this contract raises an actual or potential conflict of interest (as defined at 2 C.F.R. Part 215 and 24 C.F.R. § 85.36 (or 84.42, if applicable)). The Contractor/Subcontractor shall explain the actual or potential conflict in writing in sufficient detail so that the State is able to assess such actual or potential conflict. The Contractor/Subcontractor shall provide the Authority with any additional information necessary for the Authority to fully assess and address such actual or potential conflict of interest. The Contractor/Subcontractor shall accept any reasonable conflict mitigation strategy employed by the Authority, including but not limited to the use of an independent subcontractor(s) to perform the portion of work that gives rise to the actual or potential conflict.

16. SUBCONTRACTING

When subcontracting, the Contractor/Subcontractor shall solicit for and contract with such Contractor/subcontractors in a manner providing for fair competition. Some of the situations considered to be restrictive of competition include but are not limited to:

- a. Placing unreasonable requirements on firms in order for them to qualify to do business;
- b. Requiring unnecessary experience and excessive bonding;
- c. Noncompetitive pricing practices between firms or between affiliated companies;
- d. Noncompetitive awards to consultants that are on retainer contracts;
- e. Organizational conflicts of interest;
- f. Specifying only a *brand name* product instead of allowing an *equal* product to be offered and describing the performance of other relevant requirements of the procurement; and
- g. Any arbitrary action in the procurement process.

The Contractor/Subcontractor represents to the Authority that all work shall be performed by personnel experienced in the appropriate and applicable profession and areas of expertise, considering the nature of the work to be performed under this contract.

The Contractor will include these HUD General Provisions in every subcontract issued by it so that such provisions will be binding upon each of its subcontractors as well as the requirement to flow down such terms to all lower-tiered subcontractors.

17. ASSIGNABILITY

The Contractor/Subcontractor shall not assign any interest in this contract and shall not transfer any interest in the same (whether by assignment or novation) without prior written approval of the Authority.

18. INDEMNIFICATION

The Contractor/Subcontractor shall indemnify, defend, and hold harmless the Authority and its agents and employees from and against any and all claims, actions, suits, charges, and judgments arising from or related to the negligence or willful misconduct of the Contractor/Subcontractor in the performance of the services called for in this contract.

19. COPELAND "ANTI-KICKBACK" ACT (Applicable to all construction or repair contracts)

Salaries of personnel performing work under this contract shall be paid unconditionally and not less often than once a month without payroll deduction or rebate on any account except only such payroll deductions as are mandatory by law or permitted by the applicable regulations issued by the Secretary of Labor pursuant to the Copeland "Anti-Kickback Act" of June 13, 1934 (48 Stat. 948; 62 Stat. 740; 63 Stat. 108; Title 18 U.S.C. § 874; and Title 40 U.S.C. § 276c). The Contractor shall comply with all applicable "Anti-Kickback" regulations and shall insert appropriate provisions in all subcontracts covering work under this contract to ensure compliance by subcontractors with such regulations and shall be responsible for the submission of affidavits required of subcontractors thereunder except as the Secretary of Labor may specifically provide for variations of or exemptions from the requirements thereof.

20. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

(Applicable to construction contracts exceeding \$2,000 and contracts exceeding \$2,500 that involve the employment of mechanics or laborers)

The Contractor/Subcontractor shall comply with Sections 103 and 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-330) as supplemented by Department of Labor regulations (29 C.F.R. part 5).

All laborers and mechanics employed by contractors or subcontractors shall receive overtime compensation in accordance with and subject to the provisions of the Contract Work Hours and Safety Standards Act, and the contractors and subcontractors shall comply with all regulations issued pursuant to that act and with other applicable Federal laws and regulations pertaining to labor standards.

21. DAVIS-BACON ACT

(Applicable to construction contracts exceeding \$2,000 when required by Federal program legislation)

The Contractor/Subcontractor shall comply with the Davis Bacon Act (40 U.S.C. §§ 276a to 276a-7) as supplemented by Department of Labor regulations (29 C.F.R. part 5).

All laborers and mechanics employed by contractors or subcontractors, including employees of other governments, on construction work assisted under this contract, and subject to the provisions of the federal

acts and regulations listed in this paragraph, shall be paid wages at rates not less than those prevailing on similar construction in the locality as determined by the Secretary of Labor in accordance with the Davis-Bacon Act.

22. TERMINATION FOR CAUSE

If, through any cause, the Contractor/Subcontractor shall fail to fulfill in a timely and proper manner his obligations under this contract, or if the Contractor/Subcontractor shall violate any of the covenants, agreements, or stipulations of this contract, the Authority shall thereupon have the right to terminate this contract by giving written notice to the Contractor/Subcontractor of such termination and specifying the effective date thereof, at least five (5) days before the effective date of such termination. In such event, all finished or unfinished documents, data, studies, surveys, drawings, maps, models, photographs, and reports prepared by the Contractor/Subcontractor under this contract shall, at the option of the Authority, become the Authority's property and the Contractor/Subcontractor shall be entitled to receive just and equitable compensation for any work satisfactorily completed hereunder. Notwithstanding the above, the Contractor/Subcontractor shall not be relieved of liability to the Authority for damages sustained by The Authority by virtue of any breach of the contract by the Contractor/Subcontractor, and the Authority may withhold any payments to the Contractor/Subcontractor for the purpose of set-off until such time as the exact amount of damages due to the Authority from the Subcontractor is determined.

23. TERMINATION FOR CONVENIENCE

The Authority may terminate this contract at any time by giving at least 30 days' notice in writing to the Contractor/Subcontractor. If the contract is terminated by the Authority as provided herein, the Contractor/Subcontractor will be paid for the time provided and expenses incurred up to the termination date.

24. SECTION 503 OF THE REHABILITATION ACT OF 1973

The Contractor/Subcontractor shall comply with section 503 of the Rehabilitation Act of 1973 (29 U.S.C. § 793), as amended, and any applicable regulations.

Equal Opportunity for Workers with Disabilities:

- a. The Contractor/Subcontractor will not discriminate against any employee or applicant for employment because of physical or mental disability in regard to any position for which the employee or applicant for employment is qualified. The Contractor/Subcontractor agrees to take affirmative action to employ, advance in employment and otherwise treat qualified individuals with disabilities without discrimination based on their physical or mental disability in all employment practices, including the following:
  - i. Recruitment, advertising, and job application procedures;
  - ii. Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff,

- termination, right of return from layoff and rehiring;
- iii. Rates of pay or any other form of compensation and changes in compensation;
  - iv. Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;
  - v. Leaves of absence, sick leave, or any other leave;
  - vi. Fringe benefits available by virtue of employment, whether or not administered by the Subcontractor;
  - vii. Selection and financial support for training, including apprenticeship, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training;
  - viii. Activities sponsored by the contractor including social or recreational programs; and
  - ix. Any other term, condition, or privilege of employment.
- b. The Contractor/Subcontractor agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the act.
  - c. In the event of the Contractor/Subcontractor's noncompliance with the requirements of this clause, actions for noncompliance may be taken in accordance with the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the act.
  - d. The Contractor/Subcontractor agrees to post in conspicuous places, available to employees and applicants for employment, notices in a form to be prescribed by the Deputy Assistant Secretary for Federal Contract Compliance Programs, provided by or through the contracting officer. Such notices shall state the rights of applicants and employees as well as the Contractor's/Subcontractor's obligation under the law to take affirmative action to employ and advance in employment qualified employees and applicants with disabilities. The Contractor/Subcontractor must ensure that applicants and employees with disabilities are informed of the contents of the notice (e.g., the Contractor/Subcontractor may have the notice read to a visually disabled individual or may lower the posted notice so that it might be read by a person in a wheelchair).
  - e. The Contractor/Subcontractor will notify each labor organization or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor/Subcontractor is bound by the terms of section 503 of the Rehabilitation Act of 1973, as amended, and is committed to take affirmative action to employ and advance in employment individuals with physical or mental disabilities.

- f. The Contractor/Subcontractor will include the provisions of this clause in every subcontract or purchase order in excess of \$10,000, unless exempted by the rules, regulations, or orders of the Secretary issued pursuant to section 503 of the act, as amended, so that such provisions will be binding upon each subcontractor or vendor. The Contractor/Subcontractor will take such action with respect to any subcontract or purchase order as the Deputy Assistant Secretary for Federal Contract Compliance Programs may direct to enforce such provisions, including action for noncompliance.

25. EXECUTIVE ORDER 11246

The Contractor/Subcontractor shall comply with Executive Order 11246 of September 24, 1965, entitled "Equal Employment Opportunity," as amended by Executive Order 11375 of October 13, 1967, and as supplemented in Department of Labor regulations (41 CFR chapter 60).

During the performance of this contract, the Contractor/Subcontractor agrees as follows:

- a. The Contractor/Subcontractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The Contractor/Subcontractor shall take affirmative action to ensure that applicants for employment are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship.
- b. The Contractor/Subcontractor shall post in conspicuous places, available to employees and applicants for employment, notices to be provided by Contracting Officer setting forth the provisions of this non-discrimination clause. The Contractor/Subcontractor shall state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
- c. The Contractor/Subcontractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor/Subcontractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex or national origin.
- d. The Contractor/Subcontractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice, to be provided by the agency contracting officer, advising the labor union or workers representative of the contractor's commitments under Section 202 of Executive Order 11246 of September 24, 1965, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- e. The Contractor/Subcontractor will comply with all provisions of Executive Order

11246 of September 24, 1965, and of the rules, regulations and relevant orders of the Secretary of Labor.

- f. The Contractor/Subcontractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by the rules, regulations and orders of the Secretary of Labor, or pursuant thereto, and will permit access to books, records and accounts by the contracting agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations and orders.
- g. In the event of the Contractor's/Subcontractor's non-compliance with the non-discrimination clause of this contract or with any of such rules, regulations or orders, this contract may be cancelled, terminated or suspended in whole or in part and the Contractor/Subcontractor may be declared ineligible for further government contracts in accordance with procedures authorized in Executive Order 11246 and such other sanctions as may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation or order of the Secretary of Labor, or as otherwise provided by law.
- h. Contractor/Subcontractor shall incorporate the provisions of A through G above in every subcontract or purchase order unless exempted by rules, regulations or orders of the Secretary of Labor so that such provisions shall be binding on such contractor/subcontractor. The Contractor/Subcontractor will take such action with respect to any subcontract or purchase order as the contracting agency may direct as a means of enforcing such provisions including sanctions for non-compliance, provided, however, that in the event the contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the contracting agency, the Contractor/Subcontractor may request the United States to enter into such litigation to protect the interests of the United States.

26. CERTIFICATION OF NONSEGREGATED FACILITIES

The Contractor/Subcontractor certifies that it does not maintain or provide for its establishments, and that it does not permit employees to perform their services at any location, under its control, where segregated facilities are maintained. It certifies further that it will not maintain or provide for employees any segregated facilities at any of its establishments, and it will not permit employees to perform their services at any location under its control where segregated facilities are maintained. The Contractor/Subcontractor agrees that a breach of this certification is a violation of the equal opportunity clause of this contract.

As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation and housing facilities provided for employees which are segregated by explicit directive or are, in fact, segregated on the basis of race, color, religion, or national origin because of habit, local custom, or any

other reason.

The Contractor further agrees that (except where it has obtained for specific time periods) it will obtain identical certification from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the equal opportunity clause; that it will retain such certifications in its files; and that it will forward the preceding notice to such proposed subcontractors (except where proposed subcontractors have submitted identical certifications for specific time periods).

27. CERTIFICATION OF COMPLIANCE WITH CLEAN AIR AND WATER ACTS

The Contractor and all its subcontractors shall comply with the requirements of the Clean Air Act, as amended, 42 U.S.C. § 1857 *et seq.*, the Federal Water Pollution Control Act, as amended, 33 U.S.C. § 1251 *et seq.*, and the regulations of the Environmental Protection Agency with respect thereto, at 40 C.F.R. Part 15 and 32, as amended, Section 508 of the Clean Water Act (33 U.S.C. § 1368) and Executive Order 11738.

In addition to the foregoing requirements, all nonexempt contractors and subcontractors shall furnish to the owner, the following:

- a. A stipulation by the Contractor or subcontractors, that any facility to be utilized in the performance of any nonexempt contract or subcontract, is not listed on the Excluded Party Listing System pursuant to 40 C.F.R. 32 or on the List of Violating Facilities issued by the Environmental Protection Agency (EPA) pursuant to 40 C.F.R. Part 15, as amended.
- b. Agreement by the Subcontractor to comply with all the requirements of Section 114 of the Clean Air Act, as amended, (42 U.S.C. § 1857 c-8) and Section 308 of the Federal Water Pollution Control Act, as amended, (33 U.S.C. § 1318) relating to inspection, monitoring, entry, reports and information, as well as all other requirements specified in said Section 114 and Section 308, and all regulations and guidelines issued thereunder.
- c. A stipulation that as a condition for the award of the contract, prompt notice will be given of any notification received from the Director, Office of Federal Activities, EPA, indicating that a facility utilized, or to be utilized for the contract, is under consideration to be listed on the Excluded Party Listing System or the EPA List of Violating Facilities.
- d. Agreement by the Contractor that he will include, or cause to be included, the criteria and requirements in paragraphs (A) through (D) of this section in every nonexempt subcontract and requiring that the Contractor will take such action as the government may direct as a means of enforcing such provisions.

28. LOBBYING

The Contractor/Subcontractor certifies, to the best of his or her knowledge and belief, that:

- a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the Contractor/Subcontractor, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Contractor/Subcontractor shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- c. The Contractor shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### 29. BONDING REQUIREMENTS

The Contractor/Subcontractor shall comply with the Authority bonding requirements, unless they have not been approved by HUD, in which case the Contractor/Subcontractor shall comply with the following minimum bonding requirements:

- a. *A bid guarantee from each bidder equivalent to five percent of the bid price.* The "bid guarantee" shall consist of a firm commitment such as a bid bond, certified check, or other negotiable instrument accompanying a bid as assurance that the bidder will, upon acceptance of his bid, execute such contractual documents as may be required within the time specified.
- b. *A performance bond on the part of the Contractor/Subcontractor for 100 percent of the contract price.* A "performance bond" is one executed in connection with a

contract to secure fulfillment of all the Contractor's/Subcontractor's obligations under such contract.

- c. *A payment bond on the part of the Contractor/Subcontractor for 100 percent of the contract price.* A "payment bond" is one executed in connection with a contract to assure payment as required by law of all persons supplying labor and material in the execution of the work provided for in the contract.

30. SECTION 3 OF THE HOUSING AND URBAN DEVELOPMENT ACT OF 1968 (As required by applicable thresholds)

- a. The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. § 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing. Pursuant to the Amended Section 3 Rule, HUD is changing the tracking from new hires to reporting of labor hours to ensure Section 3 workers have sustained employment and career opportunities.
- b. The parties to this contract agree to comply with HUD's regulations in 24 C.F.R. part 135, which implements section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.
- c. The *Contractor/Subcontractor* agrees to send to each labor organization or representative of workers with which the *Contractor/Subcontractor* has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the Contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
- d. The *Contractor* agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 C.F.R. part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 C.F.R. part 135. The Contractor will not subcontract with any subcontractor where the subcontractor has notice or knowledge that the subcontractor has been found in

violation of the regulations in 24 C.F.R. part 135.

- e. The *Contractor/Subcontractor* will certify that any vacant employment positions, including training positions, that are filled: (1) after the *contractor/subcontractor* is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 C.F.R. part 135 require employment opportunities to be directed, were not filled to circumvent the *Contractor/Subcontractor's* obligations under 24 C.F.R. part 135.
- f. Noncompliance with HUD's regulations in 24 C.F.R. part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
- g. With respect to work performed in connection with section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. § 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible: (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of section 3 and section 7(b) agree to comply with section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).

31. FAIR HOUSING ACT

*Contractor/Subcontractor* shall comply with the provisions of the Fair Housing Act of 1968 as amended. The act prohibits discrimination in the sale or rental of housing, the financing of housing or the provision of brokerage services against any person on the basis of race, color, religion, sex, national origin, handicap or familial status. The Equal Opportunity in Housing Act prohibits discrimination against individuals on the basis of race, color, religion, sex or national origin in the sale, rental, leasing or other disposition of residential property, or in the use or occupancy of housing assisted with Federal funds.

32. FEDERAL FUNDING ACCOUNTABILITY AND TRANSPARENCY ACT (FFATA)

The Federal Funding Accountability and Transparency Act of 2006 (FFATA), as amended, was signed with the intent of reducing wasteful government spending and providing citizens with the ability to hold the government accountable for spending decisions. 2 C.F.R. § Part 170 outlines the requirements of recipients in reporting information on subawards and executive total compensation under FFATA legislation. Any non-Federal entity that receives or administers Federal financial assistance in the form of grants, loans, loan guarantees, subsidies, insurance, food commodities, direct appropriations, assessed and voluntary contributions; and/or other financial assistance transactions that authorize the non-Federal entities' expenditure of Federal fund, is subject to these requirements.

Prime contract awardees and prime grant awardees are required to report against subcontracts and subgrants awarded in the FFATA Subaward Reporting System (FSRS), the reporting tool for Federal prime awardees. This information reported will then be displayed on a public and searchable website: [www.USASpending.gov](http://www.USASpending.gov).

### 33. PROCUREMENT

The Uniform Guidance procurement requirements (2 C.F.R. § Part 200, Subpart D) went into effect on July 1, 2018. These requirements are applicable to CDBG-DR funded projects, or as provided by 83 Federal Register 5844 VI A(1)(b)(2) permits a state grantee to elect to follow its own procurement policy. These policies and procedures ensure that Federal dollars are spent fairly and encourage open competition at the best level of service and price.

### 34. CHANGE ORDERS TO CONTRACTS

Change orders are issued when the initial agreed upon pricing or work to be completed requires modification. First, the contractor must complete a Change Order Request Form. This form and supporting documentation must be delivered to the Project Manager for review. Each change order must have a cost analysis. Once the Project Manager approves the change order, it is returned to the contractor for execution. Change orders can only be invoiced once approved by the Interim Executive Director. The amount listed on the invoice must match the previously approved amount and must be cost reasonable. The Project Manager is responsible for verifying cost reasonableness. Verification documentation for cost reasonableness becomes an attachment to the change order.

### 35. ENVIRONMENTAL REVIEW

Every project undertaken with Federal funds, and all activities related to that project, is subject to the provisions of the National Environmental Policy Act of 1969 (NEPA), as well as to the HUD environmental review regulations at 24 C.F.R. § Part 58- ENVIRONMENTAL REVIEW PROCEDURES FOR ENTITIES ASSUMING HUD ENVIRONMENTAL RESPONSIBILITIES. The primary purpose of this Act is to protect and enhance the quality of our natural environment. The HUD environmental review process must be completed before any Federal funds can be accessed for program-eligible activities.

The primary objectives of the HUD environmental review are to identify specific environmental factors that may be encountered at potential project sites, and to develop procedures to ensure compliance with regulations pertaining to these factors. The HUD environmental review is designed to produce program specific environmental review procedures in a program that can vary greatly in terms of scope of work.

### 36. LEAD BASED PAINT

All housing units assisted using CDBG-DR funds must comply with the regulations regarding lead-based paint found at 24 C.F.R. § Part 35- LEAD-BASED PAINT POISONING PREVENTION IN

CERTAIN RESIDENTIAL STRUCTURES.

37. ENVIRONMENTAL REVIEW RECORD

The Environmental Officer is responsible for maintaining a written record of the environmental review process. The ERR for all programs contains all the governmental review documents, public notices and written determinations or environmental findings required by 24 C.F.R. § Part 58- ENVIRONMENTAL REVIEW PROCEDURES FOR ENTITIES ASSUMING HUD ENVIRONMENTAL RESPONSIBILITIES as evidence of review, decision making and actions pertaining to a project of a recipient.

38. FLOOD INSURANCE REQUIREMENTS

Grantees and subrecipients of Federal funding must ensure that procedures and mechanisms are put into place to monitor compliance with all flood insurance requirements as found in the Flood Disaster Protection Act of 1973, 24 C.F.R. § 570.605- NATIONAL FLOOD INSURANCE PROGRAM and 24 C.F.R. § 570.202- ELIGIBLE REHABILITATION AND PRESERVATION ACTIVITIES.

39. DUPLICATION OF BENEFITS

CDBG-DR funding intends to address the unmet needs of a community. The funds are supplemental to primary forms of assistance, including private insurance and FEMA funds. To avoid duplicative assistance and potential de-obligation of funding, Subrecipient must utilize all possible funding sources before applying CDBG-DR dollars to a project. CDBG-DR programs are typically implemented after temporary disaster assistance programs, such as FEMA Individual Assistance which are not intended to make someone whole. The Stafford Disaster Relief and Emergency Assistance Act (Stafford Act), as amended, 42 U.S.C. §5121 et seq., established the requirements for Duplication of Benefits (DOB) analysis.

40. ANTI-FRAUD, WASTE AND ABUSE CHECKS

The Anti-Fraud, Waste and Abuse (AFWA) check is designed to identify discrepancies and risk-relevant issues in Applicant-provided information that may be indicative of fraud, waste, and/or abuse.

41. AFFIRMATIVELY FURTHERING FAIR HOUSING

The Fair Housing Act of 1968, as amended, 42 U.S.C. §3601, et seq., dictates that grantees are required to administer all programs and activities related to housing and urban development in a manner to affirmatively further the policies of the Fair Housing Act. Per the regulations of 24 C.F.R. § 570.601 and in accordance with Section 104(b)(2) of the Housing and Community Development Act of 1974, as amended, 42 U.S.C. §5301 et seq., for each community receiving a grant under Subpart D of this part, the certification that the grantee will affirmatively further fair housing shall specifically require the grantee to take meaningful actions to further the goals identified in the grantee's Assessment of Fair Housing (AFH)

plan, conducted in accordance with the requirements of 24 C.F.R. § §§5.150-5.180 (Affirmatively Furthering Fair Housing) and take no action that is materially inconsistent with its obligation to affirmatively further fair housing.

42. DRUG FREE WORKPLACE

The Drug-Free Workplace Act of 1988, as amended, 41 U.S.C. §81, as implemented by 24 C.F.R. § Part 24 Subpart F, §§983.251-983.262, requires that any grantee other than an individual must certify that it will provide a drug-free workplace. Any grantee found in violation of the requirements of this act may be subject to suspension of payments under the grant, suspension or termination of the grant or suspension or debarment of the grantee.

43. TIMELY DISTRIBUTION OF FUNDS

The Supplemental Appropriations for Disaster Relief Requirements, 2017 (Pub. L. 115-56), approved September 8, 2017 (Appropriations Act), as amended, requires that funds provided under the Act be expended within two (2) years of the date that HUD obligates funds to a grantee unless otherwise authorized via waiver of this requirement by the Office of Management and Budget (OMB). The OMB waived the two (2) year expenditure requirement under 83 FR 40314; however, the provision to expend one hundred percent (100%) of the total allocation of CDBG-DR funds on eligible activities within six (6) years of HUD's initial obligation of funds remains in effect. The six (6) year expenditure period commences with the initial obligation of funds provided under 83 FR 5844. Additionally, per 83 FR 5844, the provisions at 24 C.F.R. § 570.494 and 24 C.F.R. § 570.902, regarding timely distribution and expenditure of funds, are waived and an alternative requirement was established.

Furthermore, consistent with 31 U.S.C §1555 and OMB Circular No. A-11 (2017), if the Secretary of HUD or the President of the United States determines that the purposes for which the appropriation was made have been carried out and no disbursement has been made against the appropriation for two (2) consecutive fiscal years, any remaining unobligated balance shall be canceled and will be made unavailable for obligation or expenditure for any purpose.

44. PROPERTY MANAGEMENT AND DISTRIBUTION

Regulations governing property management and distribution of real property, equipment, financial obligations and return of un-obligated cash post program closeout can be found in 24 C.F.R. § 570.506, 2 C.F.R. § 200.310, 2 C.F.R. § 200.343 and 2 C.F.R. § 200.344(b). The standards of 24 C.F.R. § 570.506 apply to any real property under a CDBG award recipient's control acquired in whole or in part with CDBG funds in excess of \$25,000.00. The recipient may not change the use or planned use of the property without proper notification of the affected citizens and allowable time for comment by them. If the property is not a building for general government conduct, the use of the property may be changed with citizen approval if it either meets one of the national objectives as defined in 24 C.F.R. § 570.208 or if not, the recipient may either retain or dispose of the property for the changed use if the recipient's CDBG program is reimbursed in the amount of the current fair market value of the property, less any portion of the value

attributable to expenditures of non-CDBG funds for acquisition of, and improvements to, the property. Following such reimbursement, the property will no longer be subject to any CDBG requirements.

45. LIMITED ENGLISH PROFICIENCY

Executive Order No. 13166, signed on August 11, 2000, requires programs, subrecipients, contractors, subcontractors, and/or developers funded in whole or in part with CDBG-DR financial assistance to ensure fair and meaningful access to programs and services for families and individuals with Limited English Proficiency (LEP) and/or deaf/hard of hearing. Fair access is ensured through the implementation of a Language Assistance Plan (LAP), which includes non-English-based outreach, translation services of vital documents, free language assistance services, and staff training. Vital documents are defined as depending on the importance of the program, information, encounter, or service involved, and the consequence to the LEP person if the information in question is not provided accurately or in a timely manner.

46. PERSONALLY IDENTIFIABLE INFORMATION

In accordance with 2 C.F.R. § 200.303, regarding internal controls of a non-Federal entity, a grantee must guarantee the protection of all Personally Identifiable Information (PII) obtained. The program will enact necessary measures to ensure PII of all applicants is safeguarded as to avoid release of private information. If a contractor or employee should experience any loss or potential loss of PII, the program shall be notified immediately of the breach or potential breach.

47. UNIFORM RELOCATION ACT

CDBG-DR funds are subject to the Uniform Relocation Assistance and Real Property Acquisition Act of 1970 (URA or Uniform Act), as amended. 49 C.F.R. § Part 24 requires relocation assistance for lower-income individuals displaced as a result of the demolition or conversion of a lower-income dwelling and requires one-for-one replacement of lower-income units demolished or converted to other uses.

48. RESIDENTIAL ANTI-DISPLACEMENT AND RELOCATION ASSISTANCE PLAN  
Per Section 104(d) of the Housing and Community Development Act of 1974 § 42.325:

1. Certification.

- a. As part of its consolidated plan under 24 CFR part 91, the recipient must certify that it has in effect and is following a residential anti-displacement and relocation assistance plan.
- b. A unit of general local government receiving funds from the State must certify to the State that it has in effect and is following a residential anti-displacement and relocation assistance plan, and that it will minimize displacement of persons as a result of assisted activities. The State may require the unit of general local government to follow the State's plan or permit it to develop its own plan. A unit of general local government that develops its own plan must adopt the plan and make it public.

2. Plan contents.

- a. The plan shall indicate the steps that will be taken consistent with other goals and objectives of the program, as provided in parts 92 and 570 of this title, to minimize the displacement of families and individuals from their homes and neighborhoods as a result of any assisted activities.
- b. The plan shall provide for relocation assistance in accordance with § 42.350.
- c. The plan shall provide one-for-one replacement units to the extent required by § 42.375.

49. COMPLAINTS AND APPEALS

Citizen comments on the Authority's published Action Plan, any substantial amendments to the Action Plan, performance reports and/or other issues related to the general administration of CDBG-DR funds are welcomed throughout the duration of the grant. The Citizen Participation Plan is posted as a stand-alone document at [www.cdbgdr.vihfa.gov](http://www.cdbgdr.vihfa.gov). Complaints regarding fraud, waste, or abuse of government funds shall be addressed to the HUD Office of Inspector General Fraud Hotline by phone: 1-800-347-3735 or email: [hotline@hudoig.gov](mailto:hotline@hudoig.gov).

50. MONITORING

As per CDBG regulation, 24 C.F.R. § 570.501(b), grantees of CDBG-DR funds are responsible for carrying out their programs to meet compliance with CDBG Program, statutory and regulatory requirements, including monitoring their project administrators, contractors and subcontractors. As such, throughout the application, planning, design, and implementation phase of the program, the Authority will conduct internal monitoring of processes, procedures, policy, applications, planning, design, construction, and other applicable phases.

51. PROCUREMENT OF RECOVERED MATERIALS

A non-Federal entity that is a state agency or agency of a political subdivision of a state and its contractors must comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

# *Attachment 1*

## **HUD GENERAL PROVISIONS (“HUD RIDER”)**

The following terms and conditions apply to any contract for which any portion of the funding is derived from a grant made by the United States Department of Housing and Urban Development (“HUD”). In addition, Contractor/Subcontractor shall comply with the Federal Labor Standards Provisions set forth in Form HUD-4010, available at <http://www.hud.gov/offices/adm/hudclips/forms/files/4010.pdf>.

### 1. PROVISIONS REQUIRED BY LAW DEEMED INSERTED

Each and every provision of law and clause required by law to be inserted in this contract shall be deemed to be inserted herein and the contract shall be read and enforced as though it were included herein, and if through mistake or otherwise any such provision is not inserted, or is not correctly inserted, then upon the application of either party the contract shall forthwith be physically amended to make such insertion or correction.

### 2. STATUTORY AND REGULATORY COMPLIANCE

Contractor/Subcontractor shall comply with all laws and regulations applicable to the Community Development Block Grant-Disaster Recovery funds appropriated by the Disaster Relief Appropriations Act, 2017 (Pub. L. 115-56) and the Bipartisan Budget Act of 2018 (“BBA”), (Pub. L. 115-123), including but not limited to the applicable Office of Management and Budget Circulars, which may impact the administration of funds and/or set forth certain cost principles, including the allowability of certain expenses.

### 3. BREACH OF CONTRACT TERMS

VIHFA reserves its right to all administrative, contractual, or legal remedies, including but not limited to suspension or termination of this contract, in instances where the Contractor or any of its subcontractors violate or breach any contract term. If the Contractor or any of its subcontractors violate or breach any contract term, they shall be subject to such sanctions and penalties as may be appropriate. The duties and obligations imposed by the contract documents and the rights and remedies available thereunder shall be in addition to and not a limitation of any duties, obligations, rights, and remedies otherwise imposed or available by law.

### 4. REPORTING REQUIREMENTS

The Contractor/Subcontractor shall complete and submit all reports, in such form and according to such schedule, as may be required by VIHFA. The Contractor/Subcontractor shall cooperate with all VIHFA efforts to comply with HUD requirements and regulations pertaining to reporting, including but not limited to 24 C.F.R. §§ 85.40-41 (or 84.50-52, if applicable) and 570.507.

### 5. ACCESS TO RECORDS

The State, the U.S. Department of Housing and Urban Development, the Comptroller General of the United States, or any of their duly authorized representatives, shall have at any time and from time to time during normal business hours, access to any work product, books, documents, papers, and records of the Subcontractor which are related to this contract, for the purpose of inspection, audits, examinations, and making excerpts, copies and transcriptions.

### 6. MAINTENANCE/RETENTION OF RECORDS

All records connected with this contract will be maintained in a central location and will be maintained for a period of at least 3 years following the date of final payment and close-out of all pending matters related to this contract.

### 7. SMALL AND MINORITY FIRMS, WOMEN’S BUSINESS ENTERPRISES, AND LABOR SURPLUS AREA FIRMS

The Contractor/Subcontractor will take necessary affirmative steps to assure that minority firms, women’s business enterprises, and labor surplus area firms are used in subcontracting when possible. Steps include:

- Placing qualified small and minority businesses and women’s business enterprises on solicitation lists.
- Assuring that small and minority businesses, and women’s business enterprises are solicited whenever they are potential sources.
- Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and minority business, and women’s business enterprises.

- Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority business, and women's business enterprises; and
- Using the services and assistance of the Small Business Administration, and the Minority Business Development Agency of the Department of Commerce.

#### 8. RIGHTS TO INVENTIONS MADE UNDER A CONTRACT OR AGREEMENT

Contracts or agreements for the performance of experimental, developmental, or research work shall provide for the rights of the Federal Government and the recipient in any resulting invention in accordance with 37 CFR part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by HUD.

#### 9. ENERGY EFFICIENCY

The Contractor/Subcontractor shall comply with mandatory standards and policies relating to energy efficiency issued in compliance with the Energy Policy and Conservation Act (Public Law 94-163).

#### 10. TITLE VI OF THE CIVIL RIGHTS ACT OF 1964

The Contractor/Subcontractor shall comply with the provisions of Title VI of the Civil Rights Act of 1964. No person shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.

#### 11. SECTION 109 OF THE HOUSING AND COMMUNITY DEVELOPMENT ACT OF 1974

The Contractor/Subcontractor shall comply with the provisions of Section 109 of the Housing and Community Development Act of 1974. No person in the United States shall on the grounds of race, color, national origin, or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity funded in whole or in part with funds made available under this title. Section 109 further provides that discrimination on the basis of age under the Age Discrimination Act of 1975 or with respect to an otherwise qualified handicapped individual as provided in Section 504 of the Rehabilitation Act of 1973, as amended, is prohibited.

#### 12. SECTION 504 OF THE REHABILITATION ACT OF 1973

The Contractor/Subcontractor shall comply with section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 94), as amended, and any applicable regulations.

The Contractor/Subcontractor agrees that no qualified individual with handicaps shall, solely on the basis of handicap, be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination under any program or activity that receives Federal financial assistance from HUD.

#### 13. AGE DISCRIMINATION ACT OF 1975

The Contractor/Subcontractor shall comply with the Age Discrimination Act of 1975 (42 U.S.C. § 6101 *et seq.*), as amended, and any applicable regulations. No person in the United States shall, on the basis of age, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity receiving Federal financial assistance.

#### 14. DEBARMENT, SUSPENSION, AND INELIGIBILITY

The Contractor/Subcontractor represents and warrants that it and its subcontractors are not debarred or suspended or otherwise excluded from or ineligible for participation in Federal assistance programs subject to 2 C.F.R. part 2424.

#### 15. CONFLICTS OF INTEREST

The Contractor/Subcontractor shall notify VIHFA as soon as possible if this contract or any aspect related to the anticipated work under this contract raises an actual or potential conflict of interest (as defined at 2 C.F.R. Part 215 and 24 C.F.R. § 85.36 (or 84.42, if applicable)). The Contractor/Subcontractor shall explain the actual or potential conflict in writing in sufficient detail so that the State is able to assess such actual or potential conflict. The Contractor/Subcontractor shall provide VIHFA any additional information necessary for VIHFA to fully assess and address such actual or potential conflict of interest. The Contractor/Subcontractor shall accept any reasonable conflict mitigation strategy employed by VIHFA, including but not limited to the use of an independent subcontractor(s) to perform the portion of work that gives rise to the actual or potential conflict.

## 16. SUBCONTRACTING

When subcontracting, the Contractor/Subcontractor shall solicit for and contract with such Contractor/subcontractors in a manner providing for fair competition. Some of the situations considered to be restrictive of competition include but are not limited to:

- Placing unreasonable requirements on firms in order for them to qualify to do business,
- Requiring unnecessary experience and excessive bonding,
- Noncompetitive pricing practices between firms or between affiliated companies,
- Noncompetitive awards to consultants that are on retainer contracts,
- Organizational conflicts of interest,
- Specifying only a *brand name* product instead of allowing an *equal* product to be offered and describing the performance of other relevant requirements of the procurement, and
- Any arbitrary action in the procurement process.

The Contractor/Subcontractor represents to VIHFA that all work shall be performed by personnel experienced in the appropriate and applicable profession and areas of expertise, taking into account the nature of the work to be performed under this contract.

The Contractor will include these HUD General Provisions in every subcontract issued by it so that such provisions will be binding upon each of its subcontractors as well as the requirement to flow down such terms to all lower-tiered subcontractors.

## 17. ASSIGNABILITY

The Contractor/Subcontractor shall not assign any interest in this contract and shall not transfer any interest in the same (whether by assignment or novation) without prior written approval of VIHFA.

## 18. INDEMNIFICATION

The Contractor/Subcontractor shall indemnify, defend, and hold harmless VIHFA and its agents and employees from and against any and all claims, actions, suits, charges, and judgments arising from or related to the negligence or willful misconduct of the Contractor/Subcontractor in the performance of the services called for in this contract.

## 19. COPELAND "ANTI-KICKBACK" ACT (Applicable to all construction or repair contracts)

Salaries of personnel performing work under this contract shall be paid unconditionally and not less often than once a month without payroll deduction or rebate on any account except only such payroll deductions as are mandatory by law or permitted by the applicable regulations issued by the Secretary of Labor pursuant to the Copeland "Anti-Kickback Act" of June 13, 1934 (48 Stat. 948; 62 Stat. 740; 63 Stat. 108; Title 18 U.S.C. § 874; and Title 40 U.S.C. § 276c). The Contractor shall comply with all applicable "Anti-Kickback" regulations and shall insert appropriate provisions in all subcontracts

covering work under this contract to ensure compliance by subcontractors with such regulations and shall be responsible for the submission of affidavits required of subcontractors thereunder except as the Secretary of Labor may specifically provide for variations of or exemptions from the requirements thereof.

20. **CONTRACT WORK HOURS AND SAFETY STANDARDS ACT** (Applicable to construction contracts exceeding \$2,000 and contracts exceeding \$2,500 that involve the employment of mechanics or laborers)The Contractor/Subcontractor shall comply with Sections 103 and 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-330) as supplemented by Department of Labor regulations (29C.F.R. part 5).

All laborers and mechanics employed by contractors or subcontractors shall receive overtime compensation in accordance with and subject to the provisions of the Contract Work Hours and Safety Standards Act, and the contractors and subcontractors shall comply with all regulations issued pursuant to that act and with other applicable Federal laws and regulations pertaining to labor standards.

21. **DAVIS-BACON ACT**(Applicable to construction contracts exceeding \$2,000 when required by Federal program legislation)The Contractor/Subcontractor shall comply with the Davis Bacon Act (40 U.S.C. §§ 276a to 276a-7) as

supplemented by Department of Labor regulations (29 C.F.R. part 5).

All laborers and mechanics employed by contractors or subcontractors, including employees of other governments, on construction work assisted under this contract, and subject to the provisions of the federal acts and regulations listed in this paragraph, shall be paid wages at rates not less than those prevailing on similar construction in the locality as determined by the Secretary of Labor in accordance with the Davis-Bacon Act.

**22. TERMINATION FOR CAUSE (Applicable to contracts exceeding \$10,000)**

If, through any cause, the Contractor/Subcontractor shall fail to fulfill in a timely and proper manner his obligations under this contract, or if the Contractor/Subcontractor shall violate any of the covenants, agreements, or stipulations of this contract, VIHFA shall thereupon have the right to terminate this contract by giving written notice to the Contractor/Subcontractor of such termination and specifying the effective date thereof, at least five (5) days before the effective date of such termination. In such event, all finished or unfinished documents, data, studies, surveys, drawings, maps, models, photographs, and reports prepared by the Contractor/Subcontractor under this contract shall, at the option of VIHFA, become VIHFA's property and the Contractor/Subcontractor shall be entitled to receive just and equitable compensation for any work satisfactorily completed hereunder. Notwithstanding the above, the Contractor/Subcontractor shall not be relieved of liability to VIHFA for damages sustained by VIHFA by virtue of any breach of the contract by the Contractor/Subcontractor, and the VIHFA may withhold any payments to the Contractor/Subcontractor for the purpose of set-off until such time as the exact amount of damages due to the VIHFA from the Subcontractor is determined.

**23. TERMINATION FOR CONVENIENCE (Applicable to contracts exceeding \$10,000)**

The VIHFA may terminate this contract at any time by giving at least 30 days' notice in writing to the Contractor/Subcontractor. If the contract is terminated by VIHFA as provided herein, the Contractor/Subcontractor will be paid for the time provided and expenses incurred up to the termination date.

**24. SECTION 503 OF THE REHABILITATION ACT OF 1973 (Applicable to contracts exceeding \$10,000)**

The Contractor/Subcontractor shall comply with section 503 of the Rehabilitation Act of 1973 (29 U.S.C. § 793), as amended, and any applicable regulations. Equal Opportunity for Workers with Disabilities.

- The Contractor/Subcontractor will not discriminate against any employee or applicant for employment because of physical or mental disability in regard to any position for which the employee or applicant for employment is qualified. The Contractor/Subcontractor agrees to take affirmative action to employ, advance in employment and otherwise treat qualified individuals with disabilities without discrimination based on their physical or mental disability in all employment practices, including the following:
  - Recruitment, advertising, and job application procedures.
  - Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff and rehiring.
  - Rates of pay or any other form of compensation and changes in compensation.
  - Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists.
  - Leaves of absence, sick leave, or any other leave.
  - Fringe benefits available by virtue of employment, whether or not administered by the Subcontractor.
  - Selection and financial support for training, including apprenticeship, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training.
  - Activities sponsored by the contractor including social or recreational programs, and any other term, condition, or privilege of employment.
- The Contractor/Subcontractor agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the act.
- In the event of the Contractor/Subcontractor's noncompliance with the requirements of this clause, actions for noncompliance may be taken in accordance with the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the act.

- The Contractor/Subcontractor agrees to post in conspicuous places, available to employees and applicants for employment, notices in a form to be prescribed by the Deputy Assistant Secretary for Federal Contract Compliance Programs, provided by or through the contracting officer. Such notices shall state the rights of applicants and employees as well as the Contractor's/Subcontractor's obligation under the law to take affirmative action to employ and advance in employment qualified employees and applicants with disabilities. The Contractor/Subcontractor must ensure that applicants and employees with disabilities are informed of the contents of the notice (e.g., the Contractor/Subcontractor may have the notice read to a visually disabled individual or may lower the posted notice so that it might be read by a person in a wheelchair).
- The Contractor/Subcontractor will notify each labor organization or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor/Subcontractor is bound by the terms of section 503 of the Rehabilitation Act of 1973, as amended, and is committed to take affirmative action to employ and advance in employment individuals with physical or mental disabilities.
- The Contractor/Subcontractor will include the provisions of this clause in every subcontract or purchase order in excess of \$10,000, unless exempted by the rules, regulations, or orders of the Secretary issued pursuant to section 503 of the act, as amended, so that such provisions will be binding upon each subcontractor or vendor. The Contractor/Subcontractor will take such action with respect to any subcontract or purchase order as the Deputy Assistant Secretary for Federal Contract Compliance Programs may direct to enforce such provisions, including action for noncompliance.

25. EXECUTIVE ORDER 11246 (Applicable to construction contracts and subcontracts exceeding \$10,000)The Contractor/Subcontractor shall comply with Executive Order 11246 of September 24, 1965, entitled "Equal Employment Opportunity," as amended by Executive Order 11375 of October 13, 1967, and as supplemented in Department of Labor regulations (41 CFR chapter 60).

During the performance of this contract, the Contractor/Subcontractor agrees as follows:

- The Contractor/Subcontractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The Contractor/Subcontractor shall take affirmative action to ensure that applicants for employment are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship.
- The Contractor/Subcontractor shall post in conspicuous places, available to employees and applicants for employment, notices to be provided by Contracting Officer setting forth the provisions of this non-discrimination clause. The Contractor/Subcontractor shall state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
- The Contractor/Subcontractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor/Subcontractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex or national origin.
- The Contractor/Subcontractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice, to be provided by the agency contracting officer, advising the labor union or workers representative of the contractor's commitments under Section 202 of Executive Order 11246 of September 24, 1965, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- The Contractor/Subcontractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations and relevant orders of the Secretary of Labor.
- The Contractor/Subcontractor will furnish all information and reports required by Executive Order 11246

of September 24, 1965, and by the rules, regulations and orders of the Secretary of Labor, or pursuant thereto, and will permit access to books, records and accounts by the contracting agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations and orders.

- In the event of the Contractor's/Subcontractor's non-compliance with the non-discrimination clause of this contract or with any of such rules, regulations or orders, this contract may be cancelled, terminated or suspended in whole or in part and the Contractor/Subcontractor may be declared ineligible for further government contracts in accordance with procedures authorized in Executive Order 11246 and such other sanctions as may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation or order of the Secretary of Labor, or as otherwise provided by law.
- Contractor/Subcontractor shall incorporate the provisions of A through G above in every subcontract or purchase order unless exempted by rules, regulations or orders of the Secretary of Labor so that such provisions shall be binding on such contractor/subcontractor. The Contractor/Subcontractor will take such action with respect to any subcontract or purchase order as the contracting agency may direct as a means of enforcing such provisions including sanctions for non-compliance, provided, however, that in the event the contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the contracting agency, the Contractor/Subcontractor may request the United States to enter into such litigation to protect the interests of the United States.

26. **CERTIFICATION OF NONSEGREGATED FACILITIES** (Applicable to construction contracts exceeding \$10,000)

The Contractor/Subcontractor certifies that it does not maintain or provide for its establishments, and that it does not permit employees to perform their services at any location, under its control, where segregated facilities are maintained. It certifies further that it will not maintain or provide for employees any segregated facilities at any of its establishments, and it will not permit employees to perform their services at any location under its control where segregated facilities are maintained. The Contractor/Subcontractor agrees that a breach of this certification is a violation of the equal opportunity clause of this contract.

As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation and housing facilities provided for employees which are segregated by explicit directive or are, in fact, segregated on the basis of race, color, religion, or national origin because of habit, local custom, or any other reason.

The Contractor further agrees that (except where it has obtained for specific time periods) it will obtain identical certification from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the equal opportunity clause; that it will retain such certifications in its files; and that it will forward the preceding notice to such proposed subcontractors (except where proposed subcontractors have submitted identical certifications for specific time periods).

27. **CERTIFICATION OF COMPLIANCE WITH CLEAN AIR AND WATER ACTS** (Applicable to contracts exceeding \$100,000)

The Contractor and all its subcontractors shall comply with the requirements of the Clean Air Act, as amended, 42 U.S.C. § 1857 *et seq.*, the Federal Water Pollution Control Act, as amended, 33 U.S.C. § 1251 *et seq.*, and the regulations of the Environmental Protection Agency with respect thereto, at 40 C.F.R. Part 15 and 32, as amended, Section 508 of the Clean Water Act (33 U.S.C. § 1368) and Executive Order 11738.

In addition to the foregoing requirements, all nonexempt contractors and subcontractors shall furnish to the owner, the following:

- A stipulation by the Contractor or subcontractors, that any facility to be utilized in the performance of any nonexempt contract or subcontract, is not listed on the Excluded Party Listing System pursuant to 40 C.F.R. 32 or on the List of Violating Facilities issued by the Environmental Protection Agency (EPA) pursuant to 40 C.F.R. Part 15, as amended.

- Agreement by the Subcontractor to comply with all the requirements of Section 114 of the Clean Air Act, as amended, (42 U.S.C. § 1857 c-8) and Section 308 of the Federal Water Pollution Control Act, as amended, (33 U.S.C. § 1318) relating to inspection, monitoring, entry, reports, and information, as well as all other requirements specified in said Section 114 and Section 308, and all regulations and guidelines issued thereunder.
- A stipulation that as a condition for the award of the contract, prompt notice will be given of any notification received from the Director, Office of Federal Activities, EPA, indicating that a facility utilized, or to be utilized for the contract, is under consideration to be listed on the Excluded Party Listing System or the EPA List of Violating Facilities.
- Agreement by the Contractor that he will include, or cause to be included, the criteria and requirements in paragraph (A) through (D) of this section in every nonexempt subcontract and requiring that the Contractor will take such action as the government may direct as a means of enforcing such provisions.

28. **LOBBYING** (Applicable to contracts exceeding \$100,000)

The Contractor/Subcontractor certifies, to the best of his or her knowledge and belief, that:

- No Federal appropriated funds have been paid or will be paid, by or on behalf of the Contractor/Subcontractor, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Contractor/Subcontractor shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- The Contractor shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

29. **BONDING REQUIREMENTS** (Applicable to construction and facility improvement contracts exceeding \$100,000)

The Contractor/Subcontractor shall comply with VIHFA bonding requirements, unless they have not been approved by HUD, in which case the Contractor/Subcontractor shall comply with the following minimum bonding requirements:

- *A bid guarantee from each bidder equivalent to five percent of the bid price.* The "bid guarantee" shall consist of a firm commitment such as a bid bond, certified check, or other negotiable instrument accompanying a bid as assurance that the bidder will, upon acceptance of his bid, execute such contractual documents as may be required within the time specified.
- *A performance bond on the part of the Contractor/Subcontractor for 100 percent of the contract price.* A "performance bond" is one executed in connection with a contract to secure fulfillment of all the Contractor's/Subcontractor's obligations under such contract.
- *A payment bond on the part of the Contractor/Subcontractor for 100 percent of the contract price.* A "payment bond" is one executed in connection with a contract to assure payment as required by law of all persons supplying labor and material in the execution of the work provided for in the contract.

30. **SECTION 3 OF THE HOUSING AND URBAN DEVELOPMENT ACT OF 1968** (As required by applicable thresholds)

- The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban

Development Act of 1968, as amended, 12 U.S.C. § 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.

- The parties to this contract agree to comply with HUD's regulations in 24 C.F.R. part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.
- The *Contractor*/Subcontractor agrees to send to each labor organization or representative of workers with which the *Contractor*/Subcontractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the Contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
- The *Contractor* agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 C.F.R. part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 C.F.R. part 135. The Contractor will not subcontract with any subcontractor where the subcontractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 C.F.R. part 135.
- The *Contractor*/Subcontractor will certify that any vacant employment positions, including training positions, that are filled: (1) after the *contractor*/subcontractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 C.F.R. part 135 require employment opportunities to be directed, were not filled to circumvent the *Contractor*/Subcontractor's obligations under 24 C.F.R. part 135.
- Noncompliance with HUD's regulations in 24 C.F.R. part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
- With respect to work performed in connection with section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. § 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible: (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of section 3 and section 7(b) agree to comply with section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).

### 31. FAIR HOUSING ACT

*Contractor*/Subcontractor shall comply with the provisions of the Fair Housing Act of 1968 as amended. The act prohibits discrimination in the sale or rental of housing, the financing of housing or the provision of brokerage services against any person on the basis of race, color, religion, sex, national origin, handicap, or familial status. The Equal Opportunity in Housing Act prohibits discrimination against individuals on the basis of race, color, religion, sex or national origin in the sale, rental, leasing or other disposition of residential property, or in the use or occupancy of housing assisted with Federal funds.

### 32. Federal Funding Accountability and Transparency Act (FFATA)

The Federal Funding Accountability and Transparency Act of 2006 (FFATA), as amended, was signed with the intent of reducing wasteful government spending and providing citizens with the ability to hold the government accountable for spending decisions. 2 C.F.R. § Part 170 outlines the requirements of recipients in reporting information on subawards and executive total compensation under FFATA legislation. Any non-Federal entity that receives or administers Federal financial assistance in the form of grants, loans, loan guarantees, subsidies, insurance, food commodities, direct appropriations, assessed and voluntary contributions; and/or other financial assistance transactions that authorize the non-Federal entities' expenditure of Federal fund, is subject to these requirements.

Prime contract awardees and prime grant awardees are required to report against subcontracts and subgrants awarded in the FFATA Subaward Reporting System (FSRS), the reporting tool for Federal prime awardees. This information reported will then be displayed on a public and searchable website: [www.USASpending.gov](http://www.USASpending.gov).

### **33. Procurement**

The Uniform Guidance procurement requirements (2 C.F.R. § Part 200, Subpart D) went into effect on July 1, 2018. These requirements are applicable to CDBG-DR funded projects, or as provided by 83 Federal Register 5844 VI A(1)(b)(2) permits a state grantee to elect to follow its own procurement policy. These policies and procedures ensure that Federal dollars are spent fairly and encourage open competition at the best level of service and price.

### **34. Change Orders to Contracts**

Change orders are issued when the initial agreed upon pricing or work to be completed requires modification. First, the contractor must complete a Change Order Request Form. This form and supporting documentation must be delivered to the Project Manager for review. Each change order must have a cost analysis. Once the Project Manager approves the change order, it is returned to the contractor for execution. Change orders are only invoiced on the final draw and categorized as “change order.” The amount listed on the invoice must match the previously approved amount and must be cost reasonable. The Project Manager is responsible for verifying cost reasonableness. Verification documentation for cost reasonableness becomes an attachment to the change order.

### **35. Environmental Review**

Every project undertaken with Federal funds, and all activities related to that project, is subject to the provisions of the National Environmental Policy Act of 1969 (NEPA), as well as to the HUD environmental review regulations at 24 C.F.R. § Part 58- ENVIRONMENTAL REVIEW PROCEDURES FOR ENTITIES ASSUMING HUD ENVIRONMENTAL RESPONSIBILITIES. The primary purpose of this Act is to protect and enhance the quality of our natural environment. The HUD environmental review process must be completed before any Federal funds can be accessed for program-eligible activities.

The primary objectives of the HUD environmental review are to identify specific environmental factors that may be encountered at potential project sites, and to develop procedures to ensure compliance with regulations pertaining to these factors. The HUD environmental review is designed to produce program specific environmental review procedures in a program that can vary greatly in terms of scope of work.

### **36. Lead Based Paint**

All housing units assisted using CDBG-DR funds must comply with the regulations regarding lead-based paint found at 24 C.F.R. § Part 35- LEAD-BASED PAINT POISONING PREVENTION IN CERTAIN RESIDENTIAL STRUCTURES.

### **37. Environmental Review Record**

The Environmental Officer is responsible for maintaining a written record of the environmental review process. The ERR for all programs contains all the governmental review documents, public notices and written determinations or environmental findings required by 24 C.F.R. §Part 58- ENVIRONMENTAL REVIEW PROCEDURES FOR ENTITIES ASSUMING HUD ENVIRONMENTAL RESPONSIBILITIES as evidence of review, decision making and actions pertaining to a project of a recipient.

### **38. Flood Insurance Requirements**

Grantees and subrecipients of Federal funding must ensure that procedures and mechanisms are put into place to monitor compliance with all flood insurance requirements as found in the Flood Disaster Protection Act of 1973, 24 C.F.R. § 570.605- NATIONAL FLOOD INSURANCE PROGRAM and 24 C.F.R. § 570.202- ELIGIBLE REHABILITATION AND PRESERVATION ACTIVITIES.

### **39. Duplication of Benefits**

CDBG-DR funding intends to address the unmet needs of a community. The funds are supplemental to primary forms of assistance, including private insurance and FEMA funds. To avoid duplicative assistance and potential de-obligation of funding, Subrecipient must utilize all possible funding sources before applying CDBG-DR dollars to a project. CDBG-DR programs are typically implemented after temporary disaster assistance programs, such as FEMA Individual Assistance which are not intended to make someone whole.

The Stafford Disaster Relief and Emergency Assistance Act (Stafford Act), as amended, 42 U.S.C. §5121 et seq., established the requirements for Duplication of Benefits (DOB) analysis.

#### **40. Anti-Fraud, Waste and Abuse Checks**

The Anti-Fraud, Waste and Abuse (AFWA) check is designed to identify discrepancies and risk-relevant issues in Applicant-provided information that may be indicative of fraud, waste, and/or abuse.

#### **41. Affirmatively Furthering Fair Housing**

The Fair Housing Act of 1968, as amended, 42 U.S.C. §3601, et seq., dictates that grantees are required to administer all programs and activities related to housing and urban development in a manner to affirmatively further the policies of the Fair Housing Act. Per the regulations of 24 C.F.R. § 570.601 and in accordance with Section 104(b)(2) of the Housing and Community Development Act of 1974, as amended, 42 U.S.C. §5301 et seq., for each community receiving a grant under Subpart D of this part, the certification that the grantee will affirmatively further fair housing shall specifically require the grantee to take meaningful actions to further the goals identified in the grantee's Assessment of Fair Housing (AFH) plan, conducted in accordance with the requirements of 24 C.F.R. § §§5.150-5.180 (Affirmatively Furthering Fair Housing) and take no action that is materially inconsistent with its obligation to affirmatively further fair housing.

#### **42. Drug Free Workplace**

The Drug-Free Workplace Act of 1988, as amended, 41 U.S.C. §81, as implemented by 24 C.F.R. § Part 24 Subpart F, §§983.251-983.262, requires that any grantee other than an individual must certify that it will provide a drug-free workplace. Any grantee found in violation of the requirements of this act may be subject to suspension of payments under the grant, suspension or termination of the grant or suspension or debarment of the grantee.

#### **43. Timely Distribution of Funds**

The Supplemental Appropriations for Disaster Relief Requirements, 2017 (Pub. L. 115-56), approved September 8, 2017 (Appropriations Act), as amended, requires that funds provided under the Act be expended within two (2) years of the date that HUD obligates funds to a grantee unless otherwise authorized via waiver of this requirement by the Office of Management and Budget (OMB). The OMB waived the two (2) year expenditure requirement under 83 FR 40314; however, the provision to expend one hundred percent (100%) of the total allocation of CDBG-DR funds on eligible activities within six (6) years of HUD's initial obligation of funds remains in effect. The six (6) year expenditure period commences with the initial obligation of funds provided under 83 FR 5844. Additionally, per 83 FR 5844, the provisions at 24 C.F.R. § 570.494 and 24 C.F.R. § 570.902, regarding timely distribution and expenditure of funds, are waived and an alternative requirement was established.

Furthermore, consistent with 31 U.S.C §1555 and OMB Circular No. A-11 (2017), if the Secretary of HUD or the President of the United States determines that the purposes for which the appropriation was made have been carried out and no disbursement has been made against the appropriation for two (2) consecutive fiscal years, any remaining unobligated balance shall be canceled and will be made unavailable for obligation or expenditure for any purpose.

#### **44. Property Management and Distribution**

Regulations governing property management and distribution of real property, equipment, financial obligations and return of un-obligated cash post program closeout can be found in 24 C.F.R. § 570.506, 2 C.F.R. § 200.310, 2 C.F.R. § 200.343 and 2 C.F.R. § 200.344(b). The standards of 24 C.F.R. § 570.506 apply to any real property under a CDBG award recipient's control acquired in whole or in part with CDBG funds in excess of \$25,000.00. The recipient may not change the use or planned use of the property without proper notification to affected citizens and allowable time for comment by them. If the property is not a building for general government conduct, the use of the property may be changed with citizen approval if it either meets one of the national objectives as defined in 24 C.F.R. § 570.208 or if not, the recipient may either retain or dispose of the property for the changed use if the recipient's CDBG program is reimbursed in the amount of the current fair market value of the property, less any portion of the value attributable to expenditures of non-CDBG funds for acquisition of, and improvements to, the property. Following such reimbursement, the property will no longer be subject to any CDBG requirements.

#### **45. Limited English Proficiency**

Executive Order No. 13166, signed on August 11, 2000, requires programs, subrecipients, contractors, subcontractors, and/or developers funded in whole or in part with CDBG-DR financial assistance to ensure fair and meaningful access to programs and services for families and individuals with Limited English Proficiency (LEP) and/or deaf/hard of hearing. Fair access is ensured through the implementation of a Language Assistance Plan (LAP), which includes non-English-

based outreach, translation services of vital documents, free language assistance services, and staff training. Vital documents are defined as depending on the importance of the program, information, encounter, or service involved, and the consequence to the LEP person if the information in question is not provided accurately or in a timely manner.

#### 46. **Personally Identifiable Information**

In accordance with 2 C.F.R. § 200.303, regarding internal controls of a non-Federal entity, a grantee must guarantee the protection of all Personally Identifiable Information (PII) obtained. The program will enact necessary measures to ensure PII of all applicants is safeguarded as to avoid release of private information. If a contractor or employee should experience any loss or potential loss of PII, the program shall be notified immediately of the breach or potential breach.

#### 47. **Uniform Relocation Act**

CDBG-DR funds are subject to the Uniform Relocation Assistance and Real Property Acquisition Act of 1970 (URA or Uniform Act), as amended. 49 C.F.R. § Part 24 requires relocation assistance for lower-income individuals displaced as a result of the demolition or conversion of a lower-income dwelling and requires one-for-one replacement of lower-income units demolished or converted to other uses.

#### 48. **Residential anti-displacement and relocation assistance plan.**

Per Section 104(d) of the Housing and Community Development Act of 1974 § 42.325.

- Certification

- As part of its consolidated plan under [24 CFR part 91](#), the recipient must certify that it has in effect and is following a residential anti-displacement and relocation assistance plan.

- A unit of general local government receiving funds from the State must certify to the State that it has in effect and is following a residential anti-displacement and relocation assistance plan, and that it will minimize displacement of persons as a result of assisted activities. The State may require the unit of general local government to follow the State's plan or permit it to develop its own plan. A unit of general local government that develops its own plan must adopt the plan and make it public.

- Plan contents

- The plan shall indicate the steps that will be taken consistent with other goals and objectives of the program, as provided in parts 92 and 570 of this title, to minimize the displacement of families and individuals from their homes and neighborhoods as a result of any assisted activities.
- The plan shall provide for relocation assistance in accordance with [§ 42.350](#).
- The plan shall provide one-for-one replacement units to the extent required by [§ 42.375](#).

#### 49. **Complaints and Appeals**

Citizen comments on VIHFA's published Action Plan, any substantial amendments to the Action Plan, performance reports and/or other issues related to the general administration of CDBG-DR funds are welcomed throughout the duration of the grant. The Citizen Participation Plan is posted as a stand-alone document at [www.vihfa.gov](http://www.vihfa.gov). Complaints regarding fraud, waste, or abuse of government funds shall be addressed to the HUD Office of Inspector General Fraud Hotline by phone: 1-800-347-3735 or email: [hotline@hudoig.gov](mailto:hotline@hudoig.gov).

#### 50. **Monitoring**

As per CDBG regulation, 24 C.F.R. § 570.501(b), grantees of CDBG-DR funds are responsible for carrying out their programs to meet compliance with CDBG Program, statutory and regulatory requirements, including monitoring their project administrators, contractors, and subcontractors. As such, throughout the application, planning, design, and implementation phase of the program, VIHFA will conduct internal monitoring of processes, procedures, policy, applications, planning, design, construction, and other applicable phases.

#### 51. **Procurement of Recovered Materials**

A [non-Federal entity](#) that is a [state](#) agency or agency of a political subdivision of a [state](#) and its [contractors](#) must comply with section 6002 of the [Solid Waste Disposal Act](#), as amended by the Resource Conservation-and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at [40 CFR part 247](#) that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000; procuring solid waste management services in a

manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

## Attachment 2

### 2 CFR Part 200 Appendix II, Contract Provisions for Non-Federal Contracts

#### Appendix II to Part 200 - Contract Provisions for Non-Federal Entity Contracts Under Federal Awards

In addition to other provisions required by the Federal agency or non-Federal entity, all contracts made by the non-Federal entity under the Federal award must contain provisions covering the following, as applicable:

(A) Contracts for more than the simplified acquisition threshold currently set at \$150,000, which is the inflation adjusted amount determined by the Civilian Agency Acquisition Council and the Defense Acquisition Regulations Council (Councils) as authorized by [41 U.S.C. 1908](#), must address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate.

(B) All contracts in excess of \$10,000 must address termination for cause and for convenience by the non-Federal entity including the manner by which it will be effected and the basis for settlement.

(C) Equal Employment Opportunity. Except as otherwise provided under [41 CFR Part 60](#), all contracts that meet the definition of "federally assisted construction contract" in [41 CFR Part 60-1.3](#) must include the equal opportunity clause provided under [41 CFR 60-1.4\(b\)](#), in accordance with [Executive Order 11246](#), "Equal Employment Opportunity" ([30 FR 12319](#), 12935, 3 CFR Part. 1964-1965 Comp., p. 339), as amended by [Executive Order 11375](#), "Amending [Executive Order 11246](#) Relating to Equal Employment Opportunity," and implementing regulations at [41 CFR part 60](#), "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."

(D) Davis-Bacon Act, as amended ([40 U.S.C. 3141-3148](#)). When required by Federal program legislation, all prime construction contracts in excess of \$2,000 awarded by non-Federal entities must include a provision for compliance with the Davis-Bacon Act ([40 U.S.C. 3141-3144](#)) as supplemented by Department of Labor regulations ([29 CFR Part 5](#), "Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction"). In accordance with the statute, contractors must be required to pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In addition, contractors must be required to pay wages not less than once a week. The non-Federal entity must place a copy of the current prevailing wage determination issued by the Department of Labor in each solicitation. The decision to award a contract or subcontract must be conditioned upon the acceptance of the wage determination. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency. The contracts must also include a provision for compliance with the Copeland "Anti-Kickback" Act ([40 U.S.C. 3145](#)), as supplemented by Department of Labor regulations ([29 CFR Part 3](#), "Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States"). The Act provides that each contractor or subrecipient must be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency.

(E) Contract Work Hours and Safety Standards Act ([40 U.S.C. 3701-3705](#)). Where applicable, all contracts awarded by the non-Federal entity in excess of \$100,000 that involve the employment of mechanics or laborers must include a provision for compliance with [40 U.S.C. 3702](#) and [3704](#), as supplemented by Department of Labor regulations ([29 CFR Part 3](#)). Under [40 U.S.C. 3702](#) of the Act, each contractor must be required to compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of [40 U.S.C. 3704](#) are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

(F) Rights to Inventions Made Under a Contract or Agreement. If the Federal award meets the definition of "funding agreement" under [37 CFR § 401.2 \(a\)](#) and the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that "funding agreement," the recipient or subrecipient must comply with the requirements of [37 CFR Part 401](#), "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by the awarding agency.

(G) Clean Air Act ([42 U.S.C. 7401-7671](#)) and the Federal Water Pollution Control Act ([33 U.S.C. 1251-1387](#)), as amended - Contracts and subgrants of amounts in excess of \$150,000 must contain a provision that requires the non-Federal award to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act ([42 U.S.C. 7401-7671](#)) and the Federal Water Pollution Control Act as amended ([33 U.S.C. 1251-1387](#)). Violations must be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).

(H) Debarment and Suspension (Executive Orders 12549 and 12689) - A contract award (see [2 CFR 180.220](#)) must not be made to parties listed on the governmentwide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at [2 CFR 180](#) that implement Executive Orders 12549 ([3 CFR part 1986](#) Comp., p. 189) and 12689 ([3 CFR part 1989](#) Comp., p. 235), "Debarment and Suspension." SAM Exclusions contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than [Executive Order 12549](#).

(I) Byrd Anti-Lobbying Amendment ([31 U.S.C. 1352](#)) - Contractors that apply or bid for an award exceeding \$100,000 must file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by [31 U.S.C. 1352](#). Each tier must also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the non-Federal award.

(J) See [§ 200.322](#) Procurement of recovered materials.

# VIRGIN ISLANDS OFFICE OF DISASTER RECOVERY

Virgin Islands Public Finance Authority  
14A & 14C Strand Street Frederiksted,  
St. Croix VI 00840  
Phone (340)202-1221



Virgin Islands Public Finance Authority  
8000 Nisky Center, Suite 1  
St. Thomas, VI 00802  
Phone (340)202-1221

## INVITATION FOR BIDS

### IFB 003-2024-STX/STT/STJ

## EnVIision HRRP GENERAL CONSTRUCTION SERVICES

The Virgin Islands Office of Disaster Recovery (VIODR) Community Development Block Grant-Disaster Recovery Program (CDBG-DR) seeks highly skilled licensed construction contractors to provide residential construction repair, rehabilitation, and/or reconstruction services for single-family owner-occupied residential structures on the islands of St. Croix, St. Thomas, and St. John.

## Virgin Islands Office of Disaster Recovery

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**IFB 003-2024-STX/STT/STJ**  
INVITATION FOR BIDS  
EnVIsion HRRP General Construction Services

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### **1.0 INTRODUCTION**

The Virgin Islands Office of Disaster Recovery ("ODR"), a subsidiary division of the Virgin Islands Public Finance Authority ("PFA"), an independent instrumentality of the Government of the United States Virgin Islands, is soliciting proposals from qualified and licensed construction contractors ("Respondents") to provide residential construction repair, rehabilitation and/or reconstruction services for forty-eight (48) single-family owner-occupied residential structures on the islands of St. Croix and St. Thomas.

In November 2023, the ODR entered a Subrecipient Agreement with the Virgin Islands Housing Finance Authority (VIHFA) to provide Program and Project Management Services to include the Homeowner Reconstruction and Rehabilitation Program and the Rental Reconstruction and Rehabilitation Program (EnVIsion Tomorrow Program) funded by the Community Development Block Grant – Disaster Recovery (CDBG-DR).

### **1.1 CONTEXT**

Hurricanes Irma and Maria had a devastating impact on the United States Virgin Islands (U.S. Virgin Islands or "the Territory"). The two back-to-back Category 5 storms in September 2017 caused significant damage and destruction to roads and public facilities. The entire population—over 100,000 residents—was impacted by the devastation brought on by the storms, with winds of over 185 miles per hour and up to 20 inches of rain in some areas.

As a result of the storms, the United States Virgin Islands is the recipient of Community Development Block Grant – Disaster Recovery funding from HUD and aims to rehabilitate or reconstruct homes damaged by the storms via the EnVIsion Tomorrow Program. The program consists of the Homeowner Rehabilitation & Reconstruction Program (HRRP) and the Rental Rehabilitation & Reconstruction Program (RRRP). The HRRP provides support to homeowners with low- to moderate-income status, while the RRRP focuses on rental units damaged by storms.

## **2.0 SCOPE OF WORK**

The exhibits in the solicitation package include all the details related to the scope of work for each project. The Bid Sheets contain line-item details that the respondent is required to utilize to submit the cost for each project. If required, some projects include architectural drawings or plans that outlay the specifications for the scope of work. Projects should be bid as listed. Any changes to the attached bid sheets and drawings will be addressed via change order.

*Exhibit A - STT Bid Sheets*

*Exhibit A.1 - STT Drawings*

*Exhibit B - STX Bid Sheets*

*Exhibit B.1- STX Drawings*

*Exhibit C - STJ Bid Sheets*

*Exhibit C.1 - STJ Drawings*

## **2.1 CLEAN UP**

The selected Respondent shall always keep the building and surrounding area reasonably free from rubbish and shall remove and discard debris in accordance with local laws on a timely basis or when directed to do so by ODR. The selected Respondent shall remove rubbish and debris daily. The selected Respondent shall broom clean the building as required to minimize dust and dirt accumulation. The Respondent shall provide and maintain suitable all-weather access to the building. Before final inspection and acceptance of the building, the Respondent shall clean the work area, including glass, hardware, fixtures, masonry, and tile, clean and wax all floors (using noncorrosive chemicals) as specified, and completely prepare the building for use by ODR, with no cleaning required by ODR.

## **2.2 CONTACT INFORMATION**

The selected Respondent shall provide contact information to facilitate and maintain regular communication with ODR. This contact information shall include a minimum of a reliable company phone number and email address. The said contact information shall be monitored regularly and used to facilitate an open line of communication with ODR.

## **2.3 APPLICABLE REGULATIONS**

The services performed shall be subject to the following regulations, which can be found in the solicitation package:

*Exhibit D -Green Building Retrofit Checklist*

*Exhibit E -CDBG-DR Construction Building Standards*

### 3.0 PROJECTS

The ODR will contract for the applicants below, as scoped in Exhibit A, Exhibit B, and Exhibit C. The ODR reserves the right to modify and/or terminate the contract if the successful respondent fails to perform in a manner consistent with the terms of the contract. In addition, ODR reserves the right to modify and/or terminate the contract if funding becomes unavailable.

#### St. Croix

| <b>Project ID</b> | <b>Construction Type</b>              |
|-------------------|---------------------------------------|
| 1. VI-HRR-00194   | Roof Reconstruction & Interior Repair |
| 2. VI-HRR-00397   | Roof Reconstruction & Interior Repair |
| 3. VI-HRR-00400   | Roof Reconstruction & Interior Repair |
| 4. VI-HRR-01138   | Roof Reconstruction & Interior Repair |
| 5. VI-HRR-01170   | Roof Reconstruction & Interior Repair |
| 6. VI-HRR-01793   | Roof Reconstruction & Interior Repair |
| 7. VI-HRR-02107   | Roof Reconstruction & Interior Repair |
| 8. VI-HRR-00080   | Reconstruction                        |
| 9. VI-HRR-00514   | Reconstruction                        |
| 10. VI-HRR-01018  | Reconstruction                        |
| 11. VI-HRR- 01102 | Reconstruction                        |
| 12. VI-HRR-01173  | Reconstruction                        |
| 13. VI-HRR-01212  | Reconstruction                        |
| 14. VI-HRR-01930  | Reconstruction                        |
| 15. VI-HRR-00723  | New Construction                      |
| 16. VI-HRR-00816  | Roof & Interior Repair                |

|                  |                        |
|------------------|------------------------|
| 17. VI-HRR-00963 | Roof & Interior Repair |
| 18. VI-HRR-01113 | Roof & Interior Repair |
| 19. VI-HRR-01981 | Roof & Interior Repair |

**St. Thomas**

| <b>Project ID</b> | <b>Construction Type</b>              |
|-------------------|---------------------------------------|
| 1. VI-HRR-00256   | Roof Reconstruction & Interior Repair |
| 2. VI-HRR-00267   | Roof Reconstruction & Interior Repair |
| 3. VI-HRR-00335   | Roof Reconstruction & Interior Repair |
| 4. VI-HRR-00662   | Roof Reconstruction & Interior Repair |
| 5. VI-HRR-01779   | Roof Reconstruction & Interior Repair |
| 6. VI-HRR-01871   | Roof Reconstruction & Interior Repair |
| 7. VI-HRR-02103   | Roof Reconstruction & Interior Repair |
| 8. VI-HRR-02105   | Roof Reconstruction & Interior Repair |
| 9. VI-HRR-00391   | Reconstruction                        |
| 10. VI-HRR-00659  | Reconstruction                        |
| 11. VI-HRR-01016  | Reconstruction                        |
| 12. VI-HRR-01882  | Reconstruction                        |
| 13. VI-HRR-02077  | Reconstruction                        |
| 14. VI-HRR-00005  | Roof & Interior Repair                |
| 15. VI-HRR-00292  | Roof & Interior Repair                |
| 16. VI-HRR-00313  | Roof & Interior Repair                |

|                  |                        |
|------------------|------------------------|
| 17. VI-HRR-01078 | Roof & Interior Repair |
| 18. VI-HRR-01450 | Roof & Interior Repair |
| 19. VI-HRR-01452 | Roof & Interior Repair |
| 20. VI-HRR-00293 | Roof & Interior Repair |
| 21. VI-HRR-01959 | Roof & Interior Repair |
| 22. VI-HRR-02065 | Roof & Interior Repair |
| 23. VI-HRR-00775 | Interior Repair        |
| 24. VI-HRR-00884 | Interior Repair        |
| 25. VI-HRR-00770 | Roof Reconstruction    |
| 26. VI-HRR-01521 | Roof Reconstruction    |

**St. John**

| <b>Project ID</b> | <b>Construction Type</b>              |
|-------------------|---------------------------------------|
| 1. VI-HRR-00417   | Reconstruction                        |
| 2. VI-HRR-00550   | Reconstruction                        |
| 3. VI-HRR-00787   | Roof Reconstruction & Interior Repair |

**4.0 TERMINATION**

Either party may terminate the parties’ contract with or without cause with **thirty (30) calendar days** written notice to the other party before the effective date of such termination. The ODR may, by written notice, terminate the Respondent’s services, in whole or in part, for the Respondent's failure to perform its obligations under the parties’ contract. In such an event, the Respondent shall be liable for damages as authorized by law.

**5.0 PAYMENT**

The contract will be funded, in whole or in part, by CDBG-DR funds. Therefore, funding and payment of the contract will be based on the requirements and availability of the CDBG-DR funds by ODR. The prime Contractor must submit all required documentation for payment to ODR. The contract requires

compliance with federal terms and conditions for federal grants such as CFR200 (*Attachment 2*). Respondents shall provide a description of their experience with such grant requirements. The selected Respondent is responsible for preparing and submitting pay requests for payment and invoice based upon a mutually agreed Schedule of Values (“SOV”).

After the notice to proceed is issued, the contract payment will consist of a mobilization, after which all subsequent payments will be based on work in place. Further, a 10% retainage will be withheld from each payment following the mobilization payment. The selected Respondent shall allow enough time for the ODR to review and process payment requests, which can take an average of four (4) weeks.

Please note that the information requested in the cost proposal may not necessarily reflect the final contract's structure.

## **6.0 NUMBER OF AWARDS**

The Respondent recognizes that at the sole discretion of ODR and based upon the breadth and experience of respondents to this IFB, ODR may decide to award contracts to more than one Respondent pursuant to this IFB. Nothing in this paragraph shall be construed in derogation of ODR’s right, in its sole discretion, to cancel this IFB.

## **7.0 LIQUIDATED DAMAGES**

Should the successful Respondent fail to complete the scope of work according to the terms of the contract, the successful Respondent agrees to pay to the ODR, as liquidated damages, \$500.00 for each calendar day or portion thereof that the successful Respondent fails to commence or diligently perform the work in accordance with the contract documents and/or is in violation of the contract. To the extent available, the liquidated damages shall first be deducted from any contract monies due but not yet paid to the successful Respondent.

## **8.0 CONFLICT OF INTEREST**

A Respondent submitting a proposal hereby certifies that no officer, agent, or employee of ODR has a pecuniary interest in this bid or has participated in contract negotiations on behalf of ODR; that the proposal is made in good faith without fraud, collusion, or connection of any kind with any other Bidder for the same request for proposals; the Bidder is competing solely in its own behalf without connection with, or obligation to, any undisclosed person or firm.

A Respondent must also disclose any existing contractual work for the: (i) Territorial Government, whether directly or through a parent company, subsidiary company or associated company, or independent contractor(s) hired by Respondent; and/or (ii) any current contractors/vendors of the Territorial Government or ODR, identify any potential conflict of interest and must certify that Respondent nor any parent company, subsidiary company or associated company or contractual/independent contractor(s) hired by Respondent has assisted with preparing this IFB.

## 9.0 USE OF SUBCONTRACTORS

The ODR shall have a single Prime Contractor, and that Prime Contractor shall be responsible for all deliverables specified in the IFB. This general requirement notwithstanding, Respondents may enter subcontractor arrangements. However, Respondent shall acknowledge in its IFB package total responsibility for the entire contract. If the respondent intends to subcontract for portions of the work, the Respondent shall identify in its bid any subcontractor relationships and include specific designations of the tasks to be performed by the subcontractor. The documentation required by the prime Contractor is also required for any subcontractor. The prime Contractor shall be the single point of contact for all subcontract work. Every subcontract shall incorporate and follow the contract terms between the prime Contractor and ODR. Unless provided for in the contract with ODR, the prime Contractor shall not contract with any other party for any of the services herein contracted without the express prior written approval of ODR. Additionally, the subcontractor shall not subcontract for any portion of the work they are expected to complete. The prime Contractor shall be responsible for fulfilling all terms of the contract, timing, and payments to subcontractors regardless of funding provided by ODR.

**All contractors must have each subcontractor(s) sign Enclosure Document F, Subcontractor Statement, and submit it along with their proposal, affirming the following: *"I have read and understood the IFB, and final version of the proposal submitted by (Contractor)."***

## 10.0 KEY PERSONNEL

Each proposal shall describe the organizational structure of the proposed team. At a minimum, each proposal shall include:

- a. An organization chart showing the reporting responsibilities and organization of all Key Personnel, other staff to be assigned, and subcontractors.
- b. Key Personnel job descriptions and reporting responsibilities, an identification of all individuals performing functions of Key Personnel who meet the minimum qualifications of each key role.
- c. Curriculum Vitae or resume for all key personnel.

## 10.1 KEY PERSONNEL REPLACEMENT

Key Personnel are those Contractor personnel considered essential to the contract's performance. No changes in Key Personnel will be made unless the Contractor can demonstrate that the qualifications of prospective replacement personnel are equal to or better than those of the Key Personnel being replaced. All proposed substitutes shall have qualifications equal to or higher than the qualifications of the person to be replaced. The ODR reserves the right to re-evaluate the selection of any respondent if one or more key personnel are replaced within the first six months of contract performance and shall be notified in writing of any proposed substitution at least thirty (30) days in advance. Such notification shall include:

- a. An explanation of the circumstances necessitating the substitution.
- b. A complete resume of the proposed substitute.

- c. And any other information requested by VIODR, DPW, and requesting agencies to facilitate evaluating the Contractor's substitution request. VIODR, DPW, and requesting agencies reserve the option of reviewing, re-evaluating, and rescoring the Contractor's response to this solicitation and further reserve the option of invalidating the Contractors' response to this solicitation due to excessive substitutions.

## **11.0 QUALIFICATIONS**

Qualified Respondents must be able to provide residential construction repair, rehabilitation and/or reconstruction services for single-family owner-occupied residential structures. The selected Respondent must be able to adequately demonstrate their experience in their proposal submittal and have extensive experience in private home construction. The Respondent must have the administrative infrastructure to effectively manage and support service delivery and fiscal management processes. Additionally, the Respondent should provide detailed information about the experience and qualifications of the Respondent's assigned personnel, considered key to the project's success.

The Respondent should also have a valid Data Universal Numbering System (DUNS) number and be registered with the System for Award Management (SAM). An award will **not** be made to any firm or individual doing business in the Virgin Islands with the Government of the Virgin Islands until evidence is submitted that said firm or individual has a valid Virgin Islands Business License. Bidders must submit a hard copy of a valid Virgin Islands Business License within ten (10) business days after award. All Bidders bidding as Joint Ventures must be licensed as a Joint Venture in the Virgin Islands.

## **11.1 REQUIRED MINIMUM QUALIFICATIONS OF RESPONDENT**

The following subsections require minimum qualifications.

- a. Respondents that are corporations, partnerships, or any other legal entity, domestic or foreign, shall be properly registered to do business in the Territory when submitting their Proposal to this IFB. Respondents shall attach their license to do business or a copy of their application for a license. Respondents should briefly describe their company, including a brief history, corporate or organizational structure, and number of years in business. If the Respondent is partnering or subcontracting with any other entity, provide the information described above for each such entity.
- b. A Proposal may be rejected at any time during the evaluation process and thereafter if any adverse findings would prevent the Program from selecting the firm or any person or entity associated or partnering with the firm.

Such adverse findings may include but are not limited to, the following:

- Negative findings from the Inspector General, a Federal Inspector General, the U.S. Government Accountability Office, or an Inspector General in another State.
- Pending or unresolved legal action from the U.S. Attorney General or an attorney general in another State or Territory.

- Pending litigation with the USVI or any other State or Territory.
- Suspension or debarment as ineligible of the System for Award Management (SAM);
- Arson conviction or pending case.
- Harassment conviction or pending case.
- Local, State, Federal, or private mortgage arrears, default, or foreclosure proceedings.
- In rem foreclosure.
- Sale of tax lien or substantial tax arrears.
- Fair Housing violations or current litigation.
- Defaults under any Federal, Territory, State, or locally sponsored program.
- A record of substantial building code violations or litigation against properties owned and/or managed by Respondent or by any entity or individual that comprises Respondent.
- Past or pending voluntary or involuntary bankruptcy proceedings.
- Conviction for fraud, bribery, or grand larceny by any Principal Respondent.
- Listing on the Federal or State excluded parties' lists.

## **12.0 BONDING REQUIREMENTS**

For construction contracts exceeding \$350,000, a performance bond for 100% of the project cost is required, along with a bid bond of at least 5%. The “bid guarantee” shall consist of a firm commitment accompanying a bid as assurance that the Respondent will, upon acceptance of his bid, execute such contractual documents as may be required within the time specified. If the contract cost is less than \$350,000, a performance bond is not required.

## **12.1 ASSURANCE OF COMPLETION**

For construction contracts exceeding \$350,000.00, the selected bidder may be required to furnish an assurance of completion. This assurance may be any one of the following:

1. A performance and payment bond in a penal sum of *100 percent* of the contract price:
  - A “performance bond” is one executed in connection with a contract to secure fulfillment of all the Contractor’s obligations under such contract.
  - A “payment bond” is one executed in connection with a contract to assure payment as required by law of all persons supplying labor and material in executing the work provided for in the contract.
2. Separate performance and payment bonds, each for *50 percent* or more of the contract price; or
3. A *20 percent* cash escrow; or
4. A *25 percent* irrevocable letter of credit; or
5. A pledged asset(s) that is adequate to protect the interest.

The bonds must be obtained from guarantee or surety companies authorized to do business in the USVI where the work will be performed. Individual sureties shall not be considered. **U.S. Treasury Circular Number 570** lists companies approved to act as sureties on bonds securing Government contracts, the maximum

underwriting limits on each contract bonded, and the State/Territories in which the company is licensed to do business. The use of companies in this circular is mandatory.

### **13.0 RESPONDENT’S RESPONSIBILITIES**

- Bear all costs related to the preparation and submission of the bid package in response to this IFB solicitation.
- Attend the mandatory site visits. Respondents must have attended a site visit to be considered for an award. If a contractor attended the mandatory site visits in April and signed in, it is not required that they attend the site visits again in May.
- Provide a complete “Bid Sheet” with a price guaranteed for ninety (90) calendar days from the submission deadline and thereafter until the firm withdraws it, a contract is approved and executed, or the procurement is canceled, whichever occurs first including the documentation specified in this IFB solicitation.
- Be a duly licensed General Construction Contractor in the USVI and provide proof of a valid USVI Business License.
- Pay all taxes and fees as local and federal statutes require.  
***\*Respondents and sub-contractors to this RFP must pay U.S. Virgin Islands Gross Receipt Taxes (GRT) of 5% for overall earnings that equate to or exceed \$30,000.00.***
- Maintain compliance with all permits issued for the project.
- Obtain and provide a copy of current General Liability Insurance for One Million (\$1,000,000.00) Dollars to cover any claims and damages occasioned by executing the Scope of Work. ○ Provide acceptable workmanship, according to “Industry Standard” in each Trade or for the Scope of Work.
- Contractors must provide a project schedule prior to issuance of a notice to proceed.
- Submit timely approval to the Construction Manager (CM) in writing for items that need review and approval.
- Remedy and repair any defects in materials or workmanship without the expense of the ODR no later than fifteen (15) calendar days after receipt of a written notice of a defect and provide a one-year warranty on such repairs.
- Prepare and submit an application for payment and invoice based upon a mutually agreed SOV.
- The Respondent shall utilize material available at the lumber yard provided by ODR.

### **14.0 ODR’S RESPONSIBILITIES**

- Provide the Respondent with an electronic copy of the Architectural Plans with specifications.
- Provide assistance, as necessary, in obtaining local permits and in dealing with governmental entities.
- Perform weekly construction progress inspections to ensure compliance with the project drawings, specifications, and ODR’s policies and procedures.
- Hold weekly construction meetings and project site visits to maintain coordination of the construction work.

- Review all weekly status reports, drafts, and final project close-out reports before final acceptances.
- Review all invoices, process pay application packages and ensure timely delivery of payments to the Respondent.

**15.0 GENERAL FEDERAL GRANT REQUIREMENTS**

Because the contract is being funded with federal funds, the contract shall be governed by certain federal terms and conditions for federal grants, such as the Office of Management and Budget's ("OMB") applicable circulars and required federal contract clauses per 2 CFR Part 200 Appendix II. Respondent shall provide a description of experience with such grant requirements and affirmatively represent and certify that the Respondent shall adhere to any requirements of applicable federal requirements. Any funds disallowed by any federal government entity shall be disallowed from fees or compensation to contractors.

**16.0 STANDARD CLAUSES FOR CONTRACTS WITH ODR**

Because the ultimate contract will be between the Respondent and ODR, the contract shall be governed by certain standard ODR terms and conditions. Respondent shall certify that it will adhere to the terms and conditions set forth, and any subsequent changes deemed appropriate by ODR.

**17.0 INVITATION FOR BIDS SCHEDULE**

The following schedule represents ODR's estimate of the timetable that will be followed in connection with this solicitation:

| <b>IFB SCHEULE</b>   | <b>DATES AND TIMES (AST)</b>   |
|--|--------------------------------|
| <b>IFB Release Date</b>                                      | August 19, 2024                |
| <b>Mandatory Virtual Pre-Bid Conference</b>                  | August 22, 2024 at 2:00 pm     |
| <b>Mandatory Site Visits – St. Croix/St. Thomas/St. John</b> | August 27-29, 2024             |
| <b>Deadline to submit questions</b>                          | September 2, 2024 by 3:00 pm   |
| <b>Responses to Questions</b>                                | September 5, 2024              |
| <b>IFB Submission Deadline</b>                               | September 12, 2024 at 12:00 pm |
| <b>IFB Bid Opening</b>                                       | September 12, 2024 at 3:00 pm  |

Please note that the IFB timeline includes target dates and may change. ODR reserves the right, at its sole discretion, to adjust this Schedule of Events as it deems necessary. ODR will communicate adjustments to any event in the Schedule of Events in the form of an addendum to this IFB. If the ODR amends this IFB, they will email the addenda to all potential Respondents and post it on the ODR website. The ODR will NOT be held responsible if any potential Respondent does not provide current contact information to receive all addenda.

## 18.0 ISSUING AND PROCURING OFFICE

This IFB is being issued by the Virgin Islands Office of Disaster Recovery (ODR), a subsidiary division of the Virgin Islands Public Finance Authority ("PFA"), an independent instrumentality of the Government of the United States Virgin Islands, by the issuing office listed below. Please refer all inquiries to:

**Virgin Islands Office of Disaster Recovery (ODR)**  
**Virgin Islands Public Finance Authority**  
**ATTN: Adrienne L. Williams-Octalien, Director**  
14A & 14C Strand Street Frederiksted, St. Croix VI 00840

From the issue date of this IFB until a determination is made regarding the selection of a Respondent, refer all questions concerning this IFB to [procurements@usvipfa.com](mailto:procurements@usvipfa.com). Please mark the subject line for the email: **“IFB 003-2024-STX/STT/STJ.”**

Any violation of this condition may cause the ODR to reject a Respondent's package. The ODR will NOT be responsible for any oral information given by any employees. Failure to ask questions, request changes, or submit objections shall constitute the acceptance of all terms, conditions, and requirements in this IFB. The issuance of a written addendum by the ODR is the only official method by which interpretation, clarification, or additional information can be given. If the ODR amends this IFB, they will email the addenda to all potential Respondents.

The ODR will NOT be held responsible if any potential Respondent does not provide current contact information to receive all addenda or does not request the IFB directly from the ODR. The potential Respondents are responsible for updating all contact information and contacting the ODR to ensure that they receive all addenda before the submittal of the proposal package. The proposal package will be considered non-responsive if all modifications are not incorporated.

## 19.0 MANDATORY PRE-BID CONFERENCE AND SITE VISITS

The ODR will conduct a mandatory virtual pre-bid conference on Wednesday, August 22, 2024, at 2:00 p.m. Atlantic Standard Time (“AST”).

Participants may join the meeting virtually via Microsoft Teams by clicking below or entering the Meeting ID and Passcode at <https://www.microsoft.com/en-us/microsoft-teams/join-a-meeting>.

**[Join the meeting now](#)**

**Meeting ID: 252 238 267 18**

**Passcode: ADLULo**

Mandatory site visits are also scheduled from August 27 - August 29, 2024, as stated in Section 17.0 of this solicitation. A schedule and procedures for the mandatory site visits are included in Exhibit F. A CDBG-DR Construction Manager will meet all prospective Respondents at the project site. An attendance sheet will be provided, and all prospective Respondents must complete the attendance sheet to confirm attendance.

It is highly recommended that the prospective Respondent thoroughly review the IFB requirements before the pre-bid conference and site visits. All prospective Respondents are required to attend the pre-bid conference and site visits. The ODR asks that you submit your questions at the conclusion of each day's visits. The final deadline for questions is September 2, 2024 by 3:00 pm.

## **20.0 DELIVERY OF BID PACKAGES**

All responses to this IFB are to be submitted as PDFs by email at **ODR\_EBIDS@usvipfa.com** no later than **12:00 p.m. AST on Thursday, September 12, 2024**. All electronic submissions must include the Company's Name – Solicitation Number – Due Date in the email's subject line. For Example, ABC Company, Inc. – IFB-003-2024-STT – September 12, 2024. The first page of each electronic submission must also include the Company's Name – Solicitation Number and Due Date. The email proposal package must be clearly marked. Please note that submissions must be 20 MB or less in size.

Failure to clearly mark each bid package with this information may cause ODR to inadvertently open the bid package before the official closing date and time. The ODR will log all received bid packages with the date and time of receipt. Bids received after the deadline will be considered **LATE** and not open or considered.

**The ODR will not consider bid submissions by fax, submissions received after the deadline, or submissions sent to the wrong email address.**

## **21.0 CONFIDENTIAL INFORMATION, TRADE SECRETS, AND PROPRIETARY INFORMATION**

Proposals submitted in response to RFPs may contain trade secrets and/or privileged or confidential commercial (processes and techniques) or financial information (cost breakdown, profit, and indirect cost rates) that the proposer (or his subcontractor) does not want to be used or disclosed for any purpose other than evaluation of the proposal. PFA/ODR assumes no liability for the disclosure or use of unmarked data and may use or disclose such data for any purpose. However, PFA/ODR reserves the right to make any proposal, including proprietary information contained therein, available to its personnel, the Office of the Governor, or other VI Government agencies for the sole purpose of assisting PFA/ODR in its evaluation of the proposal. PFA/ODR shall require said individuals to protect the confidentiality of any specifically identified proprietary information or privileged business information obtained as a result of their participation in these evaluations.

The designation of certain information as trade secrets and/or privileged or confidential proprietary information shall only apply to the technical portion of your proposal. Your cost proposal will not be considered confidential under any circumstance. Any proposal marked as confidential or proprietary in its entirety may be rejected without further consideration or recourse.

For the purposes of this procurement, the provisions of the Virgin Islands Public Records Act (3 V.I.C. § 881) will be in effect. Pursuant to this Act, all proceedings, records, contracts, and other public

documents relating to this procurement shall be open to public inspection, but only after contract award. Proposers are reminded that while trade secrets and other proprietary information they submit in conjunction with this procurement may not be subject to public disclosure, protections of any confidential information must be claimed by the proposer at the time of submission of its Proposal. Proposers should refer to the Virgin Islands Public Records Act for further clarification. The proposer must clearly designate the part of the proposal that contains a trade secret and/or privileged or confidential proprietary information as “Confidential” in order to claim protection, if any, from disclosure. The proposer shall mark the cover sheet of the proposal with the following legend, specifying the specific section(s) of his proposal sought to be restricted in accordance with the conditions of the legend:

**“The data contained in pages \_ of the proposal have been submitted in confidence and contain trade secrets and/or privileged or confidential information and such data shall only be disclosed for evaluation purposes, provided that if a contract is awarded to this Proposer as a result of or in connection with the submission of this proposal, the VIPFA/ODR shall have the right to use or disclose the data therein to the extent provided in the contract. This restriction does not limit the VIPF/ODR’s right to use or disclose data obtained from any source, including the proposer, without restrictions.”**

Further, to protect such data, each page containing such data shall be specifically identified and marked “CONFIDENTIAL”. If your proposal contains confidential information, you should also submit a redacted copy along with your proposal. If you do not submit the redacted copy, you will be required to submit this copy within 48 hours of notification from VIPFA/ODR. When submitting your redacted copy, you should clearly mark the cover as such - “REDACTED COPY” - to avoid having this copy reviewed by an evaluation committee member. The redacted copy should also state which sections or information has been removed.” Once it is determined that information is confidential or if a restraining order is issued, only the redacted copy is to be made available for public inspection.

Proposers must be prepared to defend the reasons why the material should be held confidential. If a competing proposer or other person seeks review or copies of another proposer's confidential data, VIPFA/ODR will notify the owner of the asserted data of the request. If the owner of the asserted data does not want the information disclosed, it must agree to indemnify and hold the VIPFA/ODR harmless against all actions or court proceedings that may ensue (including attorney's fees), which seek to order the VIPFA/ODR to disclose the information. If the owner of the asserted data refuses to indemnify and hold the VIPFA/ODR harmless, it may disclose the information.

## **22.0 VIRTUAL BID OPENING**

The ODR will conduct a virtual Bid Opening at 3:00 p.m. AST on September 12, 2024.

Participants may join the meeting virtually via Microsoft Teams by clicking below or entering the Meeting ID and Passcode at <https://www.microsoft.com/en-us/microsoft-teams/join-a-meeting>.

**Join the meeting now**

**Meeting ID: 295 017 915 416**

**Passcode: qeBXQT**

### **23.0 FORMAT OF BID PACKAGE**

Each Respondent must adhere to the requirements of this section relative to the bid package content and format to simplify the review process and facilitate the maximum degree of comparison. Respondents should ensure that the bid package follows the sequence and organizational outline described in this section. To be considered for award, the bid package shall meet the following requirements:

- A. IFB Cover Letter – Complete Enclosure Document A.**
- B. Commitment Statement Letter –** The Commitment Statement letter should be on the company’s letterhead with contact information and must be signed by an officer of the organization who is authorized to bind the company contractually to all the commitments made in its submittal. The letter should also include a statement of understanding for the work to be done. It shall be stated that the company will be solely responsible for all aspects of the engagement, including any portion that its subcontractor may perform. It should make a positive commitment to perform the work required as specified to industry standards of workmanship and in a professional manner. It should also state that the bid package will remain in effect for a period of ninety (90) calendar days from the submission deadline and thereafter until the firm withdraws it, a contract is approved and executed, or the procurement is canceled, whichever occurs first. Respondent shall also confirm that the company has not engaged in any unethical practices within the past five (5) years.
- C. Non-Collusive Affidavit – Complete Enclosure Document B.** The form must be notarized.
- D. Debarment Certification Form – Complete Enclosure Document C.**
- E. Corporate Document Checklist Form – Complete Enclosure Document D and submit your current USVI Business License.** For this section, the Respondent must provide evidence that the company is currently licensed as a General Construction Contractor in the USVI. **The Business License must be relevant to the Scope of Work for this solicitation.**
- F. Respondent’s Qualifications Statement Form – Complete Enclosure Document E.** The Reference Section of the form shall provide a minimum of three (3) references for the most recent, relevant work comparable to the scope requested in this IFB. At a minimum, one of the three (3) references must be for the prime Contractor.

**G. Subcontractor Statement** – All selected subcontractors must Complete **Enclosure Document F**.

**H. Organizational Conflicts of Interest Affidavit** – Complete **Enclosure Document G**. The form must be notarized.

**I. COST** – All bid pricing must be valid for ninety (90) calendar days from the submission deadline and thereafter until the company withdraws it, a contract is approved and executed, or the procurement is canceled, whichever occurs first.

Exhibit A-STT Bid Sheets

Exhibit A.1-STT Drawings

Exhibit B-STX Bid Sheets

Exhibit B.1-STX Drawings

Exhibit C-STJ Bid Sheets

Exhibit C.1-STJ Drawings

**Each respondent must adhere to the requirements of this section relative to the bid package content and format to simplify the review process and facilitate the maximum degree of comparison. Respondents should ensure that their bid package follows the sequence and organizational outline described in this section.**

## **24.0 REQUIRED DOCUMENTS**

Respondents must submit the following documents with their proposal:

- A. Letter of Good Standing if Corporation or Certificate of Existence if LLC** - The successful respondent must provide a copy of their Letter of Good Standing or Certificate of Existence. A copy of the receipt demonstrating evidence of filing the company's Annual Report from the Office of Lieutenant Governor on June 30th of the current Year will also be acceptable.
- B. Employer Identification Number (EIN)** - The successful Respondent must provide an official copy of their EIN within ten (10) business days of receiving notice of selection.
- C. Unique Entity ID**– Each respondent must submit a valid CAGE number that is actively registered on SAM.GOV <https://www.sam.gov/SAM/pages/public/index.jsf>

The successful Respondent shall have ten (10) days from the day the notice of selection is received to submit the following documents.

- D. General Liability Insurance** – The successful respondent will be required to obtain, maintain, and provide in place General Liability Insurance in an amount no less than One Million (\$1,000,000.00) Dollars for each occurrence within ten (10) business days of receiving a notice

of selection. The insurance policy shall name the Public Finance Authority as the Certificate Holder and an "Additional Insured" via an endorsement as follows:

**The Public Finance Authority  
5033 Kongens Gade, Government Hill  
St. Thomas, US Virgin Islands 00802**

- E. Worker's Compensation** - The successful Respondent will be required to obtain and have in place Workers' Compensation Insurance coverage within ten (10) business days of receiving notice of selection.

Failure to provide the required documents within the stated time periods may result in the bid being deemed non-responsive and may be immediately disqualified, with no further consideration given for the potential awarding of the contract.

## **25.0 ORAL INTERVIEWS**

Respondents may be required to participate in an oral interview. The oral interview will be a panel comprised of selection committee members. Respondents may only ask questions intended to clarify the questions they are being asked to respond to. Each Respondent's time slot for oral interviews will be determined randomly. Respondents who are selected shall make every effort to attend. If representatives of the ODR experience difficulty on the part of any Respondent in scheduling a time for the oral interview, it may result in disqualification from further consideration.

## **26.0 RIGHT TO REJECT BID PACKAGES**

The ODR reserves the right to reject, without prejudice, any and all bids submitted in response to this solicitation. Further, Bids submitted in response to this solicitation become the property of ODR, and ODR may use any idea or concept in a submitted bid, regardless of whether that bid is selected for award.

## **27.0 CHANGES, ADDENDA, AND WITHDRAWAL**

The ODR reserves the right to change the schedule of events or revise any part of the IFB by issuing an addendum to the IFB at any time. Addenda will be posted on the ODR website.

## **28.0 WITHDRAWAL OF PROPOSAL**

A Respondent may withdraw a proposal that has been submitted at any time up to the date and time the proposal is due. To withdraw a proposal, a written request signed by the authorized representative of the Respondent must be submitted to the IFB Coordinator identified in the IFB.

## **29.0 COST OF OFFER OR PREPARATION**

The ODR shall not be liable for any costs incurred by respondents before issuance of or entering into a contract. Costs associated with developing the proposal, preparing for oral presentations, and any

other expenses incurred by the Respondent in responding to this IFB shall be entirely the responsibility of the Respondent and shall not be reimbursed in any manner by the ODR.

### **30.0 CONTRACT AWARD AND EXECUTION**

The ODR reserves the right to enter into a contract(s) based on the initial offers received without further discussing the proposals submitted. The ODR reserves the right to contract for all or a partial list of services offered in the proposals. The ODR reserves the right to negotiate reduced payment terms with the awarded Proposer(s).

### **31.0 SELECTION PROCESS**

The ODR's Evaluation Committee Panel evaluates all Respondents' submittals. The Evaluation Committee Panel will consider the Respondent's qualifications, including but not limited to the following criteria:

- Respondent is duly organized, validly existing, qualified, and licensed to conduct business in the United States Virgin Islands as a General Construction Contractor.
- Lowest responsive, responsible, and reasonable price bid package.

### **32.0 MINORITY/WOMEN-OWNED BUSINESS ENTERPRISE**

Respondents that are not M/WBEs are strongly encouraged to consider partnering, or other joint venture arrangements, with certified M/WBE firms to achieve the prescribed goals and to allow M/WBE firms to participate.

Respondents must document good faith efforts to provide meaningful participation by M/WBE firms. Willful and/or intentional violation of this obligation may result in the imposition of liquidated damages or other appropriate sanctions, including, without limitation, suspension of any future consideration with ODR and monetary payments based on the M/WBE goal shortfall.

### **33.0 HUD GENERAL PROVISIONS**

Because the contract is being funded with HUD funds, the contract shall be governed by certain general HUD terms and conditions, attached hereto as [HUD-Federal-Cross-Cutting- Measures](#). Respondent shall describe experience with such requirements and affirmatively represent and certify that the respondent shall adhere to the terms and conditions outlined in the attachment.

### **34.0 ORGANIZATIONAL CONFLICTS OF INTEREST**

The Offeror shall provide a statement with its offer that describes, in a concise manner, all relevant facts concerning any past, present, or currently planned interest (financial, contractual, organizational, or otherwise) or actual or potential conflicts of interest relating to services to be provided under this solicitation. The Offers shall also provide statements with its offer containing the same information for any consultants or subcontractors identified in its proposal that will be providing services under the solicitation. ~~The Offeror shall also provide relevant facts that show~~

~~how its organization would avoid or mitigate any actual or potential organizational conflicts of interest.~~

Based on the information received from the Offeror and any other information solicited or obtained by the Authority during the procurement process, the Contracting Officer may determine that an organizational conflict of interest exists that would warrant disqualifying the Contractor for award of the Contract unless the organizational conflict of interest can be mitigated to the Contracting Officer's satisfaction. If the conflict of interest cannot be mitigated, the Contracting Officer shall determine if it is in the best interest of the Authority to remove the proposal from further consideration.

Nondisclosure or misrepresentation of an actual or potential organizational conflict of interest at the time of the offer or arising as a result of a modification to the Contract may result in termination of the Contract with no expense to the Authority.

### **35.0 TERMS AND CONDITIONS**

This IFB is a request for the submission of proposals but is not itself an offer and shall under no circumstance be construed as an offer.

ODR reserves the right to reject, without prejudice, any and all proposals submitted in response to this solicitation.

ODR reserves the right to modify or withdraw this request at any time.

ODR reserves the right to reject any or all companies or terminate the IFB process at any time if deemed in its best interest.

ODR reserves the right not to award a contract pursuant to the IFB.

Further, proposals submitted in response to this solicitation become the property of the ODR, and the ODR may use any idea or concept in a submitted proposal, regardless of whether that proposal is selected for award.

### **ENCLOSURES**

- **Enclosure Document A IFB Cover Letter**
- **Enclosure Document B Non-Collusive Affidavit**
- **Enclosure Document C Debarment Certification Form**
- **Enclosure Document D Contractor Document Checklist Form**
- **Enclosure Document E Respondent's Qualification Statement Form**
- **Enclosure Document F Subcontractor Indemnification**
- **Enclosure Document G Organizational Conflict of Interest Affidavit**

**ATTACHMENTS**

- **Attachment 1 HUD Rider**
- **Attachment 2 CFR Part 200 Appendix II, Contract Provisions for Non-Federal Contracts**

**EXHIBITS**

- **Exhibit A - STT Bid Sheets**
- **Exhibit A.1 - STT Drawings**
- **Exhibit B - STX Bid Sheets**
- **Exhibit B.1 - STX Drawings**
- **Exhibit C - STJ Bid Sheets**
- **Exhibit C.1 - STJ Drawings**
- **Exhibit D - Green Building Retro-Fit Checklist**
- **Exhibit E - CDBG-DR Construction Building Standards**
- **Exhibit F - Site Visit Protocol and Schedule**
- **Exhibit G - STT/STJ Lumberyard Inventory List**
- **Exhibit H - STX Lumberyard Inventory List**

**VIRGIN ISLANDS OFFICE OF DISASTER RECOVERY**

Virgin Islands Public Finance Authority  
14A & 14C Strand Street Frederiksted,  
St. Croix VI 00840  
Phone (340)202-1221



Virgin Islands Public Finance Authority  
8000 Nisky Center, Suite 1  
St. Thomas, VI 00802  
Phone (340)202-1221

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**Bid Package Checklist**  
IFB 003-2024-STX-STT-STJ

**ADD FORM IN PDF**

**ENCLOSURE DOCUMENT 23**  
**VIRGIN ISLANDS OFFICE OF DISASTER RECOVERY**  
**IFB COVER LETTER**

**RESPONDENT**

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Tax Identification #: \_\_\_\_\_

**RESPONDENT'S CONTACT PERSON**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Telephone: \_\_\_\_\_

**SCHEDULE OF ADDENDA**

(I) or (We) acknowledge receipt of the Addenda to the IFB Package hereinafter named, for the project(s) included in this IFB and declare that (I) or (We) accept these Addenda and that every change is included in this proposal.

Addendum Number \_\_\_\_\_

Date \_\_\_\_\_

Addendum Number \_\_\_\_\_

Date \_\_\_\_\_

Addendum Number \_\_\_\_\_

Date \_\_\_\_\_

Addendum Number \_\_\_\_\_

Date \_\_\_\_\_

**RESPONDENT'S AUTHORIZED REPRESENTATIVE**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**ENCLOSURE DOCUMENT B**  
**VIRGIN ISLANDS OFFICE OF DISASTER RECOVERY**  
**NON-COLLUSIVE AFFIDAVIT**

\_\_\_\_\_, being first duly sworn, deposes and says:

That he/she is \_\_\_\_\_ (a partner or officer of the firm of, etc.) the party making the foregoing proposal or proposal cost, that such proposal/bid or proposal cost/bid cost is genuine and not collusive or sham; that said proponent has not colluded, conspired, connived or agreed directly or indirectly, with any proponent or person, to put in a sham proposal cost or to refrain from bidding and has not in any manner directly or indirectly sought by agreement or collusion or communication or conference, with any person, to fix the proposal cost of the affinity or of any other proponent, or to fix any overhead, profit or cost element of said cost proposal, or of that of any other proponent, or to secure any advantage against the Virgin Islands Housing Finance Authority or any person interested in the proposed contract; and that all statements in said proposal or cost proposal are true.

\_\_\_\_\_  
(Name of Respondent, if the Respondent is a Corporation)

\_\_\_\_\_  
(Name of Respondent, if the Respondent is a Limited Liability Corporation)

\_\_\_\_\_  
(Name of the Respondent, if the Respondent is a Sole Proprietor)

Subscribed and sworn to before me on the Island of \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2024, by \_\_\_\_\_ of legal age, \_\_\_\_\_ and personally known to me.

\_\_\_\_\_  
(Trade or Corporation)

**ENCLOSURE DOCUMENT C**  
**VIRGIN ISLANDS OFFICE OF DISASTER RECOVERY**  
**DEBARMENT CERTIFICATION FORM**

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*Certification Regarding Debarment, Suspension and Ineligibility*

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- (1) The Respondent certifies, by submission of this solicitation, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in any federal or local programs in the Territory or with any Federal department or agency.
- (2) Signing this Certification without disclosing all pertinent information about a debarment or suspension shall result in rejection of the offer or cancellation of a contract. The ODR may also exercise any other remedy available by law.
- (3) Where the Respondent is unable to certify to any of the statements in this certification, such Respondent shall attach an explanation to this solicitation.

Name and Title of Authorized Representative:

\_\_\_\_\_

---

Signature

Date

Subscribed and sworn to before me on the Island of \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2024, by \_\_\_\_\_ of legal age, \_\_\_\_\_ and personally, known to me.

(Trade or Corporation)

**ENCLOSURE DOCUMENT D**  
**VIRGIN ISLANDS OFFICE OF DISASTER RECOVERY**  
**CONTRACTOR DOCUMENT CHECKLIST**

Name of Respondent: \_\_\_\_\_

Contact Person: \_\_\_\_\_

Telephone Number: Office \_\_\_\_\_ Mobile \_\_\_\_\_

1. \_\_\_\_\_ Respondent Corporate Documents

\_\_\_\_\_ **Corporation**      \_\_\_\_\_ Copy of Articles of Incorporation & By Laws  
\_\_\_\_\_ Letter of Good Standing from Office of the Lt. Governor/Copy of receipt demonstrating Annual Report is filed.

\_\_\_\_\_ **LLC**      \_\_\_\_\_ Copy of Articles of Organization  
\_\_\_\_\_ Copy of Operating Agreement  
\_\_\_\_\_ Certificate of Existence from Office of the Lt. Governor/Copy of receipt demonstrating Annual Report is filed.

\_\_\_\_\_ **Sole Proprietor**      \_\_\_\_\_ Copy of Trade Name Certificate

2. \_\_\_\_\_ Current Business License      Expiration date: \_\_\_/\_\_\_/20\_\_\_  
Type of business license: \_\_\_\_\_

3. \_\_\_\_\_ Employer Identification Number (EIN)/Social Security Number (SSN): \_\_\_\_\_

4. \_\_\_\_\_ DUNS # \_\_\_\_\_ SAMS # \_\_\_\_\_

5. \_\_\_\_\_ Insurance Binder      Expiration date: \_\_\_/\_\_\_/20\_\_\_  
Type of Insurance:    \_\_\_\_\_ General Liability    \_\_\_\_\_ Automobile    \_\_\_\_\_ Errors and Omissions

6. \_\_\_\_\_ Workers Compensation Insurance      Expiration date: \_\_\_/\_\_\_/20\_\_\_

-----**For ODR use only**-----

1. \_\_\_\_\_ Proposed Scope of Work    EBID# \_\_\_\_\_    RFP# \_\_\_\_\_    RFQ# \_\_\_\_\_    IFB# \_\_\_\_\_

2. \_\_\_\_\_ Bids      \_\_\_\_\_ Proposals      \_\_\_\_\_ Signed Evaluation Spreadsheet      \_\_\_\_\_ Recommendation

3. \_\_\_\_\_ Request for approval from Legal Counsel and Executive Director.

Legal Counsel: \_\_\_\_\_

Date approved: \_\_\_/\_\_\_/20

Adrienne Williams-Octalien, Executive Director: \_\_\_\_\_

Date approved: \_\_\_/\_\_\_/20

Date submitted to BOD for Contract Approval: \_\_\_/\_\_\_/20

Suggested # of Days in Contract \_\_\_\_\_

Mobilization/Payment Terms: \_\_\_\_\_

Outstanding Issues: \_\_\_\_\_

# ENCLOSURE DOCUMENT E

## VIRGIN ISLANDS OFFICE OF DISASTER RECOVERY RESPONDENT'S QUALIFICATION STATEMENT

Name of License Holder: \_\_\_\_\_

Name of Company/DBA (if any): \_\_\_\_\_

Legal Status: (check one) Corporation LLC Sole Proprietorship Partnership

Business Location (office): \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Telephone Number: \_\_\_\_\_ Fax Number: \_\_\_\_\_ Email: \_\_\_\_\_

Website address (if any): \_\_\_\_\_

---

Do you have a current USVI Business License? Yes No

Number of Years licensed to conduct business in the USVI \_\_\_\_\_

Type of License(s) \_\_\_\_\_

Number of Scope Development Services completed in the last 5 Years \_\_\_\_\_, Average value of these Contracts  
\$ \_\_\_\_\_

Do you have plan to use Subcontractors? Yes No If yes, company \_\_\_\_\_

---

Have you ever failed to complete a project, been fired, sued by one of your clients and/or found in default of contract terms? Yes  
No

If yes, explain on another sheet, if a Performance Bond or other means were used to resolve the issue and the circumstances and the outcome.

Are there or have there been any Claims, Arbitration, Judgments or Liens against you? Yes

No If yes, explain on another sheet, the circumstances and outcome.

---

List three non-ODR references that can be contacted for their input concerning your abilities:

1) Client Name \_\_\_\_\_ Contact Number \_\_\_\_\_

2) Client Name \_\_\_\_\_ Contact Number \_\_\_\_\_

3) Client Name \_\_\_\_\_ Contact Number \_\_\_\_\_

List your current Projects under Contract (Project Title or Clients Name), Value (Contract Value) and Percentage of Completion:

1) Client Name \_\_\_\_\_ Value: \_\_\_\_\_ % \_\_\_\_\_

2) Client Name \_\_\_\_\_ Value: \_\_\_\_\_ % \_\_\_\_\_

3) Client Name \_\_\_\_\_ Value: \_\_\_\_\_ % \_\_\_\_\_

*(If you have more contracts, please list on a separate sheet)*

---

Respondent shall certify that the above information is true and shall grant permission to the ODR to contact the above-named person or otherwise verify the information.

**ENCLOSURE DOCUMENT F**  
**VIRGIN ISLANDS OFFICE OF DISASTER RECOVERY**  
**SUBCONTRACTOR INDEMNIFICATION**

I have read and understand the IFB, and the final version of the proposal submitted  
by \_\_\_\_\_.

Print Name: \_\_\_\_\_

Subcontractor Company Name: \_\_\_\_\_

Title: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**ENCLOSURE DOCUMENT G**  
**VIRGIN ISLANDS OFFICE OF DISASTER RECOVERY**  
**ORGANIZATIONAL CONFLICTS OF INTEREST**

\_\_\_\_\_, being first duly sworn, deposes and says:

That he/she is \_\_\_\_\_ (a partner or officer of the firm of, etc.)  
the party making the foregoing proposal or proposal cost that;

no known organizational conflict of interest(s) exists that would warrant disqualification as described in Section 34.0: Organizational Conflict of Interest.

conflict of interest(s) do exist, and a letter describing those facts is attached as described in Section 34.0: Organizational Conflict of Interest.

\_\_\_\_\_  
(Name of Respondent, if the Respondent is a Corporation)

\_\_\_\_\_  
(Name of Respondent, if the Respondent is a Limited Liability Corporation)

\_\_\_\_\_  
(Name of the Respondent, if the Respondent is a Sole Proprietor)

Subscribed and sworn to before me on the Island of \_\_\_\_\_, this \_\_\_\_\_ day of  
\_\_\_\_\_, 2024, by \_\_\_\_\_ of legal age,  
\_\_\_\_\_ and personally known to me.

(Trade or Corporation)

# ***Attachment 1***

## ***HUD GENERAL PROVISIONS (“HUD RIDER”)***

The following terms and conditions apply to any contract for which any portion of the funding is derived from a grant made by the United States Department of Housing and Urban Development (“HUD”). In addition, Contractor/Subcontractor shall comply with the Federal Labor Standards Provisions set forth in Form HUD-4010, available at <http://www.hud.gov/offices/adm/hudclips/forms/files/4010.pdf>.

### **1. PROVISIONS REQUIRED BY LAW DEEMED INSERTED**

Each and every provision of law and clause required by law to be inserted in this contract shall be deemed to be inserted herein and the contract shall be read and enforced as though it were included herein, and if through mistake or otherwise any such provision is not inserted, or is not correctly inserted, then upon the application of either party the contract shall forthwith be physically amended to make such insertion or correction.

### **2. STATUTORY AND REGULATORY COMPLIANCE**

Contractor/Subcontractor shall comply with all laws and regulations applicable to the Community Development Block Grant-Disaster Recovery funds appropriated by the Disaster Relief Appropriations Act, 2017 (Pub. L. 115-56) and the Bipartisan Budget Act of 2018 (“BBA”), (Pub. L. 115-123), including but not limited to the applicable Office of Management and Budget Circulars, which may impact the administration of funds and/or set forth certain cost principles, including the allowability of certain expenses.

### **3. BREACH OF CONTRACT TERMS**

VIHFA reserves its right to all administrative, contractual, or legal remedies, including but not limited to suspension or termination of this contract, in instances where the Contractor or any of its subcontractors violate or breach any contract term. If the Contractor or any of its subcontractors violate or breach any contract term, they shall be subject to such sanctions and penalties as may be appropriate. The duties and obligations imposed by the contract documents and the rights and remedies available thereunder shall be in addition to and not a limitation of any duties, obligations, rights, and remedies otherwise imposed or available by law.

### **4. REPORTING REQUIREMENTS**

The Contractor/Subcontractor shall complete and submit all reports, in such form and according to such schedule, as may be required by VIHFA. The Contractor/Subcontractor shall cooperate with all VIHFA efforts to comply with HUD requirements and regulations pertaining to reporting, including but not limited to 24 C.F.R. §§ 85.40-41 (or 84.50-52, if applicable) and 570.507.

### **5. ACCESS TO RECORDS**

The State, the U.S. Department of Housing and Urban Development, the Comptroller General of the United States, or any of their duly authorized representatives, shall have at any time and from time to time during normal business hours, access to any work product, books, documents, papers, and records of the Subcontractor which are related to this contract, for the purpose of inspection, audits, examinations, and making excerpts, copies and transcriptions.

### **6. MAINTENANCE/RETENTION OF RECORDS**

All records connected with this contract will be maintained in a central location and will be maintained for a period of at least 3 years following the date of final payment and the close-out of all pending matters related to this contract.

7. SMALL AND MINORITY FIRMS, WOMEN'S BUSINESS ENTERPRISES, AND LABOR SURPLUS AREA FIRMS

The Contractor/Subcontractor will take necessary affirmative steps to assure that minority firms, women's business enterprises, and labor surplus area firms are used in subcontracting when possible. Steps include:

- Placing qualified small and minority businesses and women's business enterprises on solicitation lists.
- Assuring that small and minority businesses, and women's business enterprises are solicited whenever they are potential sources.
- Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and minority business, and women's business enterprises.
- Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority business, and women's business enterprises; and
- Using the services and assistance of the Small Business Administration, and the Minority Business Development Agency of the Department of Commerce.

8. RIGHTS TO INVENTIONS MADE UNDER A CONTRACT OR AGREEMENT

Contracts or agreements for the performance of experimental, developmental, or research work shall provide for the rights of the Federal Government and the recipient in any resulting invention in accordance with 37 CFR part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by HUD.

9. ENERGY EFFICIENCY

The Contractor/Subcontractor shall comply with mandatory standards and policies relating to energy efficiency issued in compliance with the Energy Policy and Conservation Act (Public Law 94-163).

10. TITLE VI OF THE CIVIL RIGHTS ACT OF 1964

The Contractor/Subcontractor shall comply with the provisions of Title VI of the Civil Rights Act of 1964. No person shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.

11. SECTION 109 OF THE HOUSING AND COMMUNITY DEVELOPMENT ACT OF 1974

The Contractor/Subcontractor shall comply with the provisions of Section 109 of the Housing and Community Development Act of 1974. No person in the United States shall on the grounds of race, color, national origin, or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity funded in whole or in part with funds made available under this title. Section 109 further provides that discrimination on the basis of age under the Age Discrimination Act of 1975 or with respect to an otherwise qualified handicapped individual as provided in Section 504 of the Rehabilitation Act of 1973, as amended, is prohibited.

12. SECTION 504 OF THE REHABILITATION ACT OF 1973

The Contractor/Subcontractor shall comply with section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 94), as amended, and any applicable regulations.

The Contractor/Subcontractor agrees that no qualified individual with handicaps shall, solely on the basis of handicap, be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination under any program or activity that receives Federal financial assistance from HUD.

### 13. AGE DISCRIMINATION ACT OF 1975

The Contractor/Subcontractor shall comply with the Age Discrimination Act of 1975 (42 U.S.C. § 6101 *et seq.*), as amended, and any applicable regulations. No person in the United States shall, on the basis of age, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity receiving Federal financial assistance.

### 14. DEBARMENT, SUSPENSION, AND INELIGIBILITY

The Contractor/Subcontractor represents and warrants that it and its subcontractors are not debarred or suspended or otherwise excluded from or ineligible for participation in Federal assistance programs subject to 2 C.F.R. part 2424.

### 15. CONFLICTS OF INTEREST

The Contractor/Subcontractor shall notify VIHFA as soon as possible if this contract or any aspect related to the anticipated work under this contract raises an actual or potential conflict of interest (as defined at 2 C.F.R. Part 215 and 24 C.F.R. § 85.36 (or 84.42, if applicable)). The Contractor/Subcontractor shall explain the actual or potential conflict in writing in sufficient detail so that the State is able to assess such actual or potential conflict. The Contractor/Subcontractor shall provide VIHFA with any additional information necessary for VIHFA to fully assess and address such actual or potential conflict of interest. The Contractor/Subcontractor shall accept any reasonable conflict mitigation strategy employed by VIHFA, including but not limited to the use of an independent subcontractor(s) to perform the portion of work that gives rise to the actual or potential conflict.

### 16. SUBCONTRACTING

When subcontracting, the Contractor/Subcontractor shall solicit for and contract with such Contractor/subcontractors in a manner providing for fair competition. Some of the situations considered to be restrictive of competition include but are not limited to:

- Placing unreasonable requirements on firms in order for them to qualify to do business,
- Requiring unnecessary experience and excessive bonding,
- Noncompetitive pricing practices between firms or between affiliated companies,
- Noncompetitive awards to consultants that are on retainer contracts,
- Organizational conflicts of interest,
- Specifying only a *brand name* product instead of allowing *an equal* product to be offered and describing the performance of other relevant requirements of the procurement, and
- Any arbitrary action in the procurement process.

The Contractor/Subcontractor represents to VIHFA that all work shall be performed by personnel experienced in the appropriate and applicable profession and areas of expertise, taking into account the nature of the work to be performed under this contract.

The Contractor will include these HUD General Provisions in every subcontract issued by it so that such provisions will be binding upon each of its subcontractors as well as the requirement to flow down such terms to all lower-tiered subcontractors.

### 17. ASSIGNABILITY

The Contractor/Subcontractor shall not assign any interest in this contract and shall not transfer any interest in the same (whether by assignment or novation) without prior written approval of VIHFA.

18. **INDEMNIFICATION**

The Contractor/Subcontractor shall indemnify, defend, and hold harmless VIHFA and its agents and employees from and against any and all claims, actions, suits, charges, and judgments arising from or related to the negligence or willful misconduct of the Contractor/Subcontractor in the performance of the services called for in this contract.

19. **COPELAND "ANTI-KICKBACK" ACT** (Applicable to all construction or repair contracts)

Salaries of personnel performing work under this contract shall be paid unconditionally and not less often than once a month without payroll deduction or rebate on any account except only such payroll deductions as are mandatory by law or permitted by the applicable regulations issued by the Secretary of Labor pursuant to the Copeland "Anti-Kickback Act" of June 13, 1934 (48 Stat. 948; 62 Stat. 740; 63 Stat. 108; Title 18 U.S.C. § 874; and Title 40 U.S.C. § 276c). The Contractor shall comply with all applicable "Anti-Kickback" regulations and shall insert appropriate provisions in all subcontracts covering work under this contract to ensure compliance by subcontractors with such regulations and shall be responsible for the submission of affidavits required of subcontractors thereunder except as the Secretary of Labor may specifically provide for variations of or exemptions from the requirements thereof.

20. **CONTRACT WORK HOURS AND SAFETY STANDARDS ACT** (Applicable to construction contracts exceeding \$2,000 and contracts exceeding \$2,500 that involve the employment of mechanics or laborers) The Contractor/Subcontractor shall comply with Sections 103 and 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-330) as supplemented by Department of Labor regulations (29C.F.R. part 5).

All laborers and mechanics employed by contractors or subcontractors shall receive overtime compensation in accordance with and subject to the provisions of the Contract Work Hours and Safety Standards Act, and the contractors and subcontractors shall comply with all regulations issued pursuant to that act and with other applicable Federal laws and regulations pertaining to labor standards.

21. **DAVIS-BACON ACT** (Applicable to construction contracts exceeding \$2,000 when required by Federal program legislation) The Contractor/Subcontractor shall comply with the Davis Bacon Act (40 U.S.C. §§ 276a to 276a-7) as supplemented by Department of Labor regulations (29 C.F.R. part 5).

All laborers and mechanics employed by contractors or subcontractors, including employees of other governments, on construction work assisted under this contract, and subject to the provisions of the federal acts and regulations listed in this paragraph, shall be paid wages at rates not less than those prevailing on similar construction in the locality as determined by the Secretary of Labor in accordance with the Davis-Bacon Act.

22. **TERMINATION FOR CAUSE** (Applicable to contracts exceeding \$10,000)

If, through any cause, the Contractor/Subcontractor shall fail to fulfill in a timely and proper manner his obligations under this contract, or if the Contractor/Subcontractor shall violate any of the covenants, agreements, or stipulations of this contract, VIHFA shall thereupon have the right to terminate this contract by giving written notice to the Contractor/Subcontractor of such termination and specifying the effective date thereof, at least five (5) days before the effective date of such termination. In such event, all finished or unfinished documents, data, studies, surveys, drawings, maps, models, photographs, and reports prepared by the Contractor/Subcontractor under this contract shall, at the option of VIHFA, become VIHFA's property and the Contractor/Subcontractor shall be entitled to receive just and equitable compensation for any work satisfactorily completed hereunder. Notwithstanding the above, the Contractor/Subcontractor shall not be relieved of liability to VIHFA for damages sustained by VIHFA by virtue of any breach of the contract by the Contractor/Subcontractor, and the VIHFA may withhold any payments to the Contractor/Subcontractor for the purpose of set-off until such time as the exact amount of damages due to the VIHFA from the Subcontractor is determined.

23. **TERMINATION FOR CONVENIENCE** (Applicable to contracts exceeding \$10,000)

The VIHFA may terminate this contract at any time by giving at least 30 days' notice in writing to the Contractor/Subcontractor. If the contract is terminated by VIHFA as provided herein, the Contractor/Subcontractor will be paid for the time provided and expenses incurred up to the termination date.

24. **SECTION 503 OF THE REHABILITATION ACT OF 1973** (Applicable to contracts exceeding \$10,000) The Contractor/Subcontractor shall comply with section 503 of the Rehabilitation Act of 1973 (29 U.S.C. § 793), as amended, and any applicable regulations. Equal Opportunity for Workers with Disabilities.

- The Contractor/Subcontractor will not discriminate against any employee or applicant for employment because of physical or mental disability in regard to any position for which the employee or applicant for employment is qualified. The Contractor/Subcontractor agrees to take affirmative action to employ, advance in employment and otherwise treat qualified individuals with disabilities without discrimination based on their physical or mental disability in all employment practices, including the following:
  - Recruitment, advertising, and job application procedures.
  - Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff and rehiring.
  - Rates of pay or any other form of compensation and changes in compensation.
  - Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists.
  - Leaves of absence, sick leave, or any other leave.
  - Fringe benefits available by virtue of employment, whether or not administered by the Subcontractor.
  - Selection and financial support for training, including apprenticeship, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training.
  - Activities sponsored by the contractor include social or recreational programs, and any other term, condition, or privilege of employment.
- The Contractor/Subcontractor agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the act.
- In the event of the Contractor/Subcontractor's noncompliance with the requirements of this clause, actions for noncompliance may be taken in accordance with the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the act.
- The Contractor/Subcontractor agrees to post in conspicuous places, available to employees and applicants for employment, notices in a form to be prescribed by the Deputy Assistant Secretary for Federal Contract Compliance Programs, provided by or through the contracting officer. Such notices shall state the rights of applicants and employees as well as the Contractor's/Subcontractor's obligation under the law to take affirmative action to employ and advance in employment qualified employees and applicants with disabilities. The Contractor/Subcontractor must ensure that applicants and employees with disabilities are informed of the contents of the notice (e.g., the Contractor/Subcontractor may have the notice read to a visually disabled individual or may lower the posted notice so that it might be read by a person in a wheelchair).
- The Contractor/Subcontractor will notify each labor organization or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor/Subcontractor is bound by the terms of section 503 of the Rehabilitation Act of 1973, as amended, and is committed to take affirmative action to employ and advance in employment individuals with physical or mental disabilities.

- The Contractor/Subcontractor will include the provisions of this clause in every subcontract or purchase order in excess of \$10,000, unless exempted by the rules, regulations, or orders of the Secretary issued pursuant to section 503 of the act, as amended, so that such provisions will be binding upon each subcontractor or vendor. The Contractor/Subcontractor will take such action with respect to any subcontract or purchase order as the Deputy Assistant Secretary for Federal Contract Compliance Programs may direct to enforce such provisions, including action for noncompliance.
25. EXECUTIVE ORDER 11246 (Applicable to construction contracts and subcontracts exceeding \$10,000) The Contractor/Subcontractor shall comply with Executive Order 11246 of September 24, 1965, entitled "Equal Employment Opportunity," as amended by Executive Order 11375 of October 13, 1967, and as supplemented in Department of Labor regulations (41 CFR chapter 60).

During the performance of this contract, the Contractor/Subcontractor agrees as follows:

- The Contractor/Subcontractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The Contractor/Subcontractor shall take affirmative action to ensure that applicants for employment are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship.
- The Contractor/Subcontractor shall post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Contracting Officer setting forth the provisions of this nondiscrimination clause. The Contractor/Subcontractor shall state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
- The Contractor/Subcontractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor/Subcontractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex or national origin.
- The Contractor/Subcontractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice, to be provided by the agency contracting officer, advising the labor union or workers representative of the contractor's commitments under Section 202 of Executive Order 11246 of September 24, 1965, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- The Contractor/Subcontractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations and relevant orders of the Secretary of Labor.
- The Contractor/Subcontractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by the rules, regulations and orders of the Secretary of Labor, or pursuant thereto, and will permit access to books, records and accounts by the contracting agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations and orders.
- In the event of the Contractor's/Subcontractor's non-compliance with the non-discrimination clause of this contract or with any of such rules, regulations or orders, this contract may be cancelled, terminated or suspended in whole or in part and the Contractor/Subcontractor may be declared ineligible for further government contracts in accordance with procedures authorized in Executive Order 11246 and such other

sanctions as may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation or order of the Secretary of Labor, or as otherwise provided by law.

- Contractor/Subcontractor shall incorporate the provisions of A through G above in every subcontract or purchase order unless exempted by rules, regulations or orders of the Secretary of Labor so that such provisions shall be binding on such contractor/subcontractor. The Contractor/Subcontractor will take such action with respect to any subcontract or purchase order as the contracting agency may direct as a means of enforcing such provisions including sanctions for non-compliance, provided, however, that in the event the contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the contracting agency, the Contractor/Subcontractor may request the United States to enter into such litigation to protect the interests of the United States.

26. **CERTIFICATION OF NONSEGREGATED FACILITIES** (Applicable to construction contracts exceeding \$10,000)

The Contractor/Subcontractor certifies that it does not maintain or provide for its establishments, and that it does not permit employees to perform their services at any location under its control, where segregated facilities are maintained. It certifies further that it will not maintain or provide for employees any segregated facilities at any of its establishments, and it will not permit employees to perform their services at any location under its control where segregated facilities are maintained. The Contractor/Subcontractor agrees that a breach of this certification is a violation of the equal opportunity clause of this contract.

As used in this certification, the term “segregated facilities” means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation and housing facilities provided for employees which are segregated by explicit directive or are, in fact, segregated on the basis of race, color, religion, or national origin because of habit, local custom, or any other reason.

The Contractor further agrees that (except where it has obtained for specific time periods) it will obtain identical certification from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the equal opportunity clause; that it will retain such certifications in its files; and that it will forward the preceding notice to such proposed subcontractors (except where proposed subcontractors have submitted identical certifications for specific time periods).

27. **CERTIFICATION OF COMPLIANCE WITH CLEAN AIR AND WATER ACTS** (Applicable to contracts exceeding \$100,000)

The Contractor and all its subcontractors shall comply with the requirements of the Clean Air Act, as amended, 42 U.S.C. § 1857 *et seq.*, the Federal Water Pollution Control Act, as amended, 33 U.S.C. § 1251 *et seq.*, and the regulations of the Environmental Protection Agency with respect thereto, at 40 C.F.R. Part 15 and 32, as amended, Section 508 of the Clean Water Act (33 U.S.C. § 1368) and Executive Order 11738.

In addition to the foregoing requirements, all nonexempt contractors and subcontractors shall furnish to the owner, the following:

- A stipulation by the Contractor or subcontractors, that any facility to be utilized in the performance of any nonexempt contract or subcontract, is not listed on the Excluded Party Listing System pursuant to 40 C.F.R. 32 or on the List of Violating Facilities issued by the Environmental Protection Agency (EPA) pursuant to 40 C.F.R. Part 15, as amended.

- Agreement by the Subcontractor to comply with all the requirements of Section 114 of the Clean Air Act, as amended, (42 U.S.C. § 1857 c-8) and Section 308 of the Federal Water Pollution Control Act, as amended, (33 U.S.C. § 1318) relating to inspection, monitoring, entry, reports, and information, as well as all other requirements specified in said Section 114 and Section 308, and all regulations and guidelines issued thereunder.
- A stipulation that as a condition for the award of the contract, prompt notice will be given of any notification received from the Director, Office of Federal Activities, EPA, indicating that a facility utilized, or to be utilized for the contract, is under consideration to be listed on the Excluded Party Listing System or the EPA List of Violating Facilities.
- Agreement by the Contractor that he will include, or cause to be included, the criteria and requirements in paragraph (A) through (D) of this section in every nonexempt subcontract and requiring that the Contractor will take such action as the government may direct as a means of enforcing such provisions.

28. **LOBBYING** (Applicable to contracts exceeding \$100,000)

The Contractor/Subcontractor certifies, to the best of his or her knowledge and belief, that:

- No Federal appropriated funds have been paid or will be paid, by or on behalf of the Contractor/Subcontractor, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Contractor/Subcontractor shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- The Contractor shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of the fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

29. **BONDING REQUIREMENTS** (Applicable to construction and facility improvement contracts exceeding \$100,000)

The Contractor/Subcontractor shall comply with VIHFA bonding requirements, unless they have not been approved by HUD, in which case the Contractor/Subcontractor shall comply with the following minimum bonding requirements:

- *A bid guarantee from each bidder is equivalent to five percent of the bid price.* The "bid guarantee" shall consist of a firm commitment such as a bid bond, certified check, or other negotiable instrument accompanying a bid as assurance that the bidder will, upon acceptance of his bid, execute such contractual documents as may be required within the time specified.
- *A performance bond on the part of the Contractor/Subcontractor for 100 percent of the contract price.* A "performance bond" is one executed in connection with a contract to secure fulfillment of all the Contractor's/Subcontractor's obligations under such contract.

- A *payment bond on the part of the Contractor/Subcontractor for 100 percent of the contract price*. A “payment bond” is one executed in connection with a contract to assure payment as required by law of all persons supplying labor and material in the execution of the work provided for in the contract.

30. **SECTION 3 OF THE HOUSING AND URBAN DEVELOPMENT ACT OF 1968** (As required by applicable thresholds)

- The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. § 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- The parties to this contract agree to comply with HUD’s regulations in 24 C.F.R. part 135, which implements section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.
- The *Contractor/Subcontractor* agrees to send to each labor organization or representative of workers with which the *Contractor/Subcontractor* has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers’ representative of the Contractor’s commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
- The *Contractor* agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 C.F.R. part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 C.F.R. part 135. The Contractor will not subcontract with any subcontractor where the subcontractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 C.F.R. part 135.
- The *Contractor/Subcontractor* will certify that any vacant employment positions, including training positions, that are filled: (1) after the *contractor/subcontractor* is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 C.F.R. part 135 require employment opportunities to be directed, were not filled to circumvent the *Contractor/Subcontractor*’s obligations under 24 C.F.R. part 135.
- Noncompliance with HUD’s regulations in 24 C.F.R. part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
- With respect to work performed in connection with section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. § 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible: (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of section 3 and section 7(b) agree to comply with section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).

31. **FAIR HOUSING ACT**

*Contractor/Subcontractor* shall comply with the provisions of the Fair Housing Act of 1968 as amended. The act prohibits discrimination in the sale or rental of housing, the financing of housing or the provision of brokerage services against any person on the basis of race, color, religion, sex, national origin, handicap, or familial status. The Equal Opportunity in Housing Act prohibits discrimination against individuals on the basis of race, color, religion, sex or national origin in the sale, rental, leasing or other disposition of residential property, or in the use or occupancy of housing assisted with Federal funds.

### 32. **Federal Funding Accountability and Transparency Act (FFATA)**

The Federal Funding Accountability and Transparency Act of 2006 (FFATA), as amended, was signed with the intent of reducing wasteful government spending and providing citizens with the ability to hold the government accountable for spending decisions. 2 C.F.R. § Part 170 outlines the requirements of recipients in reporting information on subawards and executive total compensation under FFATA legislation. Any non-Federal entity that receives or administers Federal financial assistance in the form of grants, loans, loan guarantees, subsidies, insurance, food commodities, direct appropriations, assessed and voluntary contributions; and/or other financial assistance transactions that authorize the nonfederal entities' expenditure of Federal fund, is subject to these requirements.

Prime contract awardees and prime grant awardees are required to report against subcontracts and subgrants awarded in the FFATA Subaward Reporting System (FSRS), the reporting tool for Federal prime awardees. This information reported will then be displayed on a public and searchable website: [www.USASpending.gov](http://www.USASpending.gov).

### 33. **Procurement**

The Uniform Guidance procurement requirements (2 C.F.R. § Part 200, Subpart D) went into effect on July 1, 2018. These requirements are applicable to CDBG-DR funded projects, or as provided by 83 Federal Register 5844 VI A(1)(b)(2) permits a state grantee to elect to follow its own procurement policy. These policies and procedures ensure that Federal dollars are spent fairly and encourage open competition at the best level of service and price.

### 34. **Change Orders to Contracts**

Change orders are issued when the initial agreed upon pricing or work to be completed requires modification. First, the contractor must complete a Change Order Request Form. This form and supporting documentation must be delivered to the Project Manager for review. Each change order must have a cost analysis. Once the Project Manager approves the change order, it is returned to the contractor for execution. Change orders are only invoiced on the final draw and categorized as "change order." The amount listed on the invoice must match the previously approved amount and must be cost reasonable. The Project Manager is responsible for verifying cost reasonableness. Verification documentation for cost reasonableness becomes an attachment to the change order.

### 35. **Environmental Review**

Every project undertaken with Federal funds, and all activities related to that project, is subject to the provisions of the National Environmental Policy Act of 1969 (NEPA), as well as to the HUD environmental review regulations at 24 C.F.R. § Part 58- ENVIRONMENTAL REVIEW PROCEDURES FOR ENTITIES ASSUMING HUD ENVIRONMENTAL RESPONSIBILITIES. The primary purpose of this Act is to protect and enhance the quality of our natural environment. The HUD environmental review process must be completed before any Federal funds can be accessed for program-eligible activities.

The primary objectives of the HUD environmental review are to identify specific environmental factors that may be encountered at potential project sites, and to develop procedures to ensure compliance with regulations pertaining to these factors. The HUD environmental review is designed to produce program specific environmental review procedures in a program that can vary greatly in terms of scope of work.

### 36. **Lead Based Paint**

All housing units assisted using CDBG-DR funds must comply with the regulations regarding lead-based paint found at 24 C.F.R. § Part 35- LEAD-BASED PAINT POISONING PREVENTION IN CERTAIN RESIDENTIAL STRUCTURES.

**37. Environmental Review Record**

The Environmental Officer is responsible for maintaining a written record of the environmental review process. The ERR for all programs contains all the governmental review documents, public notices and written determinations or environmental findings required by 24 C.F.R. §Part 58- ENVIRONMENTAL REVIEW PROCEDURES FOR ENTITIES ASSUMING HUD ENVIRONMENTAL RESPONSIBILITIES as evidence of review, decision making and actions pertaining to a project of a recipient.

**38. Flood Insurance Requirements**

Grantees and subrecipients of Federal funding must ensure that procedures and mechanisms are put into place to monitor compliance with all flood insurance requirements as found in the Flood Disaster Protection Act of 1973, 24 C.F.R. § 570.605- NATIONAL FLOOD INSURANCE PROGRAM and 24 C.F.R. § 570.202- ELIGIBLE REHABILITATION AND PRESERVATION ACTIVITIES.

**39. Duplication of Benefits**

CDBG-DR funding intends to address the unmet needs of a community. The funds are supplemental to primary forms of assistance, including private insurance and FEMA funds. To avoid duplicative assistance and potential de-obligation of funding, Subrecipient must utilize all possible funding sources before applying CDBG-DR dollars to a project. CDBGDR programs are typically implemented after temporary disaster assistance programs, such as FEMA Individual Assistance which are not intended to make someone whole.

The Stafford Disaster Relief and Emergency Assistance Act (Stafford Act), as amended, 42 U.S.C. §5121 et seq., established the requirements for Duplication of Benefits (DOB) analysis.

**40. Anti-Fraud, Waste and Abuse Checks**

The Anti-Fraud, Waste and Abuse (AFWA) check is designed to identify discrepancies and risk-relevant issues in Applicant-provided information that may be indicative of fraud, waste, and/or abuse.

**41. Affirmatively Furthering Fair Housing**

The Fair Housing Act of 1968, as amended, 42 U.S.C. §3601, et seq., dictates that grantees are required to administer all programs and activities related to housing and urban development in a manner to affirmatively further the policies of the Fair Housing Act. Per the regulations of 24 C.F.R. § 570.601 and in accordance with Section 104(b)(2) of the Housing and Community Development Act of 1974, as amended, 42 U.S.C. §5301 et seq., for each community receiving a grant under Subpart D of this part, the certification that the grantee will affirmatively further fair housing shall specifically require the grantee to take meaningful actions to further the goals identified in the grantee's Assessment of Fair Housing (AFH) plan, conducted in accordance with the requirements of 24 C.F.R. § §§5.150-5.180 (Affirmatively Furthering Fair Housing) and take no action that is materially inconsistent with its obligation to affirmatively further fair housing.

**42. Drug Free Workplace**

The Drug-Free Workplace Act of 1988, as amended, 41 U.S.C. §81, as implemented by 24 C.F.R. § Part 24 Subpart F, §§983.251-983.262, requires that any grantee other than an individual must certify that it will provide a drug-free workplace. Any grantee found in violation of the requirements of this act may be subject to suspension of payments under the grant, suspension or termination of the grant or suspension or debarment of the grantee.

**43. Timely Distribution of Funds**

The Supplemental Appropriations for Disaster Relief Requirements, 2017 (Pub. L. 115-56), approved September 8, 2017 (Appropriations Act), as amended, requires that funds provided under the Act be expended within two (2) years of the date that HUD obligates funds to a grantee unless otherwise authorized via waiver of this requirement by the Office of Management and Budget (OMB). The OMB waived the two (2) year expenditure requirement under 83 FR 40314; however, the provision to expend one hundred percent (100%) of the total allocation of CDBG-DR funds on eligible

activities within six (6) years of HUD's initial obligation of funds remains in effect. The six (6) year expenditure period commences with the initial obligation of funds provided under 83 FR 5844. Additionally, per 83 FR 5844, the provisions at 24 C.F.R. § 570.494 and 24 C.F.R. § 570.902, regarding timely distribution and expenditure of funds, are waived and an alternative requirement was established.

Furthermore, consistent with 31 U.S.C §1555 and OMB Circular No. A-11 (2017), if the Secretary of HUD or the President of the United States determines that the purposes for which the appropriation was made have been carried out and no disbursement has been made against the appropriation for two (2) consecutive fiscal years, any remaining unobligated balance shall be canceled and will be made unavailable for obligation or expenditure for any purpose.

#### **44. Property Management and Distribution**

Regulations governing property management and distribution of real property, equipment, financial obligations and return of un-obligated cash post program closeout can be found in 24 C.F.R. § 570.506, 2 C.F.R. § 200.310, 2 C.F.R. § 200.343 and 2 C.F.R. § 200.344(b). The standards of 24 C.F.R. § 570.506 apply to any real property under a CDBG award recipient's control acquired in whole or in part with CDBG funds in excess of \$25,000.00. The recipient may not change the use or planned use of the property without proper notification to affected citizens and allowable time for comment by them. If the property is not a building for general government conduct, the use of the property may be changed with citizen approval if it either meets one of the national objectives as defined in 24 C.F.R. § 570.208 or if not, the recipient may either retain or dispose of the property for the changed use if the recipient's CDBG program is reimbursed in the amount of the current fair market value of the property, less any portion of the value attributable to expenditures of non-CDBG funds for acquisition of, and improvements to, the property. Following such reimbursement, the property will no longer be subject to any CDBG requirements.

#### **45. Limited English Proficiency**

Executive Order No. 13166, signed on August 11, 2000, requires programs, subrecipients, contractors, subcontractors, and/or developers funded in whole or in part with CDBG-DR financial assistance to ensure fair and meaningful access to programs and services for families and individuals with Limited English Proficiency (LEP) and/or deaf/hard of hearing. Fair access is ensured through the implementation of a Language Assistance Plan (LAP), which includes non-English based outreach, translation services of vital documents, free language assistance services, and staff training. Vital documents are defined as depending on the importance of the program, information, encounter, or service involved, and the consequence to the LEP person if the information in question is not provided accurately or in a timely manner.

#### **46. Personally Identifiable Information**

In accordance with 2 C.F.R. § 200.303, regarding internal controls of a non-Federal entity, a grantee must guarantee the protection of all Personally Identifiable Information (PII) obtained. The program will enact necessary measures to ensure PII of all applicants is safeguarded as to avoid release of private information. If a contractor or employee should experience any loss or potential loss of PII, the program shall be notified immediately of the breach or potential breach.

#### **47. Uniform Relocation Act**

CDBG-DR funds are subject to the Uniform Relocation Assistance and Real Property Acquisition Act of 1970 (URA or Uniform Act), as amended. 49 C.F.R. § Part 24 requires relocation assistance for lower-income individuals displaced as a result of the demolition or conversion of a lower-income dwelling and requires one-for-one replacement of lower income units demolished or converted to other uses.

#### 48. **Residential anti-displacement and relocation assistance plan.**

Per Section 104(d) of the Housing and Community Development Act of 1974 § 42.325.

- **Certification**

- As part of its consolidated plan under [24 CFR part 91](#), the recipient must certify that it has in effect and is following a residential anti-displacement and relocation assistance plan.

- A unit of general local government receiving funds from the State must certify to the State that it has in effect and is following a residential anti-displacement and relocation assistance plan, and that it will minimize displacement of persons as a result of assisted activities. The State may require the unit of general local government to follow the State's plan or permit it to develop its own plan. A unit of general local government that develops its own plan must adopt the plan and make it public.

- **Plan contents**

- The plan shall indicate the steps that will be taken consistent with other goals and objectives of the program, as provided in parts 92 and 570 of this title, to minimize the displacement of families and individuals from their homes and neighborhoods as a result of any assisted activities.

- The plan shall provide for relocation assistance in accordance with [§ 42.350](#).

- The plan shall provide one-for-one replacement units to the extent required by [§ 42.375](#).

#### 49. **Complaints and Appeals**

Citizen comments on VIHFA's published Action Plan, any substantial amendments to the Action Plan, performance reports and/or other issues related to the general administration of CDBG-DR funds are welcomed throughout the duration of the grant. The Citizen Participation Plan is posted as a stand-alone document at [www.vihfa.gov](http://www.vihfa.gov). Complaints regarding fraud, waste, or abuse of government funds shall be addressed to the HUD Office of Inspector General Fraud Hotline by phone: 1-800-347-3735 or email: [hotline@hudoig.gov](mailto:hotline@hudoig.gov).

#### 50. **Monitoring**

As per CDBG regulation, 24 C.F.R. § 570.501(b), grantees of CDBG-DR funds are responsible for carrying out their programs to meet compliance with CDBG Program, statutory and regulatory requirements, including monitoring their project administrators, contractors, and subcontractors. As such, throughout the application, planning, design, and implementation phase of the program, VIHFA will conduct internal monitoring of processes, procedures, policy, applications, planning, design, construction, and other applicable phases.

#### 51. **Procurement of Recovered Materials**

A [non-Federal entity](#) that is a [state](#) agency or agency of a political subdivision of a [state](#) and its [contractors](#) must comply with section 6002 of the [Solid Waste Disposal Act](#), as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at [40 CFR part 247](#) that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

# Attachment 2

## 2 CFR Part 200 Appendix II, Contract Provisions for Non-Federal Contracts

### Appendix II to Part 200 - Contract Provisions for Non-Federal Entity Contracts Under Federal Awards

In addition to other provisions required by the Federal agency or non-Federal entity, all contracts made by the non-Federal entity under the Federal award must contain provisions covering the following, as applicable.

(A) Contracts for more than the simplified acquisition threshold currently set at \$150,000, which is the inflation adjusted amount determined by the Civilian Agency Acquisition Council and the Defense Acquisition Regulations Council (Councils) as authorized by [41 U.S.C. 1908](#), must address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate.

(B) All contracts in excess of \$10,000 must address termination for cause and for convenience by the non-Federal entity including the manner by which it will be effected and the basis for settlement.

(C) Equal Employment Opportunity. Except as otherwise provided under [41 CFR Part 60](#), all contracts that meet the definition of "federally assisted construction contract" in [41 CFR Part 60-1.3](#) must include the equal opportunity clause provided under [41 CFR 60-1.4\(b\)](#), in accordance with [Executive Order 11246](#), "Equal Employment Opportunity" ([30 FR 12319](#), 12935, 3 CFR Part, 1964-1965 Comp., p. 339), as amended by [Executive Order 11375](#), "Amending Executive Order 11246 Relating to Equal Employment Opportunity," and implementing regulations at [41 CFR part 60](#), "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."

(D) Davis-Bacon Act, as amended ([40 U.S.C. 3141-3148](#)). When required by Federal program legislation, all prime construction contracts in excess of \$2,000 awarded by non-Federal entities must include a provision for compliance with the Davis-Bacon Act ([40 U.S.C. 3141-3144](#)) as supplemented by Department of Labor regulations ([29 CFR Part 5](#), "Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction"). In accordance with the statute, contractors must be required to pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In addition, contractors must be required to pay wages not less than once a week. The non-Federal entity must place a copy of the current prevailing wage determination issued by the Department of Labor in each solicitation. The decision to award a contract or subcontract must be conditioned upon the acceptance of the wage determination. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency. The contracts must also include a provision for compliance with the Copeland "Anti-Kickback" Act ([40 U.S.C. 3145](#)), as supplemented by Department of Labor regulations ([29 CFR Part 3](#), "Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States"). The Act provides that each contractor or subrecipient must be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency.

(E) Contract Work Hours and Safety Standards Act ([40 U.S.C. 3701-3708](#)). Where applicable, all contracts awarded by the non-Federal entity in excess of \$100,000 that involve the employment of mechanics or laborers must include a provision for compliance with [40 U.S.C. 3702](#) and [3704](#), as supplemented by Department of Labor regulations ([29 CFR Part 5](#)). Under [40 U.S.C. 3702](#) of the Act, each contractor must be required to compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of [40 U.S.C. 3704](#) are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

(F) Rights to Inventions Made Under a Contract or Agreement. If the Federal award meets the definition of "funding agreement" under [37 CFR § 401.2](#) (a) and the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that "funding agreement," the recipient or subrecipient must comply with the requirements of [37 CFR Part 401](#), "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by the awarding agency.

(G) Clean Air Act ([42 U.S.C. 7401-7671g](#)) and the Federal Water Pollution Control Act ([33 U.S.C. 1251-1387](#)), as amended - Contracts and subgrants of amounts in excess of \$150,000 must contain a provision that requires the non-Federal award to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act ([42 U.S.C. 7401-7671g](#)) and the Federal Water Pollution Control Act as amended ([33 U.S.C. 1251-1387](#)). Violations must be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).

(H) Debarment and Suspension (Executive Orders 12549 and 12689) - A contract award (see [1 CFR 180.220](#)) must not be made to parties listed on the governmentwide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at [2 CFR 180](#) that implement Executive Orders 12549 ([3 CFR part 1986](#) Comp., p. 189) and 12689 ([3 CFR part 1989](#) Comp., p. 235), "Debarment and Suspension." SAM Exclusions contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than [Executive Order 12549](#).

(I) Byrd Anti-Lobbying Amendment ([31 U.S.C. 1352](#)) - Contractors that apply or bid for an award exceeding \$100,000 must file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by [31 U.S.C. 1352](#). Each tier must also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the non-Federal award.

(J) See [§ 200.322](#) Procurement of recovered materials.

# VIRGIN ISLANDS OFFICE OF DISASTER RECOVERY

Virgin Islands Public Finance Authority  
14A & 14C Strand Street  
Frederiksted, St. Croix VI 00840  
Phone (340)202-1221



Virgin Islands Public Finance Authority  
5033 Kongens Gade, Government Hill  
St. Thomas, VI 00802  
Phone (340)202-1221

## VIRGIN ISLANDS PUBLIC FINANCE AUTHORITY/OFFICE OF DISASTER RECOVERY

### ADDENDUM NO. 1

#### Invitations for Bids

#### EnVIsion HRRP General Construction Services

#### IFB 003-2024-STX/STT/STJ

This addendum consists of five (5) letter-size pages (8.5 x 11).

Addendum No. 1 is hereby made part of the IFB 003-2024-STX/STT/STJ for EnVIsion HRRP General Construction Services. The addendum consists of **Attachment A: Amended St. Thomas Site Visit Schedule**

Addendum No. 1  
IFB 003-2024-STX/STT/STJ  
EnVIision HRRP General Construction Services

***Attachment A:***

***Amended St. Thomas Site Visit Schedule***



## Exhibit F.2: St. Thomas Site Visit Schedule

**Day 1:** Tuesday, August 27, 2024

**Group 1:** CM David Dennis / Samuel Nieves

**Group 2:** CM Fitzgerald Grell / Kenneth Turnbull

**Rally Point arrival:** 8:40am (20 minutes before scheduled site visit)

**Rally Point departure:** 8:50am (10 minutes before scheduled site visit)

| Date      | Time         | APP ID                              | Site Address                     | Construction Mgr. | Rally Contact #          | Rally point address   |
|-----------|--------------|-------------------------------------|----------------------------------|-------------------|--------------------------|---|
| 8/27/2024 | 9:00 AM      | VI-HRR-01882                        | Bonne Esperance 2-7              | David Dennis      | 340-209-9913             | Brewers Bay   |
|           | 10:00 AM     | VI-HRR-02077                        | Caret Bay 7AA-1 Northside Qtr.   | David Dennis      | 340-209-9913             | Botanical Gardens, WGod Intersection off Crown Mountain Rd. |
|           | 11:00 AM     | VI-HRR-01779                        | Altona and Welgunst 172          | Samuel Nieves     | 340-209-9915             | Emile Griffith Ballpark                                     |
|           | 1:00 PM      | VI-HRR-00293                        | Agnes Fancy 79 & 79A Queens Qtr. | Samuel Nieves     | 340-209-9915             | Emile Griffith Ballpark                                     |
|           | 2:00 PM      | VI-HRR-02103                        | 1 Temple Street                  | David Dennis      | 340-209-9913             | Emile Griffith Ballpark                                     |
| 9:00 AM   | VI-HRR-00391 | 15-2 Est. Bonne Resolution          | Fitzgerald Grell                 | 340-209-7380      | Four Corners             |   |
| 10:00 AM  | VI-HRR-01959 | 2A Ross Kings Qtr.                  | Fitzgerald Grell                 | 340-209-7380      | Barbel Plaza             |   |
| 11:00 AM  | VI-HRR-01452 | Est. Wintberg 224 GT. Northside     | Fitzgerald Grell                 | 340-209-7380      | Four Winds Plaza         |   |
| 2:00 PM   | VI-HRR-02065 | Annas Retreat 146-85 New Qtr.       | Kenneth Turnbull                 | 340-209-9924      | Four Winds Plaza         |   |
| 3:00 PM   | VI-HRR-02105 | 27-J Bolongo Bay Frenchman Bay Qtr. | Fitzgerald Grell                 | 340-209-7380      | Left side of Food Center |   |



## Exhibit F.2: St. Thomas Site Visit Schedule

**Day 2:** Wednesday, August 28, 2024

**Group 1:** CM David Dennis / Samuel Nieves

**Group 2:** CM Fitzgerald Grell / Kenneth Turnbull

**Rally Point arrival:** 8:40 AM (20 minutes before scheduled site visit)

**Rally Point departure:** 8:50 AM (10 minutes before scheduled site visit)

| Date      | Time     | APP ID       | Site Address               | Construction Mgr. | Rally Contact # | Rally point address      |
|-----------|----------|--------------|----------------------------|-------------------|-----------------|--------------------------|
| 8/28/2024 | 9:00 AM  | VI-HRR-01871 | Annas Retreat 231 New Qtr. | Samuel Nieves     | 340-209-9915    | Four Winds Plaza         |
|           | 10:00 AM | VI-HRR-00662 | Annas Retreat 394-118      | Samuel Nieves     | 340-209-9915    | Four Winds Plaza         |
|           | 11:00 AM | VI-HRR-01016 | Wintberg 364               | David Dennis      | 340-209-9913    | Four Winds Plaza         |
|           | 1:00 PM  | VI-HRR-00659 | Tabor & Harmony 4-36       | David Dennis      | 340-209-9913    | Four Winds Plaza         |
|           | 2:00 PM  | VI-HRR-01521 | Annas Retreat 173C-55      | Samuel Nieves     | 340-209-9915    | Four Winds Plaza         |
|           | 9:00 AM  | VI-HRR-01078 | Annas Retreat 148-228      | Kenneth Turnbull  | 340-209-9924    | Four Winds Plaza         |
|           | 10:00 AM | VI-HRR-00335 | Nazareth 2W-32 Est.        | Kenneth Turnbull  | 340-209-9924    | Left side of Food Center |
|           | 11:00 AM | VI-HRR-00770 | Nazareth F-6, of Tract1    | Fitzgerald Grell  | 340-209-7380    | Left side of Food Center |
|           | 1:00 PM  | VI-HRR-00884 | Frydenhoj 1-45             | Fitzgerald Grell  | 340-209-7380    | Left side of Food Center |
|           | 2:00 PM  | VI-HRR-00313 | Mariendahl 2B-29           | Fitzgerald Grell  | 340-209-7380    | Left side of Food Center |



## Exhibit F.2: St. Thomas Site Visit Schedule

**Day 3:** Thursday, August 29, 2024

**Group 1:** CM Samuel Nieves / Fitzgerald Grell

**Rally Point arrival:** 8:40 AM (20 minutes before scheduled site visit)

**Rally Point departure:** 8:50 AM (10 minutes before scheduled site visit)

| Date      | Time     | APP ID       | Site Address               | Construction Mgr. | Rally Contact # | Rally point address      |
|-----------|----------|--------------|----------------------------|-------------------|-----------------|--------------------------|
| 8/29/2024 | 9:00 AM  | VI-HRR-00005 | Annas Retreat 394-124      | Fitzgerald Grell  | 340-209-9913    | Four Winds Plaza         |
|           | 11:00 AM | VI-HRR-00775 | Annas Retreat 146-108 Est. | Samuel Nieves     | 340-209-9924    | Four Winds               |
|           | 1:00 PM  | VI-HRR-00267 | Frydenhoj 41-5-73          | Samuel Nieves     | 340-209-9924    | Left side of Food Center |
|           | 2:00 PM  | VI-HRR-00256 | Smith Bay 4 HA             | Fitzgerald Grell  | 340-209-9913    | Four Winds               |
|           | 3:00 PM  | VI-HRR-01450 | Smith Bay 4D REM           | Fitzgerald Grell  | 340-209-9913    | Four Winds               |

***It is highly recommended that questions be submitted at the end of each day.***

# VIRGIN ISLANDS OFFICE OF DISASTER RECOVERY

Virgin Islands Public Finance Authority  
14A & 14C Strand Street  
Frederiksted, St. Croix VI 00840  
Phone (340)202-1221



Virgin Islands Public Finance Authority  
5033 Kongens Gade, Government Hill  
St. Thomas, VI 00802  
Phone (340)202-1221

## VIRGIN ISLANDS PUBLIC FINANCE AUTHORITY/OFFICE OF DISASTER RECOVERY

### ADDENDUM NO. 2

#### Invitations for Bids

#### EnVIsion HRRP General Construction Services

#### IFB 003-2024-STX/STT/STJ

This addendum consists of seven (7) letter-size pages (8.5 x 11).

Addendum No. 2 is hereby made part of the IFB 003-2024-STX/STT/STJ for EnVIsion HRRP General Construction Services. The addendum consists of **Attachment A: Responses to Questions** and **Attachment B: Pre-Bid Meeting Attendance Record – August 22, 2024**

Addendum No. 2  
IFB 003-2024-STX/STT/STJ  
EnVIision HRRP General Construction Services

***Attachment A:***  
***Responses to Questions***

1. Can you please provide the plan for VI-HRR-01882. The bid sheet was in the package not the plan/blueprints.

**Answer:** VI-HRR-01882 is being removed from this solicitation.

2. Will the IFB be awarded to numerous contractors?

**Answer:** Yes, based on the lowest responsive bid for each home.

3. Can you provide a list of suppliers for finishes to supplied by contractors? For example, specified kitchen cabinets.

**Answer:** No.

4. Can you please provide more clarity on bonding for multiple houses?

**Answer:** Bonding is assessed per home/project. If the bid exceeds \$350,000 a bond is required for that home/project.

Addendum No. 2  
IFB 003-2024-STX/STT/STJ  
EnVIision HRRP General Construction Services

***Attachment B:***

***Pre-Bid Meeting Attendance Record  
August 22, 2024***

| <b>Participants</b> |   |
|---------------------|---|
| 1                   | Cutting Edge Construction, Inc<br>Attlee Connor<br>340-725-8101<br><a href="mailto:info@cecincvi.net">info@cecincvi.net</a>   |
| 2                   | DS&R Construction, LLC<br>Kerwyn Augustin   |
| 3                   | SF General Maintenance Services, LLC<br>Sinclair Flemming<br><a href="mailto:sinclair3360@yahoo.com">sinclair3360@yahoo.com</a>   |
| 4                   | Heights Construction, LLC<br>E. Swanston<br><a href="mailto:heightsconstruction@yahoo.com">heightsconstruction@yahoo.com</a>  |
| 5                   | Custom Builders<br>Jessica Hornsby<br>Mike Finley<br>340-775-2605<br><a href="mailto:Jessica.hornsby@custombuildersvi.com">Jessica.hornsby@custombuildersvi.com</a><br><a href="mailto:Mike.finley@custombuildersvi.com">Mike.finley@custombuildersvi.com</a> |
| 6                   | Kobaz Enterprises, LLC<br>Randy   |
| 7                   | Lightning Construction, LLC<br>Xavier Acevedo<br>340-713-8200<br>340-244-4917<br><a href="mailto:zefothomas@yahoo.com">zefothomas@yahoo.com</a><br><a href="mailto:lightningconstructionl@gmail.com">lightningconstructionl@gmail.com</a>                     |
| 8                   | DSW Homes, LLC<br>Devon Littlefield<br>Paul Judson<br><a href="mailto:info@dswhomes.com">info@dswhomes.com</a><br><a href="mailto:paul.judson@dswhomes.com">paul.judson@dswhomes.com</a>  |
| 9                   | RUMINA Construction, LLC<br>Franklyn Victor<br><a href="mailto:Fvmaint@hotmail.com">Fvmaint@hotmail.com</a>   |
| 10                  | AshBritt Inc<br>Sean Robinett<br>Erika Morales<br><a href="mailto:Housing@ashbritt.com">Housing@ashbritt.com</a>  |
| 11                  | FJM Contractors Group, LLC<br>Melissa Aponte<br><a href="http://www.fjmcontractorsgroup.com">www.fjmcontractorsgroup.com</a><br><a href="mailto:info@fjmcontractorsgroup.com">info@fjmcontractorsgroup.com</a>  |
| 12                  | REICH, LLC<br>Darnell Esannason<br><a href="mailto:desannason@reichbrow.com">desannason@reichbrow.com</a>   |

|    |   |
|----|---|
| 13 | Performance Construction<br>Alvin Williams<br><a href="mailto:alvin@performance-construction.com">alvin@performance-construction.com</a>  |
| 14 | FR Maintenance & Construction<br>Francis Romain<br><a href="mailto:fr.mc039@gmail.com">fr.mc039@gmail.com</a>   |
| 15 | Charo Management LLC<br>John M. Green<br><a href="mailto:charomancon@gmail.com">charomancon@gmail.com</a>   |
| 16 | Paris Construction, Inc.<br>Nachabel Quinones<br><a href="mailto:parisconstructioninc@yahoo.com">parisconstructioninc@yahoo.com</a>   |
| 17 | Calibre Builders & Restoration, LLC   |
| 18 | Mence Construction, LLC<br>Reynold Phillip  |
| 19 | King Glen Construction<br>Glen Hodge<br>678-296-7077<br>470-451-9411<br><a href="mailto:glenroyhodge@yahoo.com">glenroyhodge@yahoo.com</a>  |
| 20 | G&B Services, LLC<br>Navetta Webster  |
| 21 | Bobby B. Construction<br>Robert Burke<br><a href="mailto:bobbyconstructionstx@gmail.com">bobbyconstructionstx@gmail.com</a>   |
| 22 | MCE Contracting<br>Rasheed McCleverty<br>340-998-5045<br><a href="mailto:mcecontracting340@gmail.com">mcecontracting340@gmail.com</a>   |
| 23 | EMJ Enterprises, LLC<br>340-332-1475<br><a href="mailto:emj_enterprises08@hotmail.com">emj_enterprises08@hotmail.com</a>  |
| 24 | Mile High Maintenance & Repair LLC<br>Alicia Barnes<br>Gustav James, P.E.<br>340-474-0727<br><a href="mailto:milehigh@rittenhouseconsultingllc.com">milehigh@rittenhouseconsultingllc.com</a> |
| 25 | Tristar Development, LLC<br><a href="mailto:admin@tristard.com">admin@tristard.com</a>  |
| 26 | G and F Carpentry and Painting Contractor<br>Youville George<br>340-513-1422<br><a href="mailto:mckenconstruction@gmail.com">mckenconstruction@gmail.com</a>                                  |
| 27 | RMH Construction, LLC<br>340-690-3611<br><a href="mailto:ralphymh@yahoo.com">ralphymh@yahoo.com</a>   |
| 28 | Brothers Construction, Inc<br>Errol Cromwell  |

Addendum No. 2  
IFB 003-2024-STX/STT/STJ  
EnVIision HRRP General Construction Services

|    |  |
|----|--|
| 29 | OPAC Construction, LLC<br>Freddy Perez   |
| 30 | Kit MacAvoy  |
| 31 | Matthias Claxton<br><a href="mailto:Matthias.claxton877@stu.vide.vi">Matthias.claxton877@stu.vide.vi</a> |
| 32 | Sherry Barnes<br><a href="mailto:sbarnes@j5strategies.com">sbarnes@j5strategies.com</a>                  |
| 33 | Tessa  |
| 34 | Miranda Construction<br>Jeffrey Miranda  |
| 35 | Steven Thibou  |
| 36 | Dion Construction<br>Dionisio Lorenzo  |



Office of Disaster Recovery  
 14A & 14C Strand Street | Frederiksted, VI 00840  
 5033 Kongens Gade, Government Hill | St. Thomas, VI 00802  
 COMMUNITY DEVELOPMENT BLOCK GRANT - DISASTER RECOVERY (CDBG-DR)



**DURATION OF PROJECT:** 120 Days  
**NAME:** Francis Romain

**COMPANY NAME:** FR Maintenance & Construction  
**PHONE:** 340-771-5840  
**EMAIL:** [FR.MC039@GMAIL.COM](mailto:FR.MC039@GMAIL.COM)  
**TITLE:** Owner  
**RFB#:** IFB 003-2024-STX-STT-STJ  
**DATE:** 11-Sep-24

VI-HRR-01138

PROPERTY DESCRIPTION

A single- story, site- built home with 8" CMU exterior walls and interior walls. The roofing material is galvanized covering, including exposed gutters and downspouts. The rafters are exposed with timber ceiling sheathing on the interior. The plumbing consists of a cistern, with a pump house enclosure on the right elevation.

SCOPE

Repairs needed according to the Project Manager are as follows:  
 \*Bath 3 needs to be ventilated; walls are enclosed where previous windows existed\* \*Home needs an electrical assessment; has an old electrical panel in the living room and the new electrical panel in bed 2\*

Remove existing roofing assembly. Build new roofing with a galvanized covering including gutters, and downspouts, along with a new concrete bond beam to support it. Build a monument and relocate the service meter. Install new EP. Remove and replace the damaged outlets in the living room and study. Remove and replace the light switches in both the study & den. Install GFCI outlets in the pump house, kitchen, bath rooms and laundry. Install wall fixtures in den, rear porch and the front elevation. Install ceiling fans that are provided by the applicant, in the kitchen, dining room, study, sunroom, bedroom 1, bedroom 2 and the den. Install smoke detectors in all bedrooms and in the hallway. Install vent in bathroom 3. Remove and replace the timber guardrails surrounding the front porch. Recast back porch railings. Install metal straps for the propane tanks. Remove and replace the exterior doors in the sun room, laundry room, and rear porch. Demo the CMU wall of the existing pump house on the right elevation. Excavate and backfill for new pump house. Demo the partition wall surrounding the tub in bath 1, demo the tub, install new sewer line for shower and backfill with concrete for new ADA shower enclosure. Install cistern cover, provided by applicant. Demo kitchen glass wall and install 8"CMU. Install a vent in bath 3.

Site clear all debris and structure on the rear elevation. Remove and replace the broken windows in the kitchen, laundry room and bath 1. Replace the broken window operator in the living room. Install the window operators, provided by applicant, in bed 4. Install window shutters on all windows. Remove and replace all interior doors, except the door leading to the mudroom. Remove and replace the kitchen cabinetry and sink. Install new kitchen backsplash with owner provided material. Install ADA shower enclosure in bath 1. Remove and replace the vanity in bath 1. Clean, reseal, refill the cistern as per program directive.

Apply remediation for termites. Build a new pump house on the right elevation. Install a new water pump and heater. Demo/replace the floor tiles in the kitchen and dining, with material provided by applicant. Remove and replace the floor tiles on the step in the den; leading to the living room. Also floor tiles on the back porch. Repair all exterior and interior wall cracks/damages. Paint all exterior and interior walls, including the ceilings. Demo back elevation partition at back porch for kitchen wall.

PRIORITY OF REPAIRS (PROJECT MANAGER)

When insufficient funds are available to complete the entire scope of work described in this Estimated Cost of Repair (ECR), the generalized prioritization of storm related repairs for this property is first the restoration of the weatherproof integrity of the structure, followed by the restoration of the structure's security, plumbing system, electrical system, HVAC system, thermal components, completion of the interior, and then any other remaining repairs. The determination to proceed with partial repairs will require additional coordination between the Construction Manager and Contractor to identify repairs that should be performed with available funds.

**ENVIRONMENTAL NOTE(S)**

Homes are assumed to have mold. Contractors are tasked with completing a mold inspection of the property. If mold is identified pictures should be taken to document the presence of mold. Contractors are then asked to clean surfaces identified with mold contamination, Clean-up should follow EPA guidelines which can be found on EPA's website. Pictures should be taken after to document mold clean-up.

| DIV ID    | DESCRIPTION<br>CASE VI-HRR-01138<br>ADDRESS: [REDACTED]<br>Christiansted St. Croix, USVI 00820 | QTY      | UNIT | UNIT PRICE  | TOTAL        |
|-----------|--|----------|------|-------------|--------------|
| 02 30     | Division 02 30 - Geotechnical Investigations   |          |      |             |              |
| 02 40     | Division 02 40 - Demolition (Prices include Bins & Disposal)                                   |          |      |             |              |
| 02.41     | Demolition of Building Elements  |          |      |             |              |
| 02.41.01A | Demolition of Floor Tile   | 550.00   | SF   | \$ 2.00     | \$ 1,100.00  |
| 02.41.01B | Demolition of Wall Tile  | 234.00   | SF   | \$ 6.00     | \$ 1,404.00  |
| 02.41.02  | Demolition of Masonry Walls  | 66.40    | SF   | \$ 15.00    | \$ 996.00    |
| 02.41.03  | Demolition of Partition Walls  | 96.00    | SF   | \$ 3.50     | \$ 336.00    |
| 02.41.05A | Removal of Windows   | 6.00     | EA   | \$ 150.00   | \$ 900.00    |
| 02.41.05B | Removal of Doors   | 9.00     | EA   | \$ 75.00    | \$ 675.00    |
| 02.41.06  | Removal of Roofing   | 3,796.99 | SF   | \$ 10.00    | \$ 37,969.90 |
| 02.41.07  | Removal of Kitchen Cabinetry/Fixtures  | 2.00     | EA   | \$ 250.00   | \$ 500.00    |
| 02.41.08  | Removal of Bathroom Cabinetry/Fixtures   | 2.00     | EA   | \$ 75.00    | \$ 150.00    |
| 02.41.11  | Removal of Railings and Posts  | 42.00    | LF   | \$ 4.00     | \$ 168.00    |
| 02.87     | Biohazard Remediation  |          |      |             |              |
| 02.87.03  | Termite Remediation  | 1.00     | LS   | \$ 3,500.00 | \$ 3,500.00  |
| 31.00     | Division 31 00 - Site Clearing, Earthwork & Excavation   |          |      |             |              |
| 31.01     | Site Clearing  |          |      |             |              |
| 31.01.02  | Removal of Fences and other Structures   | 1.00     | LS   | \$ 1,250.00 | \$ 1,250.00  |
| 31.02     | Earthwork  |          |      |             |              |
| 31.03     | Excavation for Foundations and Bases (Footings)  |          |      |             |              |
| 31.03.01  | Excavation   | 4.00     | CY   | \$ 350.00   | \$ 1,400.00  |
| 31.03.02  | Select Backfill around Structures  | 2.00     | CY   | \$ 450.00   | \$ 900.00    |
| 03.00     | Division 03 00 - Concrete  |          |      |             |              |
| 03.01     | Minor Concrete Elements  |          |      |             |              |
| 03.01.03  | Concrete Walkway   | 1.00     | CY   | \$ 1,000.00 | \$ 1,000.00  |
| 03.02     | Structural Concrete Elements   |          |      |             |              |
| 03.02.01  | Concrete Bond Beams – For Roofs  | 9.30     | CY   | \$ 1,000.00 | \$ 9,300.00  |
| 04.00     | Division 04 00 - Masonry Units   |          |      |             |              |
| 04.00.03  | 8" CMU Walls   | 64.00    | SF   | \$ 30.00    | \$ 1,920.00  |
| 05.00     | Division 05 00 - Metal Works   |          |      |             |              |
| 05.05     | Metal Straps (for Propane Tanks)   | 2.00     | EA   | \$ 125.00   | \$ 250.00    |
| 06.00     | Division 06 00 - Woods, Plastics Composites  |          |      |             |              |
| 06.01     | Baseboards   |          |      |             |              |
| 06.02     | Wood Trim  |          |      |             |              |
| 06.03     | Wood Deck Systems  |          |      |             |              |
| 06.03.03  | Timber Hand/Guardrail  | 42.00    | LF   | \$ 75.00    | \$ 3,150.00  |

|              |   |  |          |    |              |              |
|--------------|---|--|----------|----|--------------|--------------|
| <b>06.41</b> | <b>Cabinetry</b>  |  |          |    |              |              |
| 06.41.01     | Kitchen Cabinetry w/Countertop                          |  | 34.00    | LF | \$ 650.00    | \$ 22,100.00 |
| <b>07.00</b> | <b>Division 07 00 - Roof Assembly</b>                   |  |          |    |              |              |
| 07.00.01     | Roof Assembly   |  | 3,581.66 | SF | \$ 20.00     | \$ 71,633.20 |
| <b>08.00</b> | <b>Division 08 00 - Doors and Window Openings</b>       |  |          |    |              |              |
| 08.01.01B    | 36" Exterior Door w/ Casing & Molding                   |  | 4.00     | EA | \$ 2,500.00  | \$ 10,000.00 |
| 08.01.03     | 30" or 32" Interior Hollow-Core Door                    |  | 4.00     | EA | \$ 600.00    | \$ 2,400.00  |
| 08.01.04     | 24" Interior Hollow-Core Door                           |  | 1.00     | EA | \$ 600.00    | \$ 600.00    |
| 08.01.06     | Windows (See window schedule)                           |  | 5.00     | EA | \$ 1,200.00  | \$ 6,000.00  |
| 08.01.08     | Shutters (See shutter schedule)                         |  | 540.00   | SF | \$ 45.00     | \$ 24,300.00 |
| 08.01.10     | Window Operator   |  | 21.00    | EA | \$ 25.00     | \$ 525.00    |
| <b>09.00</b> | <b>Division 09 00 - Finishes</b>                        |  |          |    |              |              |
| <b>09.01</b> | <b>Wall Finishes</b>                                    |  |          |    |              |              |
| 09.01.01     | Masonry Plaster (Interior/Exterior)                     |  | 630.20   | SF | \$ 15.00     | \$ 9,453.00  |
| 09.01.02     | Paint (Exterior/Interior walls)                         |  | 6,934.35 | SF | \$ 1.50      | \$ 10,401.53 |
| 09.01.03     | Wall Tile (Bathroom & Kitchens)                         |  | 90.00    | SF | \$ 15.00     | \$ 1,350.00  |
| 09.01.04     | Chip, Repair, Plaster Conc./Masonry Wall Surface Cracks |  | 389.00   | SF | \$ 20.00     | \$ 7,780.00  |
| <b>09.02</b> | <b>Floor Finishes</b>                                   |  |          |    |              |              |
| 09.02.01     | Ceramic Tile (over concrete slabs/hardwood)             |  | 550.00   | SF | \$ 14.00     | \$ 7,700.00  |
| <b>09.03</b> | <b>Ceiling Finishes</b>                                 |  |          |    |              |              |
| 09.03.02     | Prime/Paint/Stain T&G T-111 Timber Ceiling              |  | 3,581.70 | SF | \$ 2.00      | \$ 7,163.40  |
| <b>10.00</b> | <b>Division 10 00 - Building Structures</b>             |  |          |    |              |              |
| 10.01.02     | Exterior Pump House                                     |  | 1.00     | LS | \$ 3,500.00  | \$ 3,500.00  |
| <b>15</b>    | <b>Division 15 00 - Plumbing &amp; Sanitary</b>         |  |          |    |              |              |
| <b>15.01</b> | <b>Water Supply</b>                                     |  |          |    |              |              |
| 15.01.00     | Plumbing Per Fixture Point                              |  | 2.00     | EA | \$ 950.00    | \$ 1,900.00  |
| 15.01.01     | Water Heater (Tank or Tankless)                         |  | 1.00     | EA | \$ 1,200.00  | \$ 1,200.00  |
| 15.01.02     | Water Pump/Storage Tank Assembly                        |  | 1.00     | EA | \$ 2,500.00  | \$ 2,500.00  |
| 15.01.03     | Cistern Cleaning, Sanitize, Reseal                      |  | 1.00     | LS | \$ 4,500.00  | \$ 4,500.00  |
| <b>15.02</b> | <b>Sanitary Waste</b>                                   |  |          |    |              |              |
| 15.02.01     | 3" or 4" PVC Sanitary Sewer Line                        |  | 8.00     | LF | \$ 45.00     | \$ 360.00    |
| <b>15.03</b> | <b>Rain Water Drainage / Catchment</b>                  |  |          |    |              |              |
| 15.03.01     | 5" Aluminum Guttering Assembly                          |  | 170.00   | LF | \$ 45.00     | \$ 7,650.00  |
| 15.03.02     | 3" PVC Pipe Drain Assembly                              |  | 60.00    | LF | \$ 30.00     | \$ 1,800.00  |
| <b>15.04</b> | <b>Plumbing Fixtures</b>                                |  |          |    |              |              |
| 15.04.03     | Vanity Sink   |  | 1.00     | EA | \$ 550.00    | \$ 550.00    |
| 15.04.04     | Senior Accessible Shower Enclosure System (Fiberglass)  |  | 1.00     | EA | \$ 5,000.00  | \$ 5,000.00  |
| 15.04.05     | Kitchen Sink and Faucet                                 |  | 1.00     | EA | \$ 1,500.00  | \$ 1,500.00  |
| <b>16</b>    | <b>Division 16 00 - Electrical Works</b>                |  |          |    |              |              |
| 16.01.01     | Electrical Service Relocation/Base Monument             |  | 1.00     | LS | \$ 4,000.00  | \$ 4,000.00  |
| 16.01.02     | New Service Panel                                       |  | 1.00     | EA | \$ 4,000.00  | \$ 4,000.00  |
| 16.01.03A    | Electrical Service Points (Switches)                    |  | 2.00     | EA | \$ 75.00     | \$ 150.00    |
| 16.01.03B    | Electrical Service Points (Outlets)                     |  | 4.00     | EA | \$ 75.00     | \$ 300.00    |
| 16.01.03C    | Electrical Service Points (GFCI)                        |  | 4.00     | EA | \$ 250.00    | \$ 1,000.00  |
| 16.01.04     | Electrical Conduit System & Wiring                      |  | 1.00     | LS | \$ 20,000.00 | \$ 20,000.00 |
| 16.01.05A    | Fixtures (Fan/Light Combinations)                       |  | 7.00     | EA | \$ 600.00    | \$ 4,200.00  |
| 16.01.05B    | Fixtures (Ceiling or Wall Mount)                        |  | 8.00     | EA | \$ 300.00    | \$ 2,400.00  |
| 16.01.06     | Smoke Detectors (10 year Lithium Battery)               |  | 5.00     | EA | \$ 250.00    | \$ 1,250.00  |

**Total Sum Bid Price:**

**Three Hundred Sixteen Thousand Thirty Five Dollars and Three Cents**

*(bidder must fill in the amount in words)*

**Total Sum Bid Price:**

**\$**

**316,035.03**

*(bidder must fill in the amount in figures)*

## Virgin Islands Housing Finance Authority-CDBG-DR Green Building Retrofit Checklist

The VIHFA-CDBG-DR Green Retrofit Checklist promotes energy efficiency and green building practices for residential retrofit (rehabilitation) projects. Grantees must follow the checklist in its entirety and apply all measures within the Checklist to the extent applicable to the particular building type being retrofitted. The phrase “when replacing” in the Checklist refers to the mandatory replacement with specified green improvements, products, and fixtures only when replacing those systems during the normal course of the retrofit.

NOTE: Historic averages for high and low temperatures by month in the USVI, coupled with the fact that there is no need for space heating equipment, should be sufficient evidence for exemption from typical Green requirements for building envelope insulation, air sealing and ventilation. This source provides a simple format for displaying average high and low temperatures by month. <http://www.intellicast.com/Local/History.aspx?location=USVI9994>

### WATER AND ENERGY CONSERVATION MEASURES

- Water-Conserving Fixtures**  
Install or retrofit water conserving fixtures in any unit and common facility, use the following specifications: Toilets-- 1.28 gpf; Urinals-- 0.5 gpf; Showerheads-- 2.0 gpm; Kitchen faucets-- 2.0 gpm; and Bathroom faucets-- 1.5gpm. [gpf = gallons per flush; gpm = gallons per minute]  
Note: Toilets with 1.60 gfp are readily available in the Islands, 1.28 gpf are not readily available.
- ENERGY STAR Appliances**  
Install ENERGY STAR-labeled clothes washers, dishwashers, and refrigerators, if these appliance categories are provided in units or common areas.
- Domestic Hot Water Systems**  
  
When replacing domestic water heating system(s), ensure the system(s) meet or exceed the efficiency requirements of ENERGY STAR for Homes' Reference Design. Insulate pipes by at least R-4.
- Efficient Lighting: Interior Units**  
Follow the guidance appropriate for the project type: install the ENERGY STAR Advanced Lighting Package (ALP); **OR** follow the ENERGY STAR MFHR program guidelines, which require that 80% of installed lighting fixtures within units must be ENERGY STAR-qualified or have ENERGY STAR-qualified lamps installed; **OR** when replacing, new fixtures and ceiling fans must meet or exceed ENERGY STAR efficiency levels.
- Efficient Lighting: Common Areas and Emergency Lighting** (if applicable to building type)  
Follow the guidance appropriate for the project type: use ENERGY STAR-labeled fixtures or any equivalent high-performance lighting fixtures and bulbs in all common areas; **OR** when replacing, new common space and emergency lighting fixtures must meet or exceed ENERGY STAR efficiency levels. For emergency lighting, if installing new or replacing, all exist signs shall meet or exceed LED efficiency levels and conform to local building codes.

- Efficient Lighting: Exterior**  
Follow the guidance appropriate for the project type: install ENERGY STAR-qualified fixtures or LEDs with a minimum efficacy of 45 lumens/watt; **OR** follow the ENERGY STAR MFHR program guidelines, which require that 80% of outdoor lighting fixtures must be ENERGY STAR-qualified or have ENERGY STAR-qualified lamps installed; **OR** when replacing, install ENERGY STAR compact fluorescents or LEDs with a minimum efficacy of 45 lumens/watt.

## INDOOR AIR QUALITY

- Clothes Dryer Exhaust**  
Vent clothes dryers directly to the outdoors using rigid-type duct work.
- Mold Inspection and Remediation**  
Inspect the interior and exterior of the building for evidence of moisture problems. Document the extent and location of the problems, and implement the proposed repairs according to the Moisture section of the EPA Healthy Indoor Environment Protocols for Home Energy Upgrades.
- Mold Prevention: Water Heaters**  
Provide adequate drainage for water heaters that includes drains or catch pans with drains piped to the exterior of the dwelling.
- Mold Prevention: Surfaces**  
When replacing or repairing bathrooms, kitchens, and laundry rooms, use materials that have durable, cleanable surfaces.
- Mold Prevention: Tub and Shower Enclosures**  
When replacing or repairing tub and/or shower enclosures, use non-paper-faced backing materials such as cement board, fiber cement board, or equivalent in bathrooms.
- Integrated Pest Management**  
Seal all wall, floor, and joint penetrations with low-VOC caulking or other appropriate sealing methods to prevent pest entry. [If applicable, provide training to multifamily buildings staff.]
- Lead-Safe Work Practices**  
For properties built before 1978, if the project will involve disturbing painted surfaces or cleaning up lead contaminated dust or soil, use certified renovation or lead abatement contractors and workers using lead-safe work practices and clearance examinations consistent with the more stringent of EPA's Renovation, Repair, and Painting Rule and HUD's Lead Safe Housing Rule.

## **CDBG-DR Program – Territory of the U.S. Virgin Islands Construction Standards**

The Territory's CDBG-DR Program activities entail both new construction and rehabilitation. The Virgin Islands Housing Finance Authority, in its capacity, as the administrator of the CDBG-DR Program for the Territory, has established the following construction standards. These standards shall apply to all projects which include CDBG-DR funding.

**New Construction** – For projects which entail new construction, VIHFA has adopted its Affordable Housing Development Guidelines as the standard. The Affordable Housing Development Guidelines incorporate the local building code which is based on the International Residential Code. Where necessary, new construction projects must also meet the International Energy Conservation Code (IECC). This is essentially identical to the code requirements for new construction in the U.S. Virgin Islands, as required by the CDBG-DR Program's Final Rule. (See <https://www.energycodes.gov/adoption/states/us-virgin-islands> for reference.). Additional standards include applicable handicap accessibility requirements, where necessary. New construction rental housing projects must also meet site and neighborhood standards as defined at 24 CFR 893.6(b).

VIHFA has also chosen to adopt the Energy Star new construction guidelines, modified to reflect the Virgin Islands' tropical climate and the agency's experience with constructing housing that will withstand hurricanes and tropical storms.

**Rehabilitation** – Projects which entail substantial rehabilitation as defined below are required to meet the same standards as new construction. For projects which entail acquisition with rehabilitation and rehabilitation only, VIHFA has adopted written rehabilitation standards as described in the Green Building Retrofit Checklist. VIHFA's written rehabilitation standard will be based on current local building code, to the greatest extent feasible. Rehabilitation projects are also required to meet handicap accessibility requirements, to the greatest extent feasible, where necessary – i.e., where the assisted household includes an elderly or physically challenged household member. VIHFA has also chosen to adopt the Energy Star standard with respect to equipment such as water heaters.

**Acquisition Only** – Projects which entail acquisition without rehabilitation are required to meet the National Electric Code and the International Residential Code with respect to plumbing only. (IRC Section VII).

In addition to the standards outlined above, VIHFA has established a list of certain amenities which will not be permitted in CDBG-DR -assisted housing. The list is attached hereto as Appendix A.

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<sup>1</sup> The recently instituted International Residential Code is published by the International Code Council. This comprehensive, stand-alone residential construction code establishes minimum regulations for one- and two- family dwellings and townhouses using prescriptive provisions. Additionally, the International Residential Code is designed to be compatible with the BOCA National Codes published by Building Officials and Code Administrators International (BOCA), the Standard Codes published by the Southern Building Code Congress International (SBCCI), the Uniform Codes published by the International Conference of Building Officials (ICBO), and all the International Codes published by the International Code Council (ICC).

### **New Construction Standards**

The Virgin Islands has determined that it is appropriate to utilize a modified Green Building Standard for new construction of one & two-family homes (1-2 units) and small rental properties (3-20 units).

Historic averages for high and low temperatures by month in the USVI, coupled with the fact that there is no need for space heating or cooling equipment, dictates modifications from typical Green Building requirements for building envelope insulation, air sealing, and ventilation.

| Monthly Averages & Records - °F   °C |             |              |            |             |                       |              |
|--------------------------------------|-------------|--------------|------------|-------------|-----------------------|--------------|
| Date                                 | Average Low | Average High | Record Low | Record High | Average Precipitation | Average Snow |
| January                              | 72°         | 85°          | 63° (1996) | 93° (1996)  | 2.38"                 | 0"           |
| February                             | 73°         | 85°          | 62° (1972) | 93° (1996)  | 1.48"                 | 0"           |
| March                                | 73°         | 86°          | 56° (1972) | 94° (1994)  | 1.42"                 | 0"           |
| April                                | 74°         | 87°          | 62° (2001) | 96° (1996)  | 2.74"                 | 0"           |
| May                                  | 76°         | 88°          | 66° (1974) | 97° (1996)  | 3.06"                 | 0"           |
| June                                 | 78°         | 89°          | 67° (1972) | 99° (1996)  | 2.53"                 | 0"           |
| July                                 | 78°         | 90°          | 57° (1999) | 98° (1994)  | 2.85"                 | 0"           |
| August                               | 78°         | 90°          | 59° (1999) | 99° (1994)  | 3.74"                 | 0"           |
| September                            | 78°         | 90°          | 64° (1972) | 98° (1993)  | 5.58"                 | 0"           |
| October                              | 77°         | 89°          | 66° (2007) | 97° (1994)  | 5.42"                 | 0"           |
| November                             | 75°         | 87°          | 52° (1999) | 95° (1995)  | 5.23"                 | 0"           |
| December                             | 74°         | 86°          | 62° (1972) | 92° (1995)  | 2.96"                 | 0"           |

The Virgin Islands does not appear to fall within the EPA’s Radon Zone 1 or 2, although there is very little information available on EPA’s Region 2 website related to the prevalence of radon in the U.S. Virgin Islands.

Program aim: Creation of resilient, energy efficient affordable housing stock

Population served: CDBG-DR-eligible income households throughout the jurisdiction

Project types: Single-family rehabilitation (1-4-unit dwellings)

Products: Low-interest loans, grants, loan/grant combinations

The VIHFA Green Building Standards for New Construction are intended to promote energy efficiency and green building practices for residential new construction projects. The Virgin Islands will follow the Green Building standards and apply all measures noted below to the extent applicable to the particular building type being constructed. <sup>1</sup>

Permits All reconstruction projects shall require Building, Electrical, and/or Plumbing permits, as required by Virgin Islands law.

<sup>1</sup> Note: Historic averages for high and low temperatures by month in the USVI, coupled with the fact that there is no need for space heating equipment, should be sufficient evidence for exemption from typical Green requirements for building envelope insulation, air sealing and ventilation. This source provides a simple format for displaying average high and low temperatures by month.  
<http://www.intellicast.com/Local/History.aspx?location=USVI9994>

|                  |   |
|------------------|---|
| Plans            | Architectural drawings shall be required. Drawings shall be prepared by a licensed draftsman, architect, or engineer.   |
| Building Systems | <p>Acceptable building systems are as follows:</p> <ul style="list-style-type: none"> <li>a) Reinforced concrete and/or concrete block throughout</li> <li>b) Reinforced concrete and/or concrete block exterior with frame interior</li> <li>c) Steel frame with masonry exterior</li> <li>d) Steel frame with lath and plaster exterior or other composite material that may be approved by VIHFA</li> <li>e) Other structural types that may be approved by VIHFA on a case-by-case basis</li> </ul>   |
| Walls            | <p>Exterior walls shall be of concrete masonry units (i.e., blocks), reinforced concrete, or other structural materials that may be approved by VIHFA on a case-by-case basis.</p> <p>Maximum exterior wall height shall not exceed nine (9) feet.</p>  |
| Wall finishes    | <p>Exterior wall finish shall be cement plaster, stucco, wood shiplap boards or other structural materials that may be approved by VIHFA on a case-by-case basis. Workmanship shall be flawless – leaving an even and neat finish.</p> <p>Interior wall finish shall be of cement plaster or gypsum wallboard. Ceramic tiles or prefabricated fiberglass shall be provided at showers, tubs, bathroom and laundry plumbing walls). When constructing tub and/or shower enclosures, use non-paper-faced backing materials such as, concrete blocks, 5/8" treated plywood, fiber cement board, or equivalent in bathrooms. Kitchen sink area shall have a 4" ht. min. backsplash of ceramic tiles and a double seal must be provided to prevent water penetration. This shall be accomplished by sealing the backsplash to the countertop and then utilizing a waterproof caulking on the face, filling any gaps, and leaving a slightly coved, even and neat finish.</p> |
| Cistern          | <p>The supply of clean water that is suitable for consumption and other household uses is of paramount importance. The interior of the cistern should be waterproof using a minimum of two (2) coats of thorseal or and approved equal product. Once the cistern has properly cured, it should be filled with 3,000 gallons of potable water.</p> <p>The cistern access should be sanitary-sealed.</p>  |
| Roof             | <p>Roofs should be constructed of wood-frame, engineered wood and/or metal truss, steel or concrete. Structural members should be of size and type as prescribed by current building code. Roof finish shall be corrugated metal, plywood, or concrete.</p> <p>Wood-frame and engineered wood and/or metal truss roofs shall have roof sheathing (5/8" plywood), tar paper and purlins prior to the installation of the corrugated metal. Composite wood products are not used in federally-assisted housing in the Virgin Islands. All cut lumber/sheathing shall be pressure treated Southern Pine/ Douglas Fir No.1&amp;2.</p>   |

Plywood and concrete roofs should be waterproofed with sealant products such as neoprene-Hypalon, or products of equal quality.

Roofs should have a minimum slope of 3:12 pitch. The maximum roof pitch should be 5:12, except in historical district where higher pitch may be required by Historical Preservation guidelines.

All roofs shall have sufficient guttering and downspouts leading water directly to cistern.

**Windows** New installation windows shall be required to meet current code (rated to 145 mph wind resistance). This may include impact resistant glazing or metal shutters. A fire egress window shall be provided in each bedroom that does not have a door to the exterior. All windows shall have screens in good condition. Most homes utilize jalousie windows and ceiling fans to promote air circulation. Air conditioners are not usually installed in federally-assisted housing due to the high cost of energy on the Islands.

**Electrical** Each living area within the unit shall have working outlets and light fixtures and shall be free from electrical hazards. At minimum, the dwelling shall meet the current National Electric Code (NEC) standards.

**Interior Lighting** ENERGY STAR Advanced Lighting Package (ALP) will be installed; or the project will follow the ENERGY STAR MFHR program guidelines, which require that 80% of installed lighting fixtures within units must be ENERGY STAR-qualified or have ENERGY STAR-qualified lamps installed; or when installing new fixtures and ceiling fans, must meet or exceed ENERGY STAR efficiency levels.

**Plumbing** Kitchen and all bathrooms shall have hot and cold running water. At minimum, dwelling shall meet the International Residential Code (Section VII). Water conserving fixtures will be installed, using the following specifications: Toilets-- 1.6 gpf; Urinals-- 0.5 gpf; Showerheads-- 2.0 gpm; Kitchen faucets-- 2.0 gpm; and Bathroom faucets-- 1.5gpm. [gpf = gallons per flush; gpm = gallons per minute]

**Pump/Water Heater** Dwelling unit shall have a water pump, tank, and heater. Pump shall have a standard 30-gallon pressure tank. Water heater shall be electric with a minimum capacity of 20 gallons. Upon installation, the water heater shall be set within the range of 100 to 110 degrees Fahrenheit. Pump, tank, and heater shall be securely enclosed to prevent theft or vandalism and exposure to weather elements. When installing domestic water heating system(s), the system(s) shall meet or exceed the efficiency requirements of the ENERGY STAR for Homes' Reference Design. Pipes will be insulated by at least R-4. Solar Water heaters may be installed if economically feasible. Provide adequate drainage for water heaters that includes drains or catch pans with drains piped to the exterior of the dwelling to prevent mold.

**Floor Finish** With new construction, ceramic flooring tiles are used due to the hard protective layer that makes this type of flooring impervious to water and most stains, and

naturally resistant to the ravages of high humidity conditions. Contractor shall be required to provide one (1) box of tile for owner upon completion.

**Cabinets** Kitchen must have a reasonable space for storage and preparation of food. Kitchen cabinets should be sound; doors and drawers should be in place. Countertops should have laminate covering. When constructing bathrooms, kitchens, and laundry rooms, use materials that have durable, cleanable surfaces. New installation cabinets shall be commercial or custom-made with hinged doors. Cabinets shall be of luan, edai, or Philippine mahogany plywood faced and edge-banded with plastic laminate. Kitchen cabinets shall be affixed to the wall with the appropriate number and type of properly-sized screws (wood screws for frame walls and tapcon for concrete/masonry walls).

**Doors/Locks** All main entry doorways shall be at least 3'0" in width. Interior room doorways shall be at least 2'8" in width; however, where construction includes handicap accessibility modifications, interior room doorway widths shall be no less than 3'0 (36").

New installation exterior doors shall be 1 3/4" flush solid core or paneled; doors shall be painted with a minimum of two (2) coats of paint or clear finish on all edges and surfaces. Exterior doors at each entrance to the dwelling shall require a dead bolt lock with a minimum 1-inch throw and an entry lockset, preferably keyed alike.

**Weatherproofing** (This section reserved).

**Entrances** All entries (front, side, and rear) must have some overhead coverage to ensure that no weather hits directly onto the entry door. In cases where this is not possible, or would be too costly, then partial coverage and protection will be acceptable as long as the doors which are exposed to the prevailing winds are properly protected from wind-driven rain entering the dwelling. This can also be achieved by recessing the entry door inwardly a minimum of 24".

**Stairs/Railings** Entrances should have appropriately sized exterior platforms/landings. Ideally, stairway risers shall be even in height and shall not exceed eight (8) inches. Stairways having three (3) or more risers should have a handrail of 30- 34 inches in height on at least one side of the stairway. All stairs shall have a non-slip finish.

Handrails should have intermediate rails or ornamental closures (e.g., balusters, pickets, or solid walls) which would prevent passage of an object that is 4" (102 mm.) or more in diameter, shall not be conducive to climbing, and shall be a minimum of 36" high on horizontal planes.

**Paint** Where scope of work entails painting, a minimum of two (2) coats in addition to the appropriate type of primer coat shall be applied. All surfaces to be painted shall be properly prepared to receive finish coats. Exterior paint shall be oil or acrylic latex; interior paint shall be semi-gloss washable enamels in kitchens and baths, satin or flat finish elsewhere.

**Burglar Bars** Bars at egress windows must be located inside with easy-open latch.

**Fire Protection**

Living units shall have some provisions for safe egress in the event of fire. Smoke detectors shall be provided in the hallways and all bedrooms. Smoke detectors shall be hard-wired with battery-back-up. A fire egress window shall be provided in each bedroom that does not have a door to the exterior.

**Lighting for Common Areas & Emergency Lighting:**

Lighting will follow the guidance appropriate for the project type: using ENERGY STAR-labeled fixtures or any equivalent high-performance lighting fixtures and bulbs in all common areas; OR when installing new, all common space and emergency lighting fixtures must meet or exceed ENERGY STAR efficiency levels. For emergency lighting, all exit signs shall meet or exceed LED efficiency levels and conform to local building codes.

**Exterior Lighting**

Lighting will follow the guidance appropriate for the project type: installing ENERGY STAR-qualified fixtures or LEDs with a minimum efficacy of 45 lumens/watt; OR following the ENERGY STAR MFHR program guidelines, which require that 80% of outdoor lighting fixtures must be ENERGY STAR-qualified or have ENERGY STAR-qualified lamps installed; OR installing ENERGY STAR compact fluorescents or LEDs with a minimum efficacy of 45 lumens/watt.

**Handicap Accessibility**

Where necessary to accommodate an elderly or physically challenged household member, accessibility modifications shall be made to the greatest extent feasible. Examples of accessibility modifications include access ramps, grab bars, door handles, and accessible bathroom and kitchen fixtures. Handicap accessibility modifications shall be done in accordance American with Disabilities Act Design Guidelines.

**Appliances:** Installation of ENERGY STAR-labeled clothes washers, dishwashers, dryers and refrigerators, if these appliance categories are provided. Clothes dryers are to be vented directly to the outdoors, using rigid-type duct work.

**Mold Inspection & Remediation:**

Inspect the interior and exterior of the building for evidence of moisture problems. Document the extent and location of the problems and implement the proposed repairs according to the Moisture section of the EPA Healthy Indoor Environment Protocols for Home Energy Upgrades.

## Rehabilitation Standards

For projects which entail acquisition with moderate rehabilitation and projects which entail moderate rehabilitation only<sup>2</sup>, VIHFA has adopted written rehabilitation standards as described below in the absence of a local rehabilitation code. These written rehabilitation standards are based on current local building code, to the greatest extent feasible. Rehabilitation projects are also required to meet handicap accessibility requirements, to the greatest extent feasible, where necessary – i.e., where the assisted household includes an elderly or physically challenged household member. VIHFA has also chosen to adopt the Energy Star standard with respect to equipment such as water heaters.

With respect to acquisition/rehabilitation and rehabilitation only (i.e., owner-occupied rehabilitation) projects, the particulars of Territory’s CDBG-DR Program are as follows:

- Program aim: Preserving existing affordable housing stock
- Population served: CDBG-DR-eligible income households throughout the jurisdiction
- Project types: Single-family rehabilitation (1-4-unit dwellings)
- Products: Low-interest loans, grants, loan/grant combinations

Program organizational structure: The program is delivered by VIHFA staff based on a delivery model whereby the agency acts the lender/approver with construction review authority. The various duties are divided between VIHFA’s Disaster Recovery Division and the Planning & Construction Management Division. Disaster Recovery is responsible for the determination of the applicant’s eligibility, approval of financing packages, and general program oversight. Planning & Construction is responsible for preparation of construction scopes of work, inspections, and moderately intensive construction oversight.

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<sup>2</sup> Note: Projects which entail substantial rehabilitation, as defined elsewhere in this document, are not subject to these rehabilitation standards; instead, projects which entail substantial rehabilitation are subject to the same standards as new construction projects.

## Rehabilitation Standards – Description of Methods/Materials

**Permits** Based on the scope of work to be undertaken, rehabilitation projects shall require Building, Electrical, and/or Plumbing Permits, as required by Virgin Islands law.

**Plans** Architectural drawings shall be required when any work to be undertaken entails alterations and/or additions to an existing structure. The detail/extent of the drawings required shall be based on the nature of the proposed alteration. Drawings shall be prepared by a licensed draftsman, architect, or engineer.

**Building Systems** Acceptable building systems are as follows:

- f) Reinforced concrete and/or concrete block throughout
- g) Reinforced concrete and/or concrete block exterior with frame interior
- h) Steel frame with masonry exterior
- i) Steel frame with lath and plaster exterior or other composite material that may be approved by VIHFA
- j) Other structural types that may be approved by VIHFA on a case-by-case basis

Manufactured housing will not be assisted, except where the manufactured unit is inspected by the VIHFA and found to be a sound structure able to meet current codes.

### BuildingEnvelope

Historic averages for high and low temperatures by month in the USVI, coupled with the fact that there is no need for space heating equipment, constitute sufficient evidence for exemption from Green Building requirements for building envelope insulation, air sealing and ventilation. This source provides a simple format for displaying average high and low temperatures by month. <http://www.intellicast.com/Local/History.aspx?location=>

**Walls** Exterior walls shall be of concrete masonry units (i.e., blocks), reinforced concrete, or other structural materials that may be approved by VIHFA on a case-by-case basis.

Maximum exterior wall height shall not exceed nine (9) feet.

**Wall finishes** Exterior wall finish shall be cement plaster, stucco, wood ship-lap boards or other structural materials that may be approved by VIHFA on a case-by-case basis. Cracks in existing exterior walls shall be sealed using a method to be approved by VIHFA. Workmanship shall be flawless – leaving an even and neat finish. Patched area should be finished with appropriate exterior material to match existing finish.

Interior wall finish shall be of cement plaster or gypsum wallboard. Ceramic tiles or prefabricated fiberglass shall be provided at showers, tubs, laundry and bathroom (plumbing walls). Kitchen sink area shall have a backsplash of ceramic tiles or else a double seal must be provided to prevent water penetration. This shall be accomplished by sealing the backsplash to the countertop and then utilizing a waterproof caulking on the face, filling any gaps, and leaving a slightly coved, even and neat finish.

- Cistern** The supply of clean water that is suitable for consumption and other household uses is of paramount importance. If the cistern water has not been tested within the past twelve (12) months, the cistern water must be tested by a certified laboratory for suitability. If the cistern must be cleaned, the interior of the cistern should be waterproof using a minimum of two (2) coats of thoro-seal or an approved equal product. Once the cistern has properly cured, it should be refilled with 3,000 gallons of potable water.
- The cistern access should be sanitary-sealed.
- Roof** Roofs should be constructed of wood-frame, engineered wood and/or metal truss, steel or concrete. Structural members should be of size and type as prescribed by current building code. Roof finish shall be corrugated metal, plywood, or concrete. All cut lumber/sheathing shall be pressure treated Southern Pine/ Douglas Fir No.1&2.
- Wood-frame and engineered wood and/or metal truss roofs shall have roof sheathing (5/8" plywood), tar paper and purlins prior to the installation of the corrugated metal.
- Plywood and concrete roofs should be waterproofed with sealant products such as neoprene-Hypalon, or products of equal quality.
- Roofs should have a minimum slope of 3:12 pitch. The maximum roof pitch should be 5:12, except in historical district where higher pitch may be required by Historical Preservation guidelines.
- All roofs shall have sufficient guttering and downspouts leading water directly to cistern.
- Windows** Existing windows shall be free of signs of deterioration, missing or broken panes/louvers, or damaged hardware. New installation windows shall be required to meet current code (rated to 145 mph wind resistance). A fire egress window shall be provided in each bedroom that does not have a door to the exterior. All windows shall have screens in good condition. Jalousie windows are used exclusively for windows in the Virgin Islands, due to the constant need for ventilation. ENERGY STAR rated windows are not available from Virgin Island suppliers.
- Electrical** Each living area within the unit shall have working outlets and light fixtures and shall be free from electrical hazards. At minimum, the dwelling shall meet the National Electric Code (NEC) standards. Where the existing unit does not meet NEC, the Scope of Work must include all tasks necessary to bring the unit into full compliance with NEC. All electrical work that is to be performed shall be based on NEC standards.
- Interior Lighting** ENERGY STAR Advanced Lighting Package (ALP) will be installed; or the project will follow the ENERGY STAR MFHR program guidelines, which require that 80% of installed lighting fixtures within units must be ENERGY STAR-qualified or have ENERGY STAR-qualified lamps installed; or when installing new fixtures and ceiling fans must meet or exceed ENERGY STAR efficiency levels.
- To the greatest extent possible, new installation light fixtures should be adaptable for use with compact florescent bulbs.

**Plumbing** The dwelling unit's plumbing shall be free from leaks. Kitchen and all bathrooms shall have hot and cold running water. At minimum, dwelling shall meet the International Residential Code (Section VII). Where the existing unit does not meet IRC with respect to plumbing, the Scope of Work must include all tasks necessary to bring the unit into full compliance with that section. Install or retrofit water conserving fixtures in any unit and common facility, use the following specifications: Toilets-- 1.6 gpf; Urinals-- 0.5 gpf; Showerheads-- 2.0 gpm; Kitchen faucets-- 2.0 gpm; and Bathroom faucets-- 1.5gpm. [gpf = gallons per flush; gpm = gallons per minute]

**Appliances** Install ENERGY STAR-labeled clothes washers, dishwashers, and refrigerators, if these appliance categories are provided in units or common areas and replacement is required. Vent clothes dryers directly to the outdoors using rigid-type duct work. For rehabs, this is often not possible due to the existing poured wall construction. For these homes, dryers are vented into an indoor dryer vent

**Pump/Water Heater**

Dwelling unit shall have a water pump, tank, and heater all in good working condition – free of leaks and electrical hazards. Pump shall have a standard 30-gallon pressure tank. Water heater shall be electric with a minimum capacity of 20 gallons; upon installation, the water heater shall be set within the range of 100 to 110 degrees Fahrenheit. Pump, tank, and heater shall be securely enclosed to prevent theft or vandalism and exposure to weather elements. When replacing domestic water heating system(s), ensure the system(s) meet or exceed the efficiency requirements of ENERGY STAR for Homes' Reference Design. Insulate pipes by at least R-4. Provide adequate drainage for water heaters that includes drains or catch pans with drains piped to the exterior of the dwelling.

**Floor Finish** Dwelling unit's interior floor shall be free from hazardous conditions (to include missing and/or broken floor tiles). Interior floor finish shall be vinyl tile (commercial grade) or ceramic tile (5/16" minimum thickness). Bathroom tiles must be either ceramic tile or terrazzo. Whenever possible, and where floor installation is otherwise in good condition, every effort should be made to match existing tile but, where more than 20% of the tiles need replacement and no suitable match is available, the entire room may be re-tiled. Where entire room(s) has been re-tiled, Contractor shall be required one (1) box of tile to Owner upon completion.

**Cabinets, countertops and tub/shower enclosures**

Kitchen must have a reasonable space for storage and preparation of food. Kitchen cabinets should be sound; doors and drawers should be in place. Countertops should have laminate covering. New installation cabinets shall be commercial or custom-made with hinged doors. Cabinets shall be of luan, edai, or Philippine mahogany plywood faced and edge-banded with plastic laminate. Kitchen cabinets shall be affixed to the wall with the appropriate number and type of properly-sized screws (wood screws for frame walls and tapcon for concrete/masonry walls). When replacing or repairing bathrooms, kitchens, and laundry rooms, use materials that have durable, cleanable surfaces. When replacing or repairing tub and/or shower enclosures, use non-paper-faced backing materials such as cement board, fiber cement board, or equivalent in bathrooms.

**Doors/Locks** All main entry doorways shall be at least 3'0" in width. Interior room doorways shall be at least 2'8" in width; however, where rehabilitation includes handicap accessibility modifications, interior room doorway widths shall be no less than 3'0 (36"). Where existing doorways are less than the required minimum, doorways shall be widened to the acceptable width.

New installation exterior doors shall be 1 3/4" flush solid core or paneled; doors shall be painted with a minimum of two (2) coats of paint or clear finish on all edges and surfaces. Exterior doors at each entrance to the dwelling shall require a dead bolt lock with a minimum 1-inch throw and an entry lockset, preferably keyed alike.

**Duct Sealing** In buildings with ducted forced-air heating and cooling systems, seal all penetrations of the air distribution system to reduce leakage in order to meet or exceed ENERGY STAR for Homes' duct leakage standard.

#### **Mold Inspection & Remediation**

Inspect the interior and exterior of the building for evidence of moisture problems. Document the extent and location of the problems, and implement the proposed repairs according to the Moisture section of the EPA Healthy Indoor Environment Protocols for Home Energy Upgrades.

**Weatherproofing** (This section reserved).

**Entrances** All entries (front, side, and rear) must have some overhead coverage to ensure that no weather hits directly onto the entry door. Where no coverage is existing, coverage must be provided. In cases where this is not possible, or would be too costly, then partial coverage and protection will be acceptable as long as the doors which are exposed to the prevailing winds are properly protected from wind-driven rain entering the dwelling.

**Stairs/Railings** Entrances should have appropriately sized exterior platforms/landings. Ideally, stairway risers shall be even in height and shall not exceed eight (8) inches. Stairways having three (3) or more risers should have a handrail of 30- 34 inches in height on at least one side of the stairway. All stairs shall have a non-slip finish.

Handrails should have intermediate rails or ornamental closures (e.g., balusters, pickets, or solid walls) which would prevent passage of an object that is 4" (102 mm.) or more in diameter, shall not be conducive to climbing, and shall be a minimum of 36" high on horizontal planes.

**Paint** Where scope of work entails painting, a minimum of two (2) coats in addition to the appropriate type of primer coat shall be applied. All surfaces to be painted shall be properly prepared to receive finish coats. Exterior paint shall be oil or acrylic latex; interior paint shall be semi-gloss washable enamels in kitchens and baths, satin or flat finish elsewhere. All interior paints and primers must be less than or equal to the following VOC levels: Flats--50 g/L; Non-flats--50 g/L; Floor--100 g/L. [g/L = grams per liter; levels are based on a combination of the Master Painters Institute (MPI) and GreenSeal standards.]

**Lighting for Common Areas & Emergency Lighting:**

Lighting will follow the guidance appropriate for the project type: using ENERGY STAR-labeled fixtures or any equivalent high-performance lighting fixtures and bulbs in all common areas; OR when installing new, all common space and emergency lighting fixtures must meet or exceed ENERGY STAR efficiency levels. For emergency lighting, all exist signs shall meet or exceed LED efficiency levels and conform to local building codes.

#### Exterior Lighting

Lighting will follow the guidance appropriate for the project type: installing ENERGY STAR-qualified fixtures or LEDs with a minimum efficacy of 45 lumens/watt; OR following the ENERGY STAR MFHR program guidelines, which require that 80% of outdoor lighting fixtures must be ENERGY STAR-qualified or have ENERGY STAR-qualified lamps installed; OR installing ENERGY STAR compact fluorescents or LEDs with a minimum efficacy of 45 lumens/watt.

#### Burglar Bars

Where burglar bars are in place in an existing unit, bars at egress windows must be inside with easy-open latch. Where existing bars obstruct the function of any egress window, the burglar bars at that window must be removed or retrofitted to allow for the proper operation of the egress window.

#### Fire Protection

Living units shall have some provisions for safe egress in the event of fire. Smoke detectors shall be provided in the hallways and all bedrooms. Smoke detectors shall be hard-wired with battery-back-up.

A fire egress window shall be provided in each bedroom that does not have a door to the exterior.

#### Handicap Accessibility

Where necessary to accommodate an elderly or physically challenged household member, accessibility modifications shall be made to the greatest extent feasible. Examples of accessibility modifications include access ramps, grab bars, door handles, and accessible bathroom and kitchen fixtures. Handicap accessibility modifications shall be done in accordance American with Disabilities Act Design Guidelines.

#### Termite Inspection/Treatment

Where there is evidence of termite infestation, Owner will be required to retain the services of a certified exterminator to inspect the unit to determine the nature and degree of the infestation and to treat the unit to eradicate all termites. The exterminator shall be required to provide at minimum a one-year warranty on the termite extermination. Seal all wall, floor, and joint penetrations with low-VOC caulking or other appropriate sealing methods to prevent pest entry. [If applicable, provide training to multifamily buildings staff.]

#### Lead Safe Work Practices

For properties built before 1978, if the project will involve disturbing painted surfaces or cleaning up lead contaminated dust or soil, use certified renovation or lead abatement contractors and workers using lead-safe work practices and clearance examinations consistent with the more stringent of EPA's Renovation, Repair, and Painting Rule and HUD's Lead Safe Housing Rule.

## APPENDIX A

### Luxury Amenities

Certain luxury amenities will not be permitted in CDBG-DR -assisted housing units. Where the proposed CDBG-DR project entails rehabilitation or purchase of an existing unit which has existing any of the listed amenities, CDBG-DR Program staff will make a determination as to whether the unit qualifies as affordable housing despite the inclusion of said amenity. If it is determined that the unit still qualifies as affordable housing, the proposed project will be eligible for CDBG-DR assistance. Where the project entails rehabilitation, the use of CDBG-DR funds will be limited to repair of those items which are necessary to meet the rehabilitation standards. Where replacement of an item is necessary in order to satisfy the rehabilitation standards, CDBG-DR funds will be used to replace the item with a similar item of a non-luxurious nature. (Example: High-end fixtures will be replaced with quality standard grade fixtures).

Examples of “luxury amenities” include but are not limited to:

- Corian® (or comparable composite material) countertops
- Marble or Granite countertops
- Marble tiles
- Hardwood floors
- Custom finishes (i.e., crown molding, wainscot in areas other than kitchen or bath, chair rails, etc.)
- Glass blocks (Note: Modest use of decorative glass blocks comprising less than six (6) square feet will be permitted).
- High-end plumbing fixtures
- Recessed lighting
- High-end electrical fixtures (i.e., chandeliers)
- His & her accommodations (i.e., double lavatories; double walk-in closets; separate shower enclosure and tub in the same bathroom)
- Built-in furnishings (i.e., bookshelves, wine racks, dressers, window seats)
- Stained glass windows
- Decorative awnings
- Roof tile
- Designer metal roofing
- Exotic woods (i.e., cypress, redwood, mahogany)
- Air conditioning
- Heating
- Fireplace
- Finished attic
- Finished basement
- Elevator
- Sky light
- Jacuzzi
- Swimming Pool
- Fountain
- Brick pavement
- Stamped concrete

Detached garage

Guest cottage

Wall fences (except for retaining walls where determined to be necessary by a certified engineer or with VIHFA approval)

VIHFA has also adopted certain maximum square footage parameters based on unit size. The maximum living area square footage for the various unit size are as follows:

1-BR: 900 square feet

2-BR: 1,100 square feet

3-BR: 1,300 square feet

4-BR: 1,500 square feet

For all unit sizes, the maximum entry porch area is 100 square feet.

Amenities which are permissible in CDBG-DR-assisted new construction units, but which shall not be paid for with CDBG-DR funds include those listed below. Note: Where the proposed CDBG-DR project entails rehabilitation or purchase of an existing unit which has existing any of these amenities, the presence of these amenities shall not be taken into consideration when determining whether the unit qualifies as affordable housing.

Garbage disposal

Chain link fencing

Security alarm systems

Electronic gates

Wrought iron burglar bars (security grillwork)

Storm shutters

Security camera

### St. Croix - Inventory Listing

| Material Description                 | Standing Totals |
|--------------------------------------|-----------------|
| 2"X4"X16' Treated Lumber             | 16840           |
| 2"X4"X20' Treated Lumber             | 7295            |
| 2"X6"X14' Treated Lumber             | 19              |
| 2"X6"X16' Treated Lumber             | 133             |
| 2"X6"X18' Treated Lumber             | 8842            |
| 2"X8"X14' Treated Lumber             | 91              |
| 2"X8"X16' Treated Lumber             | 296             |
| 2"X8"X20' Treated Lumber             | 99              |
| 2"X10"X20' Treated Lumber            | 3718            |
| 2"X10"X12' Treated Lumber            | 8               |
| 3"X6"X14' Treated Lumber             | 6849            |
| 3"X6"X18' Treated Lumber             | 5689            |
| 3"X6"X20' Treated Lumber             | 13              |
| 3"X8"X10' Treated Lumber             | 880             |
| 3"X8"X16' Treated Lumber             | 54925           |
| 3"X8"X 20' Treated Lumber            | 2664            |
| 3"X10"X12' Treated Lumber            | 350             |
| 3"X10"X14' Treated Lumber            | 400             |
| 3"X10"X16' Treated Lumber            | 1150            |
| 3"X10"X18' Treated Lumber            | 127             |
| 3"X10"X20' Treated Lumber            | 3               |
| 3"X12"X20' Treated Lumber            | 5134            |
| 5/8&1/2 In X4X8 T1-11 Treated Lumber | 23798           |
| 2"X2.5"x.5"X10' Galvalume Drip Edge  | 262             |
| 3"X3"X10' Galvalume Drip Edge        | 3098            |
| 4"X4"X10' Drip Edge                  | 544             |
| 10' Domed Galvalume Ridge Cap        | 525             |
| 10' Angled Galvalume Ridge           | 631             |
| 34X14X8 Corrugated Roofing 26GA      | 245             |
| 40.5X14X8 Corrugated Roofing 26 GA   | 5694            |
| 4"X4"X10' Galvalume Rake Trim        | 1988            |
| 36" Pannel Rubber Closure-Bat Strips | 29348           |
| 5 Gal Silicone Roof Coating          | 2074            |
| 6"x100' Flashing Tape                | 6626            |
| Silicone Roof Patch                  | 1622            |
| Water & Ice Roof Covering Rolls      | 6482            |
| Tough Guard Shield 2005              | 3332            |
| 4'X8' X 1.5" Inch Styrofoam          | 17018           |